

# Chapter 8

## P-adic numbers

### Literature:

*N. Koblitz, p-adic Numbers, p-adic Analysis, and Zeta-Functions*,  
2nd edition, Graduate Texts in Mathematics 58, Springer Verlag 1984, corrected 2nd printing 1996,  
Chap. I,III

### 8.1 Absolute values

The *p-adic absolute value*  $|\cdot|_p$  on  $\mathbb{Q}$  is defined as follows: if  $a \in \mathbb{Q}$ ,  $a \neq 0$  then write  $a = p^m b/c$  where  $b, c$  are integers not divisible by  $p$  and put  $|a|_p = p^{-m}$ ; further, put  $|0|_p = 0$ .

**Example.** Let  $a = -2^{-7}3^85^{-3}$ . Then  $|a|_2 = 2^7$ ,  $|a|_3 = 3^{-8}$ ,  $|a|_5 = 5^3$ ,  $|a|_p = 1$  for  $p \geq 7$ .

We give some properties:

$$|ab|_p = |a|_p |b|_p \text{ for } a, b \in \mathbb{Q}^*;$$

$$|a + b|_p \leq \max(|a|_p, |b|_p) \text{ for } a, b \in \mathbb{Q}^* \text{ (ultrametric inequality).}$$

Notice that the last property implies that

$$|a + b|_p = \max(|a|_p, |b|_p) \text{ if } |a|_p \neq |b|_p.$$

It is common to write the ordinary absolute value  $|a| = \max(a, -a)$  on  $\mathbb{Q}$  as  $|a|_\infty$ , to call  $\infty$  the ‘infinite prime’ and to define  $M_{\mathbb{Q}} := \{\infty\} \cup \{\text{primes}\}$ . Then we

have the important *product formula*:

$$\prod_{p \in M_{\mathbf{Q}}} |a|_p = 1 \text{ for } a \in \mathbf{Q}, a \neq 0.$$

We define more generally absolute values on fields. Let  $K$  be any field. An absolute value on  $K$  is a function  $|\cdot| : K \rightarrow \mathbb{R}_{\geq 0}$  with the following properties:

$$\begin{aligned} |ab| &= |a| \cdot |b| \text{ for } a, b \in K; \\ |a + b| &\leq |a| + |b| \text{ for } a, b \in K \text{ (triangle inequality);} \\ |a| = 0 &\iff a = 0. \end{aligned}$$

Notice that these properties imply that  $|1| = 1$ . The absolute value  $|\cdot|$  is called *non-archimedean* if the triangle inequality can be replaced by the stronger *ultrametric inequality* or *strong triangle inequality*

$$|a + b| \leq \max(|a|, |b|) \text{ for } a, b \in K.$$

An absolute value not satisfying the ultrametric inequality is called *archimedean*.

If  $K$  is a field with absolute value  $|\cdot|$  and  $L$  an extension of  $K$ , then an extension or continuation of  $|\cdot|$  to  $L$  is an absolute value on  $L$  whose restriction to  $K$  is  $|\cdot|$ .

### Examples.

- 1) Every field  $K$  can be endowed with the *trivial* absolute value  $|\cdot|$ , given by  $|a| = 0$  if  $a = 0$  and  $|a| = 1$  if  $a \neq 0$ . It is not hard to show that if  $K$  is a finite field then there are no non-trivial absolute values on  $K$ .
- 2) The ordinary absolute value  $|\cdot|_{\infty}$  on  $\mathbf{Q}$  is archimedean, while the  $p$ -adic absolute values are all non-archimedean.
- 3) Let  $K$  be any field, and  $K(t)$  the field of rational functions of  $K$ . For a polynomial  $f \in K[t]$  define  $|f| = 0$  if  $f = 0$  and  $|f| = e^{\deg f}$  if  $f \neq 0$ . Further, for a rational function  $f/g$  with  $f, g \in K[t]$  define  $|f/g| = |f|/|g|$ . Verify that this defines a non-archimedean absolute value on  $K(t)$ .

Let  $K$  be a field. Two absolute values  $|\cdot|_1, |\cdot|_2$  on  $K$  are called equivalent if there is  $\alpha > 0$  such that  $|x|_2 = |x|_1^{\alpha}$  for all  $x \in K$ . We state without proof the following result:

**Theorem 8.1. (Ostrowski)** *Every non-trivial absolute value on  $\mathbf{Q}$  is equivalent to either the ordinary absolute value or a  $p$ -adic absolute value for some prime number  $p$ .*

## 8.2 Completions

Let  $K$  be a field,  $|\cdot|$  a non-trivial absolute value on  $K$ , and  $\{a_k\}_{k=0}^{\infty}$  a sequence in  $K$ .

We say that  $\{a_k\}_{k=0}^{\infty}$  converges to  $\alpha$  with respect to  $|\cdot|$  if  $\lim_{k \rightarrow \infty} |a_k - \alpha| = 0$ .

Further,  $\{a_k\}_{k=0}^{\infty}$  is called a *Cauchy sequence with respect to  $|\cdot|$*  if  $\lim_{m,n \rightarrow \infty} |a_m - a_n| = 0$ .

Notice that any convergent sequence is a Cauchy sequence.

We say that  $K$  is *complete with respect to  $|\cdot|$*  if every Cauchy sequence w.r.t.  $|\cdot|$  in  $K$  converges to a limit in  $K$  w.r.t.  $|\cdot|$ .

For instance,  $\mathbb{R}$  and  $\mathbb{C}$  are complete w.r.t. the ordinary absolute value. Ostrowski proved that any field complete with respect to an archimedean absolute value is isomorphic to  $\mathbb{R}$  or  $\mathbb{C}$ .

Every field  $K$  with an absolute value can be extended to an up to isomorphism complete field, the completion of  $K$ .

**Theorem 8.2.** *Let  $K$  be a field with non-trivial absolute value  $|\cdot|$ . There is an up to absolute value preserving isomorphism unique extension field  $\tilde{K}$  of  $K$ , called the completion of  $K$ , having the following properties:*

- (i)  $|\cdot|$  can be continued to an absolute value on  $\tilde{K}$ , also denoted  $|\cdot|$ , such that  $\tilde{K}$  is complete w.r.t.  $|\cdot|$ ;
- (ii)  $K$  is dense in  $\tilde{K}$ , i.e., every element of  $\tilde{K}$  is the limit of a sequence from  $K$ .

*Proof.* Basically one has to mimic the construction of  $\mathbb{R}$  from  $\mathbb{Q}$  or the construction of a completion of a metric space in topology. We give a sketch. Cauchy sequences, limits, etc. are all with respect to  $|\cdot|$ .

The set of Cauchy sequences in  $K$  with respect to  $|\cdot|$  is closed under termwise addition and multiplication  $\{a_n\} + \{b_n\} := \{a_n + b_n\}$ ,  $\{a_n\} \cdot \{b_n\} := \{a_n \cdot b_n\}$ . With these operations they form a ring, which we denote by  $\mathcal{R}$ . It is not difficult to verify that the sequences  $\{a_n\}$  such that  $a_n \rightarrow 0$  with respect to  $|\cdot|$  form a maximal ideal in  $\mathcal{R}$ , which we denote by  $\mathcal{M}$ . Thus, the quotient  $\mathcal{R}/\mathcal{M}$  is a field, which is our completion  $\tilde{K}$ .

