Analogue of the Brauer-Siegel theorem for Legendre elliptic curves

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Abstract – We prove an analogue of the Brauer–Siegel theorem for the Legendre elliptic curves over $\mathbb{F}_q(t)$. Namely, denoting by $E_d$ the elliptic curve with model $y^2 = x(x+1)(x+t^d)$ over $K = \mathbb{F}_q(t)$, we show that, for $d \to \infty$ ranging over the integers, one has

$$\log \left| \frac{X(E_d/K)}{\text{Reg}(E_d/K)} \right| \sim \log H(E_d/K) \sim \frac{\log q}{2} \cdot d.$$ 

Here, $H(E_d/K)$ denotes the exponential differential height of $E_d$, $\frac{X(E_d/K)}{\text{Reg}(E_d/K)}$ its Tate–Shafarevich group (which is known to be finite), and $\text{Reg}(E_d/K)$ its Néron–Tate regulator.

Keywords: Elliptic curves over function fields, $L$-functions and BSD conjecture, Estimates on special values.


Introduction

The Brauer–Siegel theorem describes the asymptotic behaviour of the product of the class number by the regulator of units in sequences of number fields. More precisely, when $k$ runs through a sequence of number fields whose degrees over $\mathbb{Q}$ are bounded, and such that the absolute values $\Delta_k$ of their discriminants tend to $+\infty$, then one has the asymptotic estimate

$$\log \left| \frac{\text{Cl}(k)}{\text{Reg}(k)} \right| \sim \log \sqrt{\Delta_k} \quad \text{(as $\Delta_k \to \infty$)},$$

where $\text{Cl}(k)$ denotes the class-group of $k$, and $\text{Reg}(k)$ its regulator of units.

In their recent paper [HP16], Hindry and Pacheco proposed to study an analogue of (1) for elliptic curves $E$ over $K = \mathbb{F}_q(t)$, where $\mathbb{F}_q$ is a given finite field. The analogy is as follows: one replaces $\sqrt{\Delta_k}$ by the exponential height of $E$, the class number $|\text{Cl}(k)|$ by the order of the Tate–Shafarevich group $\text{III}(E/K)$ (assuming it is finite), and the regulator of units $\text{Reg}(k)$ by the Néron-Tate regulator $\text{Reg}(E/K)$. They were thus led to introduce the Brauer–Siegel ratio of $E/K$:

$$\text{Bs}(E/K) := \frac{\log \left| \text{III}(E/K) \cdot \text{Reg}(E/K) \right|}{\log H(E/K)},$$

and to investigate its asymptotic behaviour as $E$ runs through sequences of elliptic curves over $K$ whose heights tend to $+\infty$. Assuming the finiteness of Tate–Shafarevich groups, they prove that

$$0 \leq \liminf_{H(E/K) \to \infty} \text{Bs}(E/K) \leq \limsup_{H(E/K) \to \infty} \text{Bs}(E/K) = 1.$$

Should a perfect analogue of (1) for elliptic curves hold, one would certainly expect that

$$\lim_{H(E/K) \to \infty} \text{Bs}(E/K) = 1.$$

However, not only is the proof of such an asymptotic relation out of reach at the moment, but one can reasonably doubt that it should hold in general. Indeed, Hindry and Pacheco discuss heuristics suggesting the existence of infinite sequences $\{E_n\}_{n \geq 1}$ of elliptic curves for which $\lim_{n \to \infty} \text{Bs}(E_n/K) = 0$.

The goal of this article is to exhibit a sequence $\{E_d\}_d$ of elliptic curves over $K$ that does satisfy unconditionally a complete analogue of the classical Brauer–Siegel theorem (1). Indeed, our main theorem is:

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Theorem 1.1 — Let \( \mathbb{F}_q \) be a finite field of odd characteristic, and \( K = \mathbb{F}_q(t) \). For any integer \( d \geq 2 \), consider the Legendre elliptic curve \( E_d/K \) defined by the affine Weierstrass model:

\[
E_d : \quad y^2 = x(x+1)(x+t^d).
\]

Then the Tate–Shafarevich group \( \Sha(E_d/K) \) is finite and, as \( d \to \infty \), one has the asymptotic estimate:

\[
\log \left( |\Sha(E_d/K)| \cdot \text{Reg}(E_d/K) \right) \sim \log H(E_d/K),
\]

where \( \text{Reg}(E_d/K) \) denotes the Néron-Tate regulator of \( E_d(K) \), and \( H(E_d/K) \) is the (exponential) differential height of \( E_d/K \) (see definitions below).