We define the absolute value  $|\alpha|$  of  $\alpha \in \tilde{K}$  by choosing a representative  $\{a_n\}$  of  $\alpha$ ,

and putting  $|\alpha| := \lim_{n \rightarrow \infty} |a_n|$ , where now the limit is with respect to the ordinary absolute value on  $\mathbb{R}$ . It is not difficult to verify that this is well-defined, that is, the limit exists and is independent of the choice of the representative  $\{a_n\}$ .

We may view  $K$  as a subfield of  $\tilde{K}$  by identifying  $a \in K$  with the element of  $\tilde{K}$  represented by the constant Cauchy sequence  $\{a\}$ . In this manner, the absolute value on  $\tilde{K}$  constructed above extends that of  $K$ , and moreover, every element of  $\tilde{K}$  is a limit of a sequence from  $K$ . So  $K$  is dense in  $\tilde{K}$ . One shows that  $\tilde{K}$  is complete, that is, any Cauchy sequence  $\{a_n\}$  in  $\tilde{K}$  has a limit in  $\tilde{K}$ , by taking very good approximations  $b_n \in K$  of  $a_n$  and then taking the limit of the  $b_n$ .

Finally, if  $K'$  is another complete field with absolute value extending the one on  $K$  such that  $K$  is dense in  $K'$  one obtains an isomorphism from  $\tilde{K}$  to  $K'$  as follows: Take  $\alpha \in \tilde{K}$ . Choose a sequence  $\{a_k\}$  in  $K$  converging to  $\alpha$ ; this is necessarily a Cauchy sequence. Then map  $\alpha$  to the limit of  $\{a_k\}$  in  $K'$ .  $\square$

**Corollary 8.3.** *Assume that  $|\cdot|$  is a non-trivial, non-archimedean absolute value on  $K$ . Then the extension of  $|\cdot|$  to  $\tilde{K}$  is also non-archimedean.*

*Proof.* Let  $a, b \in \tilde{K}$ . Choose sequences  $\{a_k\}, \{b_k\}$  in  $K$  that converge to  $a, b$ , respectively. Then

$$|a + b| = \lim_{k \rightarrow \infty} |a_k + b_k| \leq \lim_{k \rightarrow \infty} \max(|a_k|, |b_k|) = \max(|a|, |b|).$$

$\square$

## 8.3 p-adic Numbers and p-adic integers

In everything that follows,  $p$  is a prime number.

The completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$  is called the *field of p-adic numbers*, notation  $\mathbb{Q}_p$ .

The continuation of  $|\cdot|_p$  to  $\mathbb{Q}_p$  is also denoted by  $|\cdot|_p$ . This is a non-archimedean absolute value on  $\mathbb{Q}_p$ . Convergence, limits, Cauchy sequences and the like will all be with respect to  $|\cdot|_p$ . As mentioned before, by identifying  $a \in \mathbb{Q}$  with the class of the constant Cauchy sequence  $\{a\}$ , we may view  $\mathbb{Q}$  as a subfield of  $\mathbb{Q}_p$ .

**Lemma 8.4.** *The value set of  $|\cdot|_p$  on  $\mathbb{Q}_p$  is  $\{0\} \cup \{p^m : m \in \mathbb{Z}\}$ .*

*Proof.* Let  $x \in \mathbb{Q}_p$ ,  $x \neq 0$ . Choose again a sequence  $\{x_k\}$  in  $\mathbb{Q}$  converging to  $x$ . Then  $|x|_p = \lim_{k \rightarrow \infty} |x_k|_p$ . For  $k$  sufficiently large we have  $|x_k|_p = p^{m_k}$  for some  $m_k \in \mathbb{Z}$ . Since the sequence of numbers  $p^{m_k}$  converges we must have  $m_k = m \in \mathbb{Z}$  for  $k$  sufficiently large. Hence  $|x|_p = p^m$ .  $\square$

The set  $\mathbb{Z}_p := \{x \in \mathbb{Q}_p : |x|_p \leq 1\}$  is called the *ring of  $p$ -adic integers*. Notice that if  $x, y \in \mathbb{Z}_p$  then  $|x - y|_p \leq \max(|x|_p, |y|_p) \leq 1$ . Hence  $x - y \in \mathbb{Z}_p$ . Further, if  $x, y \in \mathbb{Z}_p$  then  $|xy|_p \leq 1$  which implies  $xy \in \mathbb{Z}_p$ . So  $\mathbb{Z}_p$  is indeed a ring. Viewing  $\mathbb{Q}$  as a subfield of  $\mathbb{Q}_p$ , we have

$$\mathbb{Z}_p \cap \mathbb{Q} = \left\{ \frac{a}{b} : a, b \in \mathbb{Z}, p \nmid b \right\}.$$

It is not hard to show that the group of units of  $\mathbb{Z}_p$ , these are the elements  $x \in \mathbb{Z}_p$  with  $x^{-1} \in \mathbb{Z}_p$ , is equal to

$$\mathbb{Z}_p^* = \{x \in \mathbb{Q}_p : |x|_p = 1\}.$$

Further,  $M_p := \{x \in \mathbb{Q}_p : |x|_p < 1\}$  is an ideal of  $\mathbb{Z}_p$ . In fact,  $M_p$  is the only maximal ideal of  $\mathbb{Z}_p$  since any ideal of  $\mathbb{Z}_p$  not contained in  $M_p$  contains an element of  $\mathbb{Z}_p^*$ , hence generates the whole ring  $\mathbb{Z}_p$ . Noting

$$|x|_p < 1 \iff |x|_p \leq p^{-1} \iff |x/p|_p \leq 1 \iff x/p \in \mathbb{Z}_p$$

for  $x \in \mathbb{Q}_p$ , we see that  $M_p = p\mathbb{Z}_p$ .

For  $\alpha, \beta \in \mathbb{Q}_p$  we write  $\alpha \equiv \beta \pmod{p^m}$  if  $(\alpha - \beta)/p^m \in \mathbb{Z}_p$ . This is equivalent to  $|\alpha - \beta|_p \leq p^{-m}$ . Notice that if  $\alpha = \frac{a_1}{b_1}$ ,  $\beta = \frac{a_2}{b_2}$  with  $a_1, b_1, a_2, b_2 \in \mathbb{Z}$  and  $p \nmid b_1 b_2$ , then

$$a_1 \equiv a_2 \pmod{p^m}, \quad b_1 \equiv b_2 \pmod{p^m} \implies \alpha \equiv \beta \pmod{p^m}.$$

For  $p$ -adic numbers, “very small” means “divisible by a high power of  $p$ ”, and two  $p$ -adic numbers  $\alpha$  and  $\beta$  are  $p$ -adically close if and only if  $\alpha - \beta$  is divisible by a high power of  $p$ .