This theorem can be restated as:

\[
\forall \varepsilon > 0, \quad H(E_d/K)^{1-\varepsilon} \ll q, \varepsilon |\Sha(E_d/K)| \cdot \text{Reg}(E_d/K) \ll q, \varepsilon H(E_d/K)^{1+\varepsilon}.
\]

The upper bound essentially proves a conjecture of Lang (originally formulated for elliptic curves over \( \mathbb{Q} \) in [Lan83, Conj. 1]), and our lower bound reveals that the exponent 1 is optimal (i.e., no smaller number would do in the upper bound). Furthermore, it follows from the computation of \( H(E_d/K) \) (see section 2) that one has

\[
\log \left( |\Sha(E_d/K)| \cdot \text{Reg}(E_d/K) \right) \sim \frac{\log q}{2} \cdot d, \quad \text{as } d \to \infty,
\]

showing that the product \( |\Sha(E_d/K)| \cdot \text{Reg}(E_d/K) \) grows exponentially fast with \( d \). In the interpretation of [HM07], this suggests that the Mordell–Weil groups \( E_d(K) \) are “exponentially hard to compute”.

Note that \( E_d \) is but the second known sequence of elliptic curves satisfying \( \Sha(E_d/K) = 1 \) unconditionally (see also [HP16, Thm. 1.4]). Four more examples were constructed in the author’s PhD thesis [Gri16a].

To conclude this introduction, let us give the plan of the paper, as well as a rough sketch of the proof of Theorem 1.1. The Legendre elliptic curves \( E_d \) have been previously studied by Ulmer, Conceição and Hall in a series of papers ([Ulm14], [CHU14], ...); in particular, they proved that \( E_d \) satisfies the Birch and Swinnerton-Dyer conjecture (henceforth abbreviated as BSD). This implies the finiteness of \( \Sha(E_d/K) \), and is the main reason why our Theorem 1.1 is unconditional (see section 2). Moreover, they have given an explicit expression for the \( L \)-function \( L(E_d/K, T) \in \mathbb{Z}[T] \) of \( E_d \) and of its zeroes (see section 3).

Our first step towards Theorem 1.1 will be to reduce it to an analytic statement: see (3) below. More precisely, denoting by \( \rho = \text{ord}_{T=q^{-1}} L(E_d/K, T) \), the BSD conjecture gives the following expression for the special value \( L^*(E_d/K, 1) \) of the \( L \)-function of \( E_d \):

\[
L^*(E_d/K, 1) := \frac{L(E_d/K, T)}{(1-qT)^\rho} \bigg|_{T=q^{-1}} = \frac{|\Sha(E_d/K)| \cdot \text{Reg}(E_d/K)}{H(E_d/K)} \cdot (\text{extra terms}).
\]

Estimating the size of the “extra terms”, we show (see Corollary 2.5) that

\[
\frac{\log \left( |\Sha(E_d/K)| \cdot \text{Reg}(E_d/K) \right)}{\log H(E_d/K)} = 1 + \log L^*(E_d/K, 1) \log H(E_d/K) + o(1) \quad \text{as } d \to \infty.
\]

The size of these “extra terms” was first controlled in [HP16] for abelian varieties \( A \) over \( K \) (see Theorems 1.22 and 3.8 there). However, their proof is quite involved. Since the proof in the special case of \( E_d \) is elementary and explicit, we thought it was worth giving details here. Given (3), proving Theorem 1.1 boils down to showing that

\[
-o(1) \leq \frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} \leq o(1) \quad \text{as } d \to \infty.
\]

The upper bound in (4) is relatively easy to prove (see Theorem 3.6), but the proof of the lower bound is much more delicate. We proceed as follows: by definition, the special value \( L^*(E_d/K, 1) \) has the following shape:

\[
L^*(E_d/K, 1) = \frac{\text{a positive prime-to-} p \text{ integer}}{q^{e_q(d)}}, \quad \text{for some exponent } e_q(d) \geq 0.
\]

A straightforward estimate shows that \( e_q(d) \ll d \), but the lower bound in (4) requires to prove the stronger statement that \( e_q(d)/d \to 0 \), as \( d \to \infty \). This improved bound on \( e_q(d) \) constitutes our main technical result (Theorem 4.1), the proof of which is given in section 4. There are two main ingredients in the proof of this theorem. First, we rely on the explicit factorization of \( L(E_d/K, T) \) in [CHU14] to obtain an expression for \( L^*(E_d/K, 1) \) (see Proposition 3.4). Noting that \( L^*(E_d/K, 1) \) is given in terms of Jacobi
suns, we use a variant of Stickelberger’s theorem to obtain a reasonably explicit expression for \(e_q(d)\).
Second, we observe that the size of the resulting expression for \(e_q(d)\) can be estimated by using an average equidistribution result for subgroups of \((\mathbb{Z}/d\mathbb{Z})^\times\), proved by the author in [Gri16b].

For the purpose of clarity, we have only stated qualitative bounds in this introduction, but note that we will actually prove a quantitative version of Theorem 1.1 (see Theorem 5.3): unlike the (lower bound in the) classical Brauer–Siegel theorem, Theorem 1.1 is entirely effective.

**Notations**
For all integers \(d \geq 2\), let \(\mu_d\) be the group of \(d\)-th roots of unity in \(\overline{\mathbb{F}}_q\). The cardinality of a finite set \(X\) will be denoted by \(|X|\). For any prime power \(q\), and any integer \(n \geq 1\) coprime to \(q\), \(\langle q \rangle_n\) will denote the subgroup of \((\mathbb{Z}/n\mathbb{Z})^\times\) generated by \(q\), and we let \(\omega_q(n) := |\langle q \rangle_n|\) the multiplicative order of \(q\) modulo \(n\). For two functions \(f(x), g(x)\) defined on \([0, \infty)\), we make use of the Vinogradov notation \(f(x) \ll_a g(x)\) to mean that there exists a constant \(C > 0\) (depending at most on the mentioned parameters \(a\)) such that \(|f(x)| \leq Cg(x)\) for \(x \to \infty\). Unless otherwise stated, all constants are effective and could be made explicit.

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## 2 The Legendre elliptic curves

*Throughout the paper, we fix a finite field \(\mathbb{F}_q\) of odd characteristic \(p \geq 3\) and we denote by \(K = \mathbb{F}_q(t)\).*

For any integer \(d \geq 1\), we consider the *Legendre elliptic curve* \(E_d/K\), given by the affine Weierstrass model

\[
E_d: \quad y^2 = x(x+1)(x+t^d).
\]  
(2.1)

The discriminant of this model of \(E_d\) is easily seen to be \(\Delta = 16t^{2d}(t^d - 1)^2\). Likewise, the \(j\)-invariant of \(E_d\) is easily computed from (2.1) and we find:

\[
j(E_d/K) = \frac{2^8 \cdot (t^{2d} - 16t^d + 1)^3}{t^{2d}(t^d - 1)^2} \in K.
\]

We note that \(j(E_d/K)\) is nonconstant, so that \(E_d\) is not isotrivial. Furthermore, \(j(E_d/K)\) is visibly not a \(p\)-th power in \(K\).

**Remark 2.1** We follow [Ulm14] in calling \(E_d\) a Legendre curve: see [Ulm14, §2] for more comments on this choice of terminology. We also note the slight change in points of view compared to [Ulm14], [CHU14]; instead of considering a fixed curve \(E_1\) over a varying field \(\mathbb{F}_q(t^{1/d})\), we fix the base field \(\mathbb{F}_q(t)\) and vary the curve \(E_d\). This is only a matter of convenience, and has no influence on the results.

This section is mainly expository and does not contain new results: we review the definitions of the invariants of \(E_d\) and the computations of some of them, we also state the relevant theorems about \(E_d\). In the last subsection, we explain how the problem of bounding \(|\III(E_d/K)| \cdot \Reg(E_d/K)\) can be reduced to studying the size of the special value \(L^*(E_d/K,1)\) of the \(L\)-function of \(E_d/K\) at \(s = 1\).

### 2.1 Review of the invariants

For any \(d \geq 1\), we denote by \(|\mu_d|\) the set of places of \(K\) that divide \(t^d - 1\) i.e., \(|\mu_d|\) is the set of closed points of \(\mathbb{P}^1\) corresponding to \(d\)-th roots of unity in \(\overline{\mathbb{F}}_q\). Applying Tate’s algorithm (as in §9 of [Sil94, Chap. IV] for example), one can describe the bad reduction of \(E_d\):

**Proposition 2.2** – The elliptic curve \(E_d/K\) has good reduction outside the places of \(K\) corresponding to \(S = \{0\} \cup \mu_d \cup \{\infty\} \subset \mathbb{P}^1\); moreover, the bad reduction of \(E_d\) is as follows:

...
In this table, for all places $v$ of $K$ where $E_d$ has bad reduction, we have denoted by $\text{ord}_v(\Delta_{\text{min}})$ (resp. $\text{ord}_v(N)$) the valuation at $v$ of the minimal discriminant of $E_d$ (resp. of the conductor of $E_d$), and by $c_v(E_d/K)$ the local Tamagawa number (see [Sil94, Chap. IV, §9] for the definitions of these invariants).