**Lemma 8.5.** *For every  $\alpha \in \mathbb{Z}_p$  and every positive integer  $m$  there is a unique  $a_m \in \mathbb{Z}$  such that  $|\alpha - a_m|_p \leq p^{-m}$  and  $0 \leq a_m < p^m$ . Hence  $\mathbb{Z}$  is dense in  $\mathbb{Z}_p$ .*

*Proof.* There is a rational number  $a/b$  (with coprime  $a, b \in \mathbb{Z}$ ) such that  $|\alpha - (a/b)|_p \leq p^{-m}$  since  $\mathbb{Q}$  is dense in  $\mathbb{Q}_p$ . At most one of  $a, b$  is divisible by  $p$  and

it cannot be  $b$  since  $|a/b|_p \leq 1$ . Hence there is an integer  $a_m$  with  $ba_m \equiv a \pmod{p^m}$  and  $0 \leq a_m < p^m$ . Thus,

$$|\alpha - a_m|_p \leq \max(|\alpha - (a/b)|_p, |(a/b) - a_m|_p) \leq p^{-m}.$$

This shows the existence of  $a_m$ . As for the unicity, if  $a'_m$  is another integer with the properties specified in the lemma, we have  $|a_m - a'_m|_p \leq p^{-m}$ , hence  $a_m \equiv a'_m \pmod{p^m}$ , implying  $a_m = a'_m$ .  $\square$

**Theorem 8.6.** *The non-zero ideals of  $\mathbb{Z}_p$  are  $p^m\mathbb{Z}_p$  ( $m = 0, 1, 2, \dots$ ) and  $\mathbb{Z}_p/p^m\mathbb{Z}_p \cong \mathbb{Z}/p^m\mathbb{Z}$ . In particular,  $\mathbb{Z}_p/p\mathbb{Z}_p \cong \mathbb{F}_p$ .*

*Proof.* Let  $I$  be a non-zero ideal of  $\mathbb{Z}_p$  and choose  $\alpha \in I$  for which  $|\alpha|_p$  is maximal. Then  $|\alpha|_p = p^{-m}$  with  $m \in \mathbb{Z}_{\geq 0}$ . We have  $p^{-m}\alpha \in \mathbb{Z}_p^*$ , hence  $p^m \in I$ . Further, for  $\beta \in I$  we have  $|\beta p^{-m}|_p \leq 1$ , hence  $\beta \in p^m\mathbb{Z}_p$ . Hence  $I \subset p^m\mathbb{Z}_p$ . This implies  $I = p^m\mathbb{Z}_p$ .

The homomorphism  $\mathbb{Z}/p^m\mathbb{Z} \rightarrow \mathbb{Z}_p/p^m\mathbb{Z}_p: a \pmod{p^m} \mapsto a \pmod{p^m}$  is clearly injective. and also surjective in view of Lemma 8.5. Hence  $\mathbb{Z}/p^m\mathbb{Z} \cong \mathbb{Z}_p/p^m\mathbb{Z}_p$ .  $\square$

**Lemma 8.7.** *Let  $\{a_k\}_{k=0}^\infty$  be a sequence in  $\mathbb{Q}_p$ . Then  $\sum_{k=0}^\infty a_k$  converges in  $\mathbb{Q}_p$  if and only if  $\lim_{k \rightarrow \infty} a_k = 0$ .*

*Further, every convergent series in  $\mathbb{Q}_p$  is unconditionally convergent, i.e., neither the convergence, nor the value of the series, are affected if the terms  $a_k$  are rearranged.*

*Proof.* Suppose that  $\alpha := \sum_{k=0}^\infty a_k$  converges. Then

$$a_n = \sum_{k=0}^n a_k - \sum_{k=0}^{n-1} a_k \rightarrow \alpha - \alpha = 0.$$

Conversely, suppose that  $a_k \rightarrow 0$  as  $k \rightarrow \infty$ . Let  $\alpha_n := \sum_{k=0}^n a_k$ . Then for any integers  $m, n$  with  $0 < m < n$  we have

$$|\alpha_n - \alpha_m|_p = \left| \sum_{k=m+1}^n a_k \right|_p \leq \max(|a_{m+1}|_p, \dots, |a_n|_p) \rightarrow 0 \text{ as } m, n \rightarrow \infty.$$

So the partial sums  $\alpha_n$  form a Cauchy sequence, hence must converge to a limit in  $\mathbb{Q}_p$ .

To prove the second part of the lemma, let  $\sigma$  be a bijection from  $\mathbb{Z}_{\geq 0}$  to  $\mathbb{Z}_{\geq 0}$ . We have to prove that  $\sum_{k=0}^{\infty} a_{\sigma(k)} = \sum_{k=0}^{\infty} a_k$ . Equivalently, we have to prove that  $\sum_{k=0}^M a_k - \sum_{k=0}^M a_{\sigma(k)} \rightarrow 0$  as  $M \rightarrow \infty$ , i.e., for every  $\varepsilon > 0$  there is  $N$  such that

$$\left| \sum_{k=0}^M a_k - \sum_{k=0}^M a_{\sigma(k)} \right|_p < \varepsilon \text{ for every } M > N.$$

Let  $\varepsilon > 0$ . There is  $N$  such that  $|a_k|_p < \varepsilon$  for all  $k \geq N$ . Choose  $N_1 > N$  such that  $\{\sigma(0), \dots, \sigma(N_1)\}$  contains  $\{0, \dots, N\}$  and let  $M > N_1$ . Then in the sum  $S := \sum_{k=0}^M a_k - \sum_{k=0}^M a_{\sigma(k)}$ , only terms  $a_k$  with  $k > N$  and  $a_{\sigma(k)}$  with  $\sigma(k) > N$  occur. Hence each term in  $S$  has  $p$ -adic absolute value  $< \varepsilon$  and therefore, by the ultrametric inequality,  $|S|_p < \varepsilon$ .  $\square$

We now show that every element of  $\mathbb{Z}_p$  has a ‘‘Taylor series expansion,’’ and every element of  $\mathbb{Q}_p$  a ‘‘Laurent series expansion’’ where instead of powers of a variable  $X$  one takes powers of  $p$ .

**Theorem 8.8. (i)** *Every element of  $\mathbb{Z}_p$  can be expressed uniquely as  $\sum_{k=0}^{\infty} b_k p^k$  with  $b_k \in \{0, \dots, p-1\}$  for  $k \geq 0$  and conversely, every such series belongs to  $\mathbb{Z}_p$ .*

**(ii)** *Every element of  $\mathbb{Q}_p$  can be expressed uniquely as  $\sum_{k=-k_0}^{\infty} b_k p^k$  with  $k_0 \in \mathbb{Z}$ ,  $b_k \in \{0, \dots, p-1\}$  for  $k \geq -k_0$  and  $b_{-k_0} \neq 0$  and conversely, every such series belongs to  $\mathbb{Q}_p$ .*

*Proof.* We first prove part (i). First observe that by Lemma 8.7, a series  $\sum_{k=0}^{\infty} b_k p^k$  with  $b_k \in \{0, \dots, p-1\}$  converges in  $\mathbb{Q}_p$ . Further, it belongs to  $\mathbb{Z}_p$ , since  $|\sum_{k=0}^{\infty} b_k p^k|_p \leq \max_{k \geq 0} |b_k p^k|_p \leq 1$ .

Let  $\alpha \in \mathbb{Z}_p$ . Define sequences  $\{\alpha_k\}_{k=0}^{\infty}$  in  $\mathbb{Z}_p$ ,  $\{b_k\}_{k=0}^{\infty}$  in  $\{0, \dots, p-1\}$  inductively as follows:

$$(8.1) \quad \begin{cases} \alpha_0 := \alpha; \\ \text{For } k = 0, 1, \dots, \text{ let } b_k \in \{0, \dots, p-1\} \text{ be the integer with} \\ \alpha_k \equiv b_k \pmod{p} \text{ and put } \alpha_{k+1} := (\alpha_k - b_k)/p. \end{cases}$$

By induction on  $k$ , one easily deduces that for  $k \geq 0$ ,

$$\alpha_k \in \mathbb{Z}_p, \quad \alpha = \sum_{j=0}^k b_j p^j + p^{k+1} \alpha_k.$$

Hence  $|\alpha - \sum_{j=0}^k b_j p^j|_p \leq p^{-k-1}$  for  $k \geq 0$ . It follows that

$$\alpha = \lim_{k \rightarrow \infty} \sum_{j=0}^k b_j p^j = \sum_{j=0}^{\infty} b_j p^j.$$

Notice that the integer  $a_m$  from Lemma 8.5 is precisely  $\sum_{k=0}^{m-1} b_k p^k$ . Since  $a_m$  is uniquely determined, so must be the integers  $b_k$ .