For $v = \zeta$ dividing $t^d - 1$ (i.e., $v$ corresponds to a closed point of $\mu_d$), note that $c_\zeta(E_d/K) = 2$ if and only if $-1$ is a square in $F_q(\zeta)$, and $c_\zeta(E_d/K) = 1$ otherwise.

From this Proposition, one can compute the degrees of the minimal discriminant $\Delta_{\text{min}}(E_d/K)$, and of the conductor $N(E_d/K)$ of $E_d$ (both $\Delta_{\text{min}}(E_d/K)$ and $N(E_d/K)$ are viewed as divisors on $\mathbb{P}^1$). For any $d \geq 2$, we write $d = p^i d'$ where $a \geq 0$ and $d' \geq 1$ is coprime to $p$. It is then readily seen that

$$\text{deg } \Delta_{\text{min}}(E_d/K) = \begin{cases} 6d & \text{if } d \text{ is even}, \\ 6(d + 1) & \text{if } d \text{ is odd}, \end{cases} \quad \text{and } \quad \text{deg } N(E_d/K) = \begin{cases} d' + 2 & \text{if } d' \text{ is even}, \\ d' + 3 & \text{if } d' \text{ is odd}. \end{cases} \quad (2.2)$$

By definition, the exponential differential height of $E_d/K$ is

$$H(E_d/K) = q^{\frac{1}{2} \text{deg } \Delta_{\text{min}}(E_d/K)} = q^{\left\lfloor \frac{1}{2} + \frac{1}{2} \right\rfloor}.$$  

See [Ulm14, Lemma 7.1] for a more geometric computation of $H(E_d/K)$ when $d$ is even and coprime to $q$.

Finally, the Tamagawa number $\tau(E_d/K) := \prod_{v \in S} c_v(E_d/K)$ could be computed exactly from the last column of the table in Proposition 2.2, but we will content ourselves with the estimate:

$$1 \leq \tau(E_d/K) \leq (2d)^2, 2^{\theta_q(d)}, \quad (2.4)$$

where $\theta_q(d)$ denotes the number of closed points of $\mu_d$ i.e., $\theta_q(d)$ is the number of monic irreducible polynomials $P \in \mathbb{F}_q[t]$ such that $P \mid t^d - 1$.

### 2.2 Néron-Tate regulator and Tate–Shafarevich group

By the Mordell–Weil theorem (proved by Lang and Néron in this setting), the group $E_d(K)$ is finitely generated. Moreover, the Mordell–Weil group $E_d(K)$ is endowed with the canonical Néron–Tate height $\widehat{h}_{NT} : E_d(K) \to \mathbb{Q}$. Note that, over $\mathbb{F}_q(t)$, it is indeed possible to normalize $\widehat{h}_{NT}$ to have rational values, because it has an interpretation in terms of intersection theory on the minimal regular model of $E_d/K$ (see [Sil94, Chap. III, §9] for details, more specifically Theorem 9.3 there). The quadratic map $\widehat{h}_{NT}$ induces a $\mathbb{Z}$-bilinear pairing $\langle - , - \rangle_{NT} : E_d(K) \times E_d(K) \to \mathbb{Q}$, which is nondegenerate modulo $E_d(K)_{\text{tors}}$ (cf. [Sil94, Chap. III, Thm. 4.3]). The Néron-Tate regulator of $E_d/K$ is then defined to be the Gram determinant

$$\text{Reg}(E_d/K) := \left| \det \left( \langle P_i, P_j \rangle \right)_{1 \leq i, j \leq r} \right| \in \mathbb{Q}^\times,$$

for any choice of a $\mathbb{Z}$-basis $P_1, \ldots, P_r \in E_d(K)$ of $E_d(K)/E_d(K)_{\text{tors}}$. Let us also recall that the Tate–Shafarevich group of $E_d/K$ is defined by

$$\text{III}(E_d/K) := \ker \left( H^1(K, E_d) \to \prod_v H^1(K_v, (E_d)_v) \right),$$

where the involved cohomology groups are Galois cohomology groups (see [Sil09, Chap. X, §4] for more details). We will see in Theorem 2.3 below that $\text{III}(E_d/K)$ is a finite group, which will prove the first assertion of Theorem 1.1.
2.3 BSD conjecture and consequences

The Hasse–Weil $L$-function of $E_d/K$ is a priori defined as a formal Euler product over the places $v$ of $K$:

$$L(E_d/K, T) = \prod_v (1 - a_v(E_d) \cdot T^{\deg v} + q^{\deg v} \cdot T^{2 \deg v})^{-1} \cdot \prod_{v \text{ bad}} (1 - a_v(E_d) \cdot T^{\deg v})^{-1},$$

where $a_v(E_d)$ is defined by counting rational points on the reduction of $E_d$ modulo $v$ (see [Sil09, Appendix C, §16] or [Bru92, Appendix] for more details).

Grothendieck’s cohomological interpretation of $L$-functions shows that $L(E_d/K, T)$ is actually a polynomial in $T$, with integral coefficients, and whose degree is given explicitly in terms of $\mathcal{N}(E_d/K)$. Moreover, by Deligne’s proof of the Riemann Hypothesis, the zeroes of $L(E_d/K, T)$ have magnitude $q^{-1}$ in any complex embedding. We can thus study the behaviour of $L(E_d/K, T)$ around the point $T = q^{-1}$ and introduce the special value $L^*(E_d/K, 1)$ of $L(E_d/K, T)$ at $T = q^{-1}$:

$$L^*(E_d/K, 1) := \left. \frac{L(E_d/K, T)}{(1 - qT)^{p}} \right|_{T = q^{-1}} \in \mathbb{Z}[q^{-1}] \setminus \{0\} \text{ where } \rho = \mathrm{ord}_{T = q^{-1}} L(E_d/K, T). \quad (2.5)$$

Inspired by the conjecture of Birch and Swinnerton-Dyer for elliptic curves over $\mathbb{Q}$, Tate [Tat66] conjectured that $\rho$ and $L^*(E_d/K, 1)$ have an arithmetic interpretation. Their conjecture has been proved for $E_d$ by Ulmer (cf. Corollary 11.3 and Remark 12.2 in [Ulm14]), and we state the result as follows:

**Theorem 2.3 (Ulmer)** – Let $\mathbb{F}_q$ be a finite field of odd characteristic and $K = \mathbb{F}_q(t)$. For all integers $d \geq 1$, let $E_d/K$ be the Legendre curve (2.1) as above. Then the BSD conjecture is true for $E_d/K$; that is to say,

1. the Tate–Shafarevich group $\Sha(E_d/K)$ is finite,
2. the rank of $E_d(K)$ is equal to $\rho = \mathrm{ord}_{T = q^{-1}} L(E/K, T),$
3. and one has

$$L^*(E_d/K, 1) = \frac{||\Sha(E_d/K)| \cdot \mathrm{Reg}(E_d/K) \cdot H(E_d/K)}{|E_d(K)_{\text{tors}}|^2} \cdot \tau(E_d/K) \cdot q^{-1/2}. \quad (2.6)$$

The proof goes roughly as follows (see [Ulm14, §11] and [Ulm13, §7] for more details). Let us write $d$ in the form $d = p^a d'$ with $a \geq 0$ and $d'$ coprime to $p$. Since $E_d$ and $E_{d'}$ are $K$-isogenous through the $p^a$-th power Frobenius morphism, and since the truth of the BSD conjecture is invariant under isogeny, it suffices to treat the case when $d = d'$ is coprime to $q$ (see [Ulm14, Rem. 12.2]). By the main theorem of [KT03], it even suffices to prove that the “weak BSD conjecture” (2) holds for $E_d$. We denote by $\pi : E_d \to \mathbb{P}^1$ the minimal regular model of $E_d$. Proving the equality in (2) is equivalent, by [Tat66] and [Mil75], to proving that the Tate conjecture holds for the surface $E_d$. When $d$ is coprime to $q$, Ulmer [Ulm14, §7] has explicitly constructed the model $E_d \to \mathbb{P}^1$ and shown that the corresponding surface $E_d$ is dominated by a product of curves. The Tate conjecture has been proved for products of curves (see [Tat94]), and the existence of a dominant map to $E_d$ implies the truth of this conjecture for $E_d$ when $d$ is coprime to $q.$