We prove part (ii). As above, any series  $\sum_{k=-k_0}^{\infty} b_k p^k$  with  $b_k \in \{0, \dots, p-1\}$  converges in  $\mathbb{Q}_p$ . Let  $\alpha \in \mathbb{Q}_p$  with  $\alpha \neq 0$ . Suppose that  $|\alpha|_p = p^{k_0}$ . Then  $\beta := p^{-k_0} \alpha$  has  $|\beta|_p = 1$ , so it belongs to  $\mathbb{Z}_p$ . Applying (i) to  $\beta$  we get

$$\alpha = p^{-k_0} \beta = p^{-k_0} \sum_{k=0}^{\infty} c_k p^k$$

with  $c_k \in \{0, \dots, p-1\}$  which implies (ii). □

**Corollary 8.9.**  $\mathbb{Z}_p$  is uncountable.

*Proof.* Apply Cantor's diagonal method. □

We use the following notation:

$$\begin{aligned} \alpha = 0.b_0 b_1 \dots (p) & \quad \text{if } \alpha = \sum_{k=0}^{\infty} b_k p^{-k}, \\ \alpha = b_{-k_0} \dots b_{-1} . b_0 b_1 \dots (p) & \quad \text{if } \alpha = \sum_{k=-k_0}^{\infty} b_k p^k \text{ with } k_0 < 0. \end{aligned}$$

We can describe various of the definitions given above in terms of  $p$ -adic expansions. For instance, for  $\alpha \in \mathbb{Q}_p$  we have  $|\alpha|_p = p^{-m}$  if  $\alpha = \sum_{k=m}^{\infty} b_k p^k$  with  $b_k \in \{0, \dots, p-1\}$  for  $k \geq m$  and  $b_m \neq 0$ . Next, if  $\alpha = \sum_{k=0}^{\infty} a_k p^k$ ,  $\beta = \sum_{k=0}^{\infty} b_k p^k \in \mathbb{Z}_p$  with  $a_k, b_k \in \{0, \dots, p-1\}$ , then

$$\alpha \equiv \beta \pmod{p^m} \iff a_k = b_k \text{ for } k < m.$$

For  $p$ -adic numbers given in their  $p$ -adic expansions, one has the same addition with carry algorithm as for real numbers given in their decimal expansions, except that for  $p$ -adic numbers one has to work from left to right instead of right to left. Likewise, one has subtraction and multiplication algorithms for  $p$ -adic numbers which are precisely the same as for real numbers apart from that one has to work from left to right instead of right to left.



**Theorem 8.10.** Let  $\alpha = \sum_{k=-k_0}^{\infty} b_k p^k$  with  $b_k \in \{0, \dots, p-1\}$  for  $k \geq -k_0$ . Then

$$\alpha \in \mathbb{Q} \iff \{b_k\}_{k=-k_0}^{\infty} \text{ is ultimately periodic.}$$

*Proof.*  $\Leftarrow$  Exercise.

$\Rightarrow$  Without loss of generality, we assume that  $\alpha \in \mathbb{Z}_p$  (if  $\alpha \in \mathbb{Q}_p$  with  $|\alpha|_p = p^{k_0}$ , say, then we proceed further with  $\beta := p^{k_0}\alpha$  which is in  $\mathbb{Z}_p$ ).

Suppose that  $\alpha = A/B$  with  $A, B \in \mathbb{Z}$ ,  $\gcd(A, B) = 1$ . Then  $p$  does not divide  $B$  (otherwise  $|\alpha|_p > 1$ ). Let  $C := \max(|A|, |B|)$ . Let  $\{\alpha_k\}_{k=0}^{\infty}$  be the sequence defined by (8.1). Notice that  $\alpha_k$  determines uniquely the numbers  $b_k, b_{k+1}, \dots$

**Claim.**  $\alpha_k = A_k/B$  with  $A_k \in \mathbb{Z}$ ,  $|A_k| \leq C$ .

This is proved by induction on  $k$ . For  $k = 0$  the claim is obviously true. Suppose the claim is true for  $k = m$  where  $m \geq 0$ . Then

$$\alpha_{m+1} = \frac{\alpha_m - b_m}{p} = \frac{(A_m - b_m B)/p}{B}.$$

Since  $\alpha_m \equiv b_m \pmod{p}$  we have that  $A_m - b_m B$  is divisible by  $p$ . So  $A_{m+1} := (A_m - b_m B)/p \in \mathbb{Z}$ . Further,

$$|A_{m+1}| \leq \frac{C + (p-1)B}{p} \leq C.$$

This proves our claim.

Now since the integers  $A_k$  all belong to  $\{-C, \dots, C\}$ , there must be indices  $l < m$  with  $A_l = A_m$ , that is,  $\alpha_l = \alpha_m$ . But then,  $b_{k+m-l} = b_k$  for all  $k \geq l$ , proving that  $\{b_k\}_{k=0}^{\infty}$  is ultimately periodic.  $\square$

**Examples.** (i) We determine the 3-adic expansion of  $-\frac{2}{5}$ . We compute the numbers  $\alpha_k, b_k$  according to (8.1).

Notice that  $\frac{1}{5} \equiv 2 \pmod{3}$ .

$k$	0	1	2	3	4
$\alpha_k$	$-\frac{2}{5}$	$-\frac{4}{5}$	$-\frac{3}{5}$	$-\frac{1}{5}$	$-\frac{2}{5}$
$b_k$	2	1	0	1	2

It follows that the sequence of 3-adic digits  $\{b_k\}_{k=0}^\infty$  of  $-\frac{2}{5}$  is periodic with period 2, 1, 0, 1 and that

$$\begin{aligned} -\frac{2}{5} &= 2 \times 3^0 + 1 \times 3^1 + 0 \times 3^2 + 1 \times 3^3 + 2 \times 3^4 + 1 \times 3^5 + 0 \times 3^6 + 1 \times 3^7 + \dots \\ &= 0.21012101\dots (2) = 0.\overline{2101} (2). \end{aligned}$$

(ii) We determine the 2-adic expansion of  $\frac{1}{56}$ . Notice that  $\frac{1}{56} = 2^{-3} \times \frac{1}{7}$ . We start with the 2-adic expansion of  $\frac{1}{7}$ .

$k$	0	1	2	3	4
$\alpha_k$	$\frac{1}{7}$	$-\frac{3}{7}$	$-\frac{5}{7}$	$-\frac{6}{7}$	$-\frac{3}{7}$
$b_k$	1	1	1	0	1

So

$$\frac{1}{7} = 0.1\overline{110} (2), \quad \frac{1}{56} = 111.\overline{011}\dots (2).$$

## 8.4 The $p$ -adic topology

The ball with center  $a \in \mathbb{Q}_p$  and radius  $r$  in the value set  $\{0\} \cup \{p^m : m \in \mathbb{Z}\}$  of  $|\cdot|_p$  is defined by  $B(a, r) := \{x \in \mathbb{Q}_p : |x - a|_p \leq r\}$ . Notice that if  $b \in B(a, r)$  then  $|b - a|_p \leq r$ . So by the ultrametric inequality, for  $x \in B(a, r)$  we have  $|x - b|_p \leq \max(|x - a|_p, |a - b|_p) \leq r$ , i.e.  $x \in B(b, r)$ . So  $B(a, r) \subseteq B(b, r)$ . Similarly one proves  $B(b, r) \subseteq B(a, r)$ . Hence  $B(a, r) = B(b, r)$ . In other words, any point in a ball can be taken as center of the ball.

We define the  $p$ -adic topology on  $\mathbb{Q}_p$  as follows. A subset  $U$  of  $\mathbb{Q}_p$  is called open if for every  $a \in U$  there is  $m > 0$  such that  $B(a, p^{-m}) \subset U$ . It is easy to see that this topology is Hausdorff: if  $a, b$  are distinct elements of  $\mathbb{Q}_p$ , and  $m$  is an integer with  $p^{-m} < |a - b|_p$ , then the balls  $B(a, p^{-m})$  and  $B(b, p^{-m})$  are disjoint.

But apart from this, the  $p$ -adic topology has some strange properties.

**Theorem 8.11.** *Let  $a \in \mathbb{Q}_p$ ,  $m \in \mathbb{Z}$ . Then  $B(a, p^{-m})$  is both open and compact in the  $p$ -adic topology.*

*Proof.* The ball  $B(a, p^{-m})$  is open since for every  $b \in B(a, p^{-m})$  we have  $B(b, p^{-m}) = B(a, p^{-m})$ .

To prove the compactness we modify the proof of the Heine-Borel theorem stating that every closed and bounded set in  $\mathbb{R}$  is compact. Assume that  $B_0 := B(a, p^{-m})$  is not compact. Then there is an infinite open cover  $\{U_\alpha\}_{\alpha \in A}$  of  $B_0$  no finite subcollection of which covers  $B_0$ . Take  $x \in B(a, p^{-m})$ . Then  $|(x - a)/p^m|_p \leq 1$ . Hence there is  $b \in \{0, \dots, p - 1\}$  such that  $\frac{x-a}{p^m} \equiv b \pmod{p}$ . But then,  $x \in B(a + bp^m, p^{-m-1})$ . So  $B(a, p^{-m}) = \cup_{b=0}^{p-1} B(a + bp^m, p^{-m-1})$  is the union of  $p$  balls of radius  $p^{-m-1}$ . It follows that there is a ball  $B_1 \subset B(a, p^{-m})$  of radius  $p^{-m-1}$  which can not be covered by finitely many sets from  $\{U_\alpha\}_{\alpha \in A}$ . By continuing this argument we find an infinite sequence of balls  $B_0 \supset B_1 \supset B_2 \supset \dots$ , where  $B_i$  has radius  $p^{-m-i}$ , such that  $B_i$  can not be covered by finitely many sets from  $\{U_\alpha\}_{\alpha \in A}$ .

We show that the intersection of the balls  $B_i$  is non-empty. For  $i \geq 0$ , choose  $x_i \in B_i$ . Thus,  $B_i = B(x_i, p^{-m-i})$ . Then  $\{x_i\}_{i \geq 0}$  is a Cauchy sequence since  $|x_i - x_j|_p \leq p^{-m-\min(i,j)} \rightarrow 0$  as  $i, j \rightarrow \infty$ . Hence this sequence has a limit  $x^*$  in  $\mathbb{Q}_p$ . Now we have  $|x_i - x^*|_p = \lim_{j \rightarrow \infty} |x_i - x_j|_p \leq p^{-m-i}$ , hence  $x^* \in B_i$ , and so  $B_i = B(x^*, p^{-m-i})$  for  $i \geq 0$ .

The point  $x^*$  belongs to one of the sets,  $U$ , say, of  $\{U_\alpha\}_{\alpha \in A}$ . Since  $U$  is open, for  $i$  sufficiently large the ball  $B_i$  must be contained in  $U$ . This gives a contradiction.  $\square$

**Corollary 8.12.** *Every non-empty open subset of  $\mathbb{Q}_p$  is disconnected.*

*Proof.* Let  $U$  be an open non-empty subset of  $\mathbb{Q}_p$ . Take  $a \in U$ . Then  $B := B(a, p^{-m}) \subset U$  for some  $m \in \mathbb{Z}$ . By increasing  $m$  we can arrange that  $B$  is strictly smaller than  $U$ . Now  $B$  is open and also  $U \setminus B$  is open since  $B$  is compact. Hence  $U$  is the union of two non-empty disjoint open sets.  $\square$

## 8.5 Algebraic extensions of $\mathbb{Q}_p$

We fix an algebraic closure  $\overline{\mathbb{Q}_p}$  of  $\mathbb{Q}_p$ , i.e., a minimal extension of  $\mathbb{Q}_p$  over which every non-zero polynomial in  $\mathbb{Q}_p[X]$  factors into linear factors. We construct an extension of  $|\cdot|_p$  to  $\overline{\mathbb{Q}_p}$ .

For polynomials  $f, g \in \mathbb{Z}_p[X]$  we write  $f \equiv g \pmod{p^m}$  if  $p^{-m}(f - g) \in \mathbb{Z}_p[X]$ . Given  $f \in \mathbb{Z}_p[X]$  and a sequence of polynomials  $f_m \in \mathbb{Z}_p[X]$  ( $m = 1, 2, \dots$ ), we write  $\lim_{m \rightarrow \infty} f_m = f$  if for every  $k \geq 0$ , the sequence of coefficients of  $X^k$  in  $f_m$  converges to the coefficient of  $X^k$  in  $f$ . Clearly,  $\lim_{m \rightarrow \infty} f_m = f$  if and only if there

is a sequence of non-negative integers  $a_m$  with  $\lim_{m \rightarrow \infty} a_m \rightarrow \infty$  (in  $\mathbb{R}$ ) such that  $f_m \equiv f \pmod{p^{a_m}}$ .

An important tool is the so-called *Hensel's Lemma*, which gives a method to derive, from a factorization of a polynomial  $f \in \mathbb{Z}_p[X]$  modulo  $p$ , a factorization of  $f$  in  $\mathbb{Z}_p[X]$ .

**Theorem 8.13.** *Let  $f, g_1, h_1$  be polynomials in  $\mathbb{Z}_p[X]$  such that  $f \neq 0$ ,*

$$\begin{aligned} f &\equiv g_1 h_1 \pmod{p}, & \gcd(g_1, h_1) &\equiv 1 \pmod{p}, \\ g_1 &\text{ is monic, } & 0 < \deg g_1 < \deg f, & \deg g_1 h_1 \leq \deg f. \end{aligned}$$

*Then there exist polynomials  $g, h \in \mathbb{Z}_p[X]$  such that*

$$f = gh, \quad g \equiv g_1 \pmod{p}, \quad h \equiv h_1 \pmod{p}, \quad g \text{ is monic, } \deg g = \deg g_1.$$

*Proof.* By induction on  $m$ , we prove that there are polynomials  $g_m, h_m \in \mathbb{Z}_p[X]$  such that

$$(8.2) \quad \begin{cases} f \equiv g_m h_m \pmod{p^m}, & g_m \equiv g_1 \pmod{p}, & h_m \equiv h_1 \pmod{p}, \\ g_m \text{ is monic, } & \deg g_m = \deg g_1, & \deg g_m h_m \leq \deg f. \end{cases}$$

For  $m = 1$  this follows from our assumption. Let  $m \geq 2$ , and suppose that there are polynomials  $g_{m-1}, h_{m-1}$  satisfying (8.2) with  $m-1$  instead of  $m$ . We try to find  $u, v \in \mathbb{Z}_p[X]$  such that  $g_m = g_{m-1} + p^{m-1}u$ ,  $h_m = h_{m-1} + p^{m-1}v$  satisfy (8.2). By assumption,

$$A := p^{1-m}(f - g_{m-1}h_{m-1}) \in \mathbb{Z}_p[X].$$

Notice that  $f \equiv g_m h_m \pmod{p^m}$  if and only if

$$\begin{aligned} f - (g_{m-1} + p^m u)(h_{m-1} + p^m v) &\equiv 0 \pmod{p^m} \\ \iff A \equiv v g_{m-1} + u h_{m-1} \pmod{p} &\iff A \equiv v g_1 + u h_1 \pmod{p}. \end{aligned}$$

Thanks to our assumption  $\gcd(g_1, h_1) \equiv 1 \pmod{p}$  such  $u, v$  exist, and in fact, we can choose  $u$  with  $\deg u < \deg g_1$ . Then clearly,  $g_m = g_{m-1} + p^{m-1}u$ ,  $h_m = h_{m-1} + p^{m-1}v$  satisfy (8.2).