The link between the product $||\Sha(E_d/K)| \cdot \mathrm{Reg}(E_d/K)$ and the special value $L^*(E_d/K, 1)$ is now clear. For any integer $d \geq 2$, reordering terms in (2.6) and taking a log, we obtain that:

$$\frac{\log (||\Sha(E_d/K)| \cdot \mathrm{Reg}(E_d/K))}{\log H(E_d/K)} = 1 + \frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} + \frac{\log |E_d(K)_{\text{tors}}|^2 - \log(\tau(E_d/K) \cdot q)}{\log H(E_d/K)}. \quad (2.7)$$

Let us show that the right-most term is asymptotically negligible:

**Lemma 2.4** – As $d \geq 2$ tends to infinity, one has

$$|E_d(K)_{\text{tors}}| \ll 1 \quad \text{and} \quad \frac{\log(\tau(E_d/K) \cdot q)}{\log H(E_d/K)} \ll q \frac{1}{\log d}. \quad (2.8)$$

**Proof:** First, Proposition 6.1 in [Ulm14] implies that $|E_d(K)_{\text{tors}}| \leq 8$ for all integers $d' \geq 2$ coprime to $p$. Now let $d \geq 2$ be any integer and write $d = p^a d'$ with $(d', p) = 1$. Since $j(E_d/K)$ is not a $p$-th power in $K$, Proposition 7.3 in [Ulm11, Lect. 1] shows that $E_d(K)_{\text{tors}}$ has trivial $p$-primary part. Recall that $E_d$ and $E_{d'}$ are related by the $p^a$-th power Frobenius isogeny, so their torsion subgroups $E_d(K)_{\text{tors}}$ and $E_{d'}(K)_{\text{tors}}$ differ at most by their $p$-primary parts. Both of these $p$-parts are trivial, hence $|E_d(K)_{\text{tors}}| \leq 8$ for all integers $d \geq 2$. 


Secondly, combining (2.3) and (2.4) leads to

\[ \frac{\log(\tau(E_d/K) \cdot q)}{\log H(E_d/K)} \ll_q \frac{\log d}{d} + \frac{\theta_q(d)}{d}. \]

Remember that \( \theta_q(d) \) is the number of monic irreducible polynomials in \( \mathbb{F}_q[t] \) which divide \( t^d - 1 \). Again, we write \( d = p^a d' \) where \( a \geq 0 \) and \( d' \) is coprime to \( p \). By the identity \( t^d - 1 = (t^d - 1)^{p^a} \), one has \( \theta_q(d) = \theta_q(d') \). Since \( t^d - 1 \) factors as a product of cyclotomic polynomials \( \Phi_e(t) \in \mathbb{F}_q[t] \) with \( e \mid d' \), and since \( \Phi_e(t) \) decomposes as a product of \( \phi(e)/o_q(e) \) distinct monic irreducible factors in \( \mathbb{F}_q[t] \) (see [IR90, Chap. 13, §2]), we have \( \theta_q(d') = \sum_{e\mid d'} \frac{o_q(e)}{\phi(e)} \). In the course of the proof of Lemma 3.1(c) below, we will see that \( \sum_{e\mid d'} \frac{o_q(e)}{\phi(e)} \ll_q \log d' \). The map \( x \mapsto \log x \) is increasing on \([3, \infty)\) and \( d' \leq d \), hence

\[ \frac{\theta_q(d)}{d} \ll_q \frac{1}{d} \frac{d'}{\log d'} \ll_q \frac{1}{\log d}. \]

The desired upper bound on \( \tau(E_d/K) \) then follows from the first displayed inequality in this proof. \( \square \)

Transferring the estimates of Lemma 2.4 into (2.7) immediately yields the following:

**Corollary 2.5** – For all integers \( d \geq 2 \), we have:

\[ \frac{\log |\text{III}(E_d/K)\cdot \text{Reg}(E_d/K)|}{\log H(E_d/K)} = 1 + \frac{\log L^*(E_d/K,1)}{\log H(E_d/K)} + O_q \left( \frac{1}{\log d} \right) \quad (\text{as } d \to \infty), \]

where the implicit constant is effective and depends at most on \( q \).