Now for each term  $X^k$ , the coefficients of  $X^k$  in the  $g_m$  form a Cauchy sequence, hence have a limit, so we can take  $g := \lim_{m \rightarrow \infty} g_m$ . Then  $g$  is monic, and  $0 < \deg g < \deg f$ . Likewise, we can define  $h := \lim_{m \rightarrow \infty} h_m$ . Then

$$f - gh = \lim_{m \rightarrow \infty} (f - g_m h_m) = 0.$$

This completes our proof. □

**Corollary 8.14.** *Let  $f = a_0X^n + a_1X^{n-1} + \cdots + a_n \in \mathbb{Q}_p[X]$  be irreducible. Put  $M := \max(|a_0|_p, \dots, |a_n|_p)$ . Let  $k$  be the smallest index  $i$  such that  $|a_i|_p = M$ . Then  $k = 0$  or  $k = n$ .*

*Proof.* Assume that  $0 < k < n$ . So  $|a_i|_p < |a_k|_p$  for  $i < k$  and  $|a_i|_p \leq |a_k|_p$  for  $i \geq k$ . Put  $\tilde{f} := b_k^{-1}f$ . Then

$$\tilde{f} = b_0X^n + \cdots + b_{k-1}X^{n-k+1} + X^{n-k} + b_{k+1}X^{n-k-1} + \cdots + b_n$$

with  $|b_i|_p < 1$  for  $i < k$  and  $|b_i|_p \leq 1$  for  $i > k$ . Now  $\tilde{f} \in \mathbb{Z}_p[X]$ ,  $b_0, \dots, b_{k-1}$  are divisible by  $p$ , and thus,

$$\tilde{f} \equiv (X^{n-k} + b_{k+1}X^{n-k-1} + \cdots + b_n) \cdot 1 \pmod{p}.$$

By applying Hensel's Lemma, we infer that there are polynomials  $g, h \in \mathbb{Z}_p[X]$  such that  $\tilde{f} = gh$  and  $\deg g = n - k$ . Then  $\tilde{f}$ , hence  $f$ , is reducible, contrary to our assumption.  $\square$

We are now ready to define an extension of  $|\cdot|_p$  to  $\overline{\mathbb{Q}_p}$ . Given  $\alpha \in \overline{\mathbb{Q}_p}$ , let

$$f = X^n + a_1X^{n-1} + \cdots + a_n \in \mathbb{Q}_p[X]$$

be the monic minimal polynomial of  $\alpha$  over  $\mathbb{Q}_p$ , that is the monic polynomial in  $\mathbb{Q}_p[X]$  of smallest degree having  $\alpha$  as a root. Then we put

$$|\alpha|_p := |a_n|_p^{1/n}.$$

Let  $\alpha^{(1)} = \alpha, \dots, \alpha^{(n)}$  be the conjugates of  $\alpha$ , i.e., the roots of  $f$  in  $\overline{\mathbb{Q}_p}$ . Let  $L$  be any finite extension of  $\mathbb{Q}_p$  containing  $\alpha$ , and suppose that  $[L : \mathbb{Q}_p] = m$ . Completely similarly as for algebraic number fields, the field  $L$  has precisely  $m$  embeddings in  $\overline{\mathbb{Q}_p}$  that leave the elements of  $\mathbb{Q}_p$  unchanged, say  $\sigma_1, \dots, \sigma_m$ . Now in the sequence  $\sigma_1(\alpha), \dots, \sigma_m(\alpha)$ , each of the conjugates  $\alpha^{(1)}, \dots, \alpha^{(n)}$  occurs precisely  $m/n$  times. Define the norm  $N_{L/\mathbb{Q}_p}(\alpha) := \sigma_1(\alpha) \cdots \sigma_m(\alpha)$ . Then

$$|\alpha|_p = |a_n|_p^{1/n} = |\alpha^{(1)} \cdots \alpha^{(n)}|_p^{1/n} = |N_{L/\mathbb{Q}_p}(\alpha)|_p^{1/[L:\mathbb{Q}_p]}.$$

In case that  $\alpha \in \mathbb{Q}_p$ , the minimal polynomial of  $\alpha$  is  $X - \alpha$ , and thus we get back our already defined  $|\alpha|_p$ .

**Theorem 8.15.**  $|\cdot|_p$  defines a non-archimedean absolute value on  $\overline{\mathbb{Q}_p}$ .

*Proof.* Let  $\alpha, \beta \in \overline{\mathbb{Q}_p}$ , and take  $L = \mathbb{Q}_p(\alpha, \beta)$ . Then

$$|\alpha\beta|_p = |N_{L/\mathbb{Q}_p}(\alpha\beta)|_p^{1/[L:\mathbb{Q}_p]} = |N_{L/\mathbb{Q}_p}(\alpha)|_p^{1/[L:\mathbb{Q}_p]} |N_{L/\mathbb{Q}_p}(\beta)|_p^{1/[L:\mathbb{Q}_p]} = |\alpha|_p |\beta|_p.$$

To prove that  $|\alpha + \beta|_p \leq \max(|\alpha|_p, |\beta|_p)$ , assume without loss of generality that  $|\alpha|_p \leq |\beta|_p$  and put  $\gamma := \alpha/\beta$ . Then  $|\gamma|_p \leq 1$ , and we have to prove that  $|1 + \gamma|_p \leq 1$ . Let  $f = X^n + a_1X^{n-1} + \cdots + a_n$  be the minimal polynomial of  $\gamma$  over  $\mathbb{Q}_p$ . Then  $|a_n|_p = |\gamma|_p^n \leq 1$ , and by Corollary 8.14, also  $|a_i|_p \leq 1$  for  $i = 1, \dots, n-1$ . Now the minimal polynomial of  $\gamma + 1$  is  $f(X-1) = X^n + \cdots + f(-1)$  and so

$$\begin{aligned} |\gamma + 1|_p = |f(-1)|_p^{1/n} &= |(-1)^n + a_1(-1)^{n-1} + \cdots + a_0|_p^{1/n} \\ &\leq \max(1, |a_1|_p, \dots, |a_n|_p)^{1/n} \leq 1, \end{aligned}$$

as required. □

We recall Eisenstein's irreducibility criterion for polynomials in  $\mathbb{Z}_p$ .

**Lemma 8.16.** *Let  $f(X) = X^n + a_1X^{n-1} + \cdots + a_{n-1}X + a_n \in \mathbb{Z}_p[X]$  be such that  $a_i \equiv 0 \pmod{p}$  for  $i = 1, \dots, n$ , and  $a_n \not\equiv 0 \pmod{p^2}$ . Then  $f$  is irreducible in  $\mathbb{Q}_p[X]$ .*

*Proof.* Completely similar as the Eisenstein criterion for polynomials in  $\mathbb{Z}[X]$ . □

**Example.** Let  $\alpha$  be a zero of  $X^3 - 8X + 10$  in  $\overline{\mathbb{Q}_2}$ . The polynomial  $X^3 - 8X + 10$  is irreducible in  $\mathbb{Q}_2[X]$ , hence it is the minimal polynomial of  $\alpha$ . It follows that  $|\alpha|_2 = |10|_2^{1/3} = 2^{-1/3}$ .

We finish with some facts which we state without proof.

**Theorem 8.17. (i)** *Let  $K$  be a finite extension of  $\mathbb{Q}_p$ . Then there is precisely one absolute value on  $K$  whose restriction to  $\mathbb{Q}_p$  is  $|\cdot|_p$ , and this is given by  $|N_{K/\mathbb{Q}_p}(\cdot)|_p^{1/[K:\mathbb{Q}_p]}$ . Further,  $K$  is complete with respect to this absolute value.*

**(ii)**  $\overline{\mathbb{Q}_p}$  is **not** complete with respect to  $|\cdot|_p$ .

**(iii)** The completion  $\mathbb{C}_p$  of  $\overline{\mathbb{Q}_p}$  with respect to  $|\cdot|_p$  is algebraically closed.

## 8.6 Exercises

In the exercises below,  $p$  always denotes a prime number and convergence is with respect to  $|\cdot|_p$ .

**Exercise 8.1.** (a) Determine the  $p$ -adic expansion of  $-1$ .

(b) Let  $\alpha = \sum_{k=0}^{\infty} b_k p^k$  with  $b_k \in \{0, \dots, p-1\}$  for  $k \geq 0$ . Determine the  $p$ -adic expansion of  $-\alpha$ .

**Exercise 8.2.** Let  $\alpha \in \mathbb{Q}_p$ ,  $\alpha \neq 0$ . Prove that  $\alpha$  has a finite  $p$ -adic expansion if and only if  $\alpha = a/p^r$  where  $a$  is a positive integer and  $r$  a non-negative integer.

**Exercise 8.3.** Let  $\alpha = \sum_{k=-k_0}^{\infty} b_k p^k \in \mathbb{Q}_p$  where  $b_k \in \{0, \dots, p-1\}$  for  $k \geq -k_0$  and  $b_{-k_0} \neq 0$ . Suppose that the sequence  $\{b_k\}_{k=-k_0}^{\infty}$  is ultimately periodic, i.e., there exist  $r, s$  with  $r \geq -k_0$ ,  $s > 0$  such that  $b_{k+s} = b_k$  for all  $k \geq r$ . Prove that  $\alpha \in \mathbb{Q}$ .

**Exercise 8.4.** Let  $\alpha \in \mathbb{Z}_p$  with  $|\alpha - 1|_p \leq p^{-1}$ . In this exercise you are asked to define  $\alpha^x$  for  $x \in \mathbb{Z}_p$  and to show that this exponentiation has the expected properties. You may use without proof that the limit of the sum, product etc. of two sequences in  $\mathbb{Z}_p$  is the sum, product etc. of the limits.

(a) Prove that  $|\frac{\alpha^p - 1}{\alpha - 1}|_p \leq p^{-1}$ .

(b) Let  $u$  be a positive integer. Prove that  $|\alpha^u - 1|_p \leq |u|_p |\alpha - 1|_p$ .

**Hint.** Write  $u = p^m b$  where  $b$  is not divisible by  $p$  and use induction on  $m$ .

(c) Let  $u, v$  be positive integers. Prove that  $|\alpha^u - \alpha^v|_p \leq |u - v|_p |\alpha - 1|_p$ .

(d) We now define  $\alpha^x$  for  $x \in \mathbb{Z}_p$  as follows. Take a sequence of positive integers  $\{a_k\}_{k=0}^{\infty}$  such that  $\lim_{k \rightarrow \infty} a_k = x$  and define

$$\alpha^x := \lim_{k \rightarrow \infty} \alpha^{a_k}.$$

Prove that this is well-defined, i.e., the limit exists and is independent of the choice of the sequence  $\{a_k\}_{k=0}^{\infty}$ .

(e) Prove that for  $x, y \in \mathbb{Z}_p$  we have  $|\alpha^x - \alpha^y|_p \leq |x - y|_p |\alpha - 1|_p$ . (**Hint.** Take sequences of positive integers converging to  $x, y$ .)

Then show that if  $\{x_k\}_{k=0}^{\infty}$  is a sequence in  $\mathbb{Z}_p$  such that  $\lim_{k \rightarrow \infty} x_k = x$  then  $\lim_{k \rightarrow \infty} \alpha^{x_k} = \alpha^x$  (so the function  $x \mapsto \alpha^x$  is continuous).

- (f) Prove the following properties of the above defined exponentiation:
- (i)  $(\alpha\beta)^x = \alpha^x\beta^x$  for  $\alpha, \beta \in \mathbb{Z}_p$ ,  $x \in \mathbb{Z}_p$  with  $|\alpha - 1|_p \leq p^{-1}$ ,  $|\beta - 1|_p \leq p^{-1}$ ;
  - (ii)  $\alpha^{x+y} = \alpha^x\alpha^y$ ,  $(\alpha^x)^y = \alpha^{xy}$  for  $\alpha \in \mathbb{Z}_p$  with  $|\alpha - 1|_p \leq p^{-1}$ ,  $x, y \in \mathbb{Z}_p$ .

**Remark.** In 1935, Mahler proved the following  $p$ -adic analogue of the Gel'fond-Schneider Theorem: let  $\alpha, \beta$  be elements of  $\mathbb{Z}_p$ , both algebraic over  $\mathbb{Q}$ , such that  $|\alpha - 1|_p \leq p^{-1}$  and  $\beta \notin \mathbb{Q}$ . Then  $\alpha^\beta$  is transcendental over  $\mathbb{Q}$ .

**Exercise 8.5.** Denote by  $\mathbb{C}((t))$  the field of formal Laurent series

$$\sum_{k=k_0}^{\infty} b_k t^k$$

with  $k_0 \in \mathbb{Z}$ ,  $b_k \in \mathbb{C}$  for  $k \geq k_0$ . We define an absolute value  $|\cdot|_0$  on  $\mathbb{C}((t))$  by  $|0|_0 := 0$  and  $|\alpha|_0 := c^{-k_0}$  ( $c > 1$  some constant) where

$$\alpha = \sum_{k=k_0}^{\infty} b_k t^k \quad \text{with } b_{k_0} \neq 0.$$

This absolute value is clearly non-archimedean.

- (a) Prove that  $\mathbb{C}((t))$  is complete w.r.t.  $|\cdot|_0$ .
- (b) Define  $|\cdot|_0$  on the field of rational functions  $\mathbb{C}(t)$  by  $|0|_0 := 0$  and  $|\alpha|_0 := c^{-k_0}$  if  $\alpha \neq 0$ , where  $k_0$  is the integer such that  $\alpha = t^{k_0} f/g$  with  $f, g$  polynomials not divisible by  $t$ . Prove that  $\mathbb{C}((t))$  is the completion of  $\mathbb{C}(t)$  w.r.t.  $|\cdot|_0$ .