**Remark 2.6** This corollary is but an explicit version of a special case of a result in [HP16]. In particular, see the discussion in [HP16, §2] where Corollary 2.5 is proved for abelian varieties over \( K \) satisfying BSD. Note that the proof in the general case is much more involved: it requires delicate diophantine estimates on the torsion subgroup ([HP16, Theorem 3.8]) and on Tamagawa numbers ([HP16, Theorem 6.5]).

### 3 The \( L \)-function of \( E_d \) and its special value

We have reduced the estimation of \( |\text{III}(E_d/K)\cdot \text{Reg}(E_d/K)| \) to that of \( L^*(E_d/K,1) \). As we explained in the introduction, we need to make use of the specific structure of the \( L \)-function of \( E_d \) to obtain the correct estimate of \( L^*(E_d/K,1) \). In this section, we introduce the notations required to state the explicit expression for \( L(E_d/K,1) \) when \( d \) is coprime to \( q \) (obtained in [CHU14]) and we then proceed to express \( L^*(E_d/K,1) \) in a suitable form. The proof of the lower bound itself will be the goal of the next section. Throughout sections 3 and 4 (except in Remarks 3.3 and 3.7), \( d \geq 2 \) is assumed to be coprime to \( q \).

#### 3.1 Action of \( q \) on \( \mathbb{Z}/d\mathbb{Z} \)

Let \( \mathbb{F}_q \) be a finite field of odd characteristic, and let \( d \geq 2 \) be an integer coprime to \( q \). There is a natural action of \( q \) on \( \mathbb{Z}/d\mathbb{Z} \) by \( n \mapsto q \cdot n \). For any subset \( Z \subset \mathbb{Z}/d\mathbb{Z} \) which is stable by multiplication by \( q \), we denote by \( \mathcal{O}_q(Z) \) the set of orbits of \( Z \) under this action. In what follows, we will particularly interested in the set

\[ Z_d := \begin{cases} \mathbb{Z}/d\mathbb{Z} \setminus \{0,d/2\} & \text{if } d \text{ is even,} \\ \mathbb{Z}/d\mathbb{Z} \setminus \{0\} & \text{if } d \text{ is odd,} \end{cases} \]

(\( \mathbb{Z}/d\mathbb{Z} \) is stable under multiplication by \( q \)) and in the corresponding set of orbits \( \mathcal{O}_q(Z_d) \). Given an orbit \( m \in \mathcal{O}_q(Z_d) \), we will often have to make a choice of representative \( m \in Z_d \) of this orbit: we thus stick to the useful convention that orbits in \( \mathcal{O}_q(Z_d) \) are always denoted by a bold letter \( (m, n, \ldots) \) and that the corresponding normal letter \( (m, n, \ldots) \) designates any choice of representative of this orbit in \( Z_d \).

For any orbit \( m \in \mathcal{O}_q(Z_d) \), its length \( |m| = |\{m, qm, q^2m, \ldots\}| \) is clearly equal to

\[ |m| = \min \left\{ n \in \mathbb{Z} \mid q^n m \equiv m \mod d \right\}, \]

which, in turn, equals \( |m| = o_q(d/gcd(d,m)) \), the multiplicative order of \( q \) modulo \( d/gcd(d,m) \), for any \( m \in m \). For any power \( q^v \) of \( v \), by construction of the multiplicative order, remark that \( q^v m \equiv m \mod d \) if and only if \( |m| \) divides \( v \) i.e., if and only if \( \mathbb{F}_{q^v} \) is a finite extension of \( \mathbb{F}_{q^{|m|}} \).

For further use, we record here a few useful facts about the action of \( q \) on \( Z_d \) in the following lemma:

**Lemma 3.1** – Let \( d \geq 2 \) be an integer coprime with \( q \). The following upper bounds hold:
\[(a) \sum_{\substack{e \mid d \geq 2}} \phi(e) \leq \frac{d}{\log d} , \]

\[(b) \sum_{\mathbf{m} \in \mathcal{O}_q(Z_d)} |\mathbf{m}| = |Z_d| \leq d , \]

\[(c) \sum_{\mathbf{m} \in \mathcal{O}_q(Z_d)} 1 = |\mathcal{O}_q(Z_d)| \ll \log q \cdot \frac{d}{\log d} , \]

\[(d) \sum_{\mathbf{m} \in \mathcal{O}_q(Z_d)} \log |\mathbf{m}| \ll \log q \cdot \frac{d \cdot \log \log d}{\log d} . \]
multiplicative group \( \mathbb{F}_p^\times \). We let \( t : \mathbb{F}_p^\times \to \mu_{\infty,q'} \) be the inverse of this isomorphism, and we denote by the same letter the restriction of \( t \) to any finite field \( \mathbb{F}_q \).