**Exercise 8.6.** In this exercise you are asked to work out a  $p$ -adic analogue of Newton's method to approximate the roots of a polynomial (which is in fact a special case of Hensel's Lemma). Let  $f = a_0 X^n + \cdots + a_n \in \mathbb{Z}_p[X]$ . The derivative of  $f$  is  $f' = n a_0 X^{n-1} + \cdots + a_{n-1}$ .

- (a) Let  $a, x \in \mathbb{Z}_p$  and suppose that  $x \equiv 0 \pmod{p^m}$  for some positive integer  $m$ . Prove that  $f(a+x) \equiv f(a) \pmod{p^m}$  and  $f(a+x) \equiv f(a) + f'(a)x \pmod{p^{2m}}$ .  
**Hint.** Use that  $f(a+X) \in \mathbb{Z}_p[X]$ .



- (b) Let  $x_0 \in \mathbb{Z}$  such that  $f(x_0) \equiv 0 \pmod{p}$ ,  $f'(x_0) \not\equiv 0 \pmod{p}$ . Define the sequence  $\{x_n\}_{n=0}^{\infty}$  recursively by

$$x_{n+1} := x_n - \frac{f(x_n)}{f'(x_n)} \quad (n \geq 0).$$

Prove that  $x_n \in \mathbb{Z}_p$ ,  $f(x_n) \equiv 0 \pmod{p^{2^n}}$ ,  $f'(x_n) \not\equiv 0 \pmod{p}$  for  $n \geq 0$ .

- (c) Prove that  $x_n$  converges to a zero of  $f$  in  $\mathbb{Z}_p$ .  
 (d) Prove that  $f$  has precisely one zero  $\xi \in \mathbb{Z}_p$  such that  $\xi \equiv x_0 \pmod{p}$ .

**Exercise 8.7.** In this exercise,  $p$  is a prime  $> 2$ .

- (a) Let  $d$  be a positive integer such that  $d \not\equiv 0 \pmod{p}$  and  $x^2 \equiv d \pmod{p}$  is solvable. Show that  $x^2 = d$  is solvable in  $\mathbb{Z}_p$ .  
 (b) Let  $a, b$  be two positive integers such that none of the congruence equations  $x^2 \equiv a \pmod{p}$ ,  $x^2 \equiv b \pmod{p}$  is solvable in  $x \in \mathbb{Z}$ . Prove that  $ax^2 \equiv b \pmod{p}$  is solvable in  $x \in \mathbb{Z}$ .  
**Hint.** Use that the multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^*$  is cyclic of order  $p-1$ . This implies that there is an integer  $g$  such that  $(\mathbb{Z}/p\mathbb{Z})^* = \{g^m \pmod{p} : m = 0, \dots, p-2\}$ .  
 (c) Let  $K$  be a quadratic extension of  $\mathbb{Q}_p$ . Prove that  $K = \mathbb{Q}_p(\sqrt{d})$  for some  $d \in \mathbb{Z}_p$ . Next, prove that  $\mathbb{Q}_p(\sqrt{d_1}) = \mathbb{Q}_p(\sqrt{d_2})$  if and only if  $d_1/d_2$  is a square in  $\mathbb{Q}_p$ .  
 (d) Determine all quadratic extensions of  $\mathbb{Q}_5$ .  
 (e) Prove that for any prime  $p > 2$ ,  $\mathbb{Q}_p$  has up to isomorphism only three distinct quadratic extensions.

**Exercise 8.8.** (a) Prove that  $x^{p-1} = 1$  has precisely  $p-1$  solutions in  $\mathbb{Z}_p$ , and that these solutions are different modulo  $p$ .

- (b) Let  $S$  consist of 0 and of the solutions in  $\mathbb{Z}_p$  of  $x^{p-1} = 1$ . Let  $\alpha \in \mathbb{Z}_p$ . Prove that for any positive integer  $m$ , there are  $\xi_0, \dots, \xi_{m-1} \in S$  such that  $\alpha \equiv \sum_{k=0}^{m-1} \xi_k p^k \pmod{p^m}$ . Then prove that there is a sequence  $\{\xi_k\}_{k=0}^{\infty}$  in  $S$  such that  $\alpha = \sum_{k=0}^{\infty} \xi_k p^k$ . (This is called the Teichmüller representation of  $\alpha$ ).

**Exercise 8.9.** In this exercise you may use the following facts on  $p$ -adic power series (the coefficients are always in  $\mathbb{Q}_p$ , and  $m, m' \in \mathbb{Z}$ ).

1) Suppose  $f(x) = \sum_{n=0}^{\infty} a_n(x - x_0)^n$ ,  $g(x) = \sum_{n=0}^{\infty} b_n(x - x_0)^n$  converge and are equal on  $B(x_0, p^{-m})$ . Then  $a_n = b_n$  for all  $n \geq 0$ .

2) Suppose that for  $x \in B(x_0, p^{-m})$ ,  $f(x) = \sum_{n=0}^{\infty} a_n(x - x_0)^n$  converges and  $|f(x) - f(x_0)|_p \leq p^{-m'}$ . Further, suppose that  $g(x) = \sum_{n=0}^{\infty} b_n(x - f(x_0))^n$  converges on  $B(f(x_0), p^{-m'})$ . Then the composition  $g(f(x))$  can be expanded as a power series  $\sum_{n=0}^{\infty} c_n(x - x_0)^n$  which converges on  $B(x_0, p^{-m})$ .

3) We define the derivative of  $f(x) = \sum_{n=0}^{\infty} a_n(x - x_0)^n$  by

$$f'(x) := \sum_{n=1}^{\infty} n a_n (x - x_0)^{n-1}.$$

If  $f$  converges on  $B(x_0, p^m)$  then so does  $f'$ . The derivative satisfies the same sum rules, product rule, quotient rule and chain rule as the derivative of a function on  $\mathbb{R}$ , e.g.,  $g(f(x))' = g'(f(x))f'(x)$ .

Now define the  $p$ -adic exponential function and  $p$ -adic logarithm by

$$\exp_p x := \sum_{n=0}^{\infty} \frac{x^n}{n!}, \quad \log_p x := \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n} \cdot (x - 1)^n.$$

Further, let  $r = 1$  if  $p > 2$ ,  $r = 2$  if  $p = 2$ . Prove the following properties.

(a) Prove that  $\exp_p(x)$  converges and  $|\exp_p(x) - 1|_p = |x|_p$  for  $x \in B(0, p^{-r})$ .

**Hint.** Prove that  $|x^n/n!|_p \rightarrow 0$  as  $n \rightarrow \infty$ , and  $|x^n/n!|_p < |x|_p$  for  $n \geq 2$ .

(b) Prove that  $\log_p(x)$  converges and  $|\log_p x|_p = |x - 1|_p$  for  $x \in B(1, p^{-r})$ .

(c) Prove that  $\exp_p(x + y) = \exp_p(x) \exp_p(y)$  for  $x, y \in B(0, p^{-r})$ .

**Hint.** Fix  $y$  and consider the function in  $x$ ,

$$f(x) := \exp_p(y)^{-1} \exp_p(x + y).$$

Then  $f(x)$  can be expanded as a power series  $\sum_{n=0}^{\infty} a_n x^n$ . Its derivative  $f'(x)$  can be computed in the same way as one should do it for real or complex functions. This leads to conditions on the coefficients  $a_n$ .

(d) Prove that  $\log_p(xy) = \log_p(x) + \log_p(y)$  for  $x, y \in B(1, p^{-r})$ .

(e) Prove that  $\log_p(\exp_p x) = x$  for  $x \in B(0, p^{-r})$ .

(f) Prove that  $\exp_p(\log_p x) = x$  for  $x \in B(1, p^{-r})$ .