For any finite extension \( \mathbb{F}_Q \) of \( \mathbb{F}_p \), we denote by \( \lambda_Q : \mathbb{F}_Q^\times \to \{ \pm 1 \} \) the unique nontrivial character of order 2 of \( \mathbb{F}_Q^\times \) (the “Legendre symbol” of \( \mathbb{F}_Q \)), extended by \( \lambda_Q(0) := 0 \). For any integer \( d \geq 2 \) coprime to \( q \) and any \( m \in \mathbb{Z}_d \), we define a character \( t_m : \mathbb{F}_q^\times \to \mathbb{Q}_p^\times \) by

\[
\forall x \in \mathbb{F}_q^m, \quad t_m(x) = t(x)^{(q^m - 1)m/d} \quad \text{and we let } t_m(0) := 0.
\]

By construction, the characters \( t_m \) are nontrivial, have order dividing \( d \) (in fact, the order of \( t_m \) is exactly \( d/gcd(d,m) \)) and, as such, take values in \( \mathbb{Q}(\zeta_d) \), the \( d \)-th cyclotomic field.

To \( m \in \mathbb{Z}_d \), we can now attach a Jacobi sum:

\[
J(m) = \sum_{x \in \mathbb{F}_q^m} t_m(x) \cdot \lambda_{q^m}(1 - x). \tag{3.1}
\]

As a sum of \( d \)-th roots of unity, \( J(m) \) is an algebraic integer in \( \mathbb{Q}(\zeta_d) \). Even though \( t_m \) might differ from \( t_{q,m} \), it turns out that \( J(m) = J(q \cdot m) \) because \( x \mapsto x^q \) is a bijection of \( \mathbb{F}_q^m \) (more generally, \( J(m) = J(p \cdot m) \)). Thus it makes sense to associate a Jacobi sum \( J(m) \) to any orbit \( m \in \mathcal{O}_q(\mathbb{Z}_d) \): we let \( J(m) = J(m) \) for any choice of \( m \in \mathbb{m} \). Since, for all \( m \in \mathbb{Z}_d \), none of \( t_m, \lambda_{q^m} \) and \( t_m \cdot \lambda_{q^m} \) is trivial, it is well-known that \( |J(m)| = q^{m/2} \). The reader may consult [IR90] for more details about Jacobi sums.

### 3.3 \( L \)-function and special value

As above, for any integer \( d \), we let

\[
\mathbb{Z}_d := \begin{cases} \mathbb{Z}/d\mathbb{Z} \setminus \{0,d/2\} & \text{if } d \text{ is even}, \\ \mathbb{Z}/d\mathbb{Z} \setminus \{0\} & \text{if } d \text{ is odd}, \end{cases}
\]

and \( \mathcal{O}_q(\mathbb{Z}_d) \) be the set of orbits of \( \mathbb{Z}_d \) under the action of \( q \) by multiplication. With the notations introduced in the last two subsections (which are essentially the same as those of [CHU14, §3]), we can now state [CHU14, Theorem 3.2.1]:

**Theorem 3.2 (Conceição, Hall, Ulmer) — Let \( d \geq 2 \) be an integer coprime with \( q \). The \( L \)-function of \( E_d/K \) is given by**

\[
L(E_d/K, T) = \prod_{m \in \mathcal{O}_q(\mathbb{Z}_d)} \left( 1 - J(m)^2 \cdot T^{m} \right), \tag{3.2}
\]

**where \( J(m) \) is the Jacobi sum defined in (3.1).**

The proof of (3.2) in [CHU14, §3] hinges on a clever manipulation of character sums. Since the minimal regular model of \( E_d/K \) is explicitly known (see [Ulm14, §7]), the computation can also be done via cohomological methods. Though less elementary, the latter has the advantage of “explaining” the appearance of Jacobi sums in the \( L \)-function.

**Remark 3.3** From Theorem 3.2, one actually obtains an expression of \( L(E_d/K, T) \) for any integer \( d \geq 1 \): writing \( d \) as \( d = p^a d' \) with \( a \geq 0 \) and \( d' \) coprime to \( p \), we have \( L(E_d/K, T) = L(E_d/K, T) \). Indeed, the \( p^a \)-th power Frobenius provides an \( K \)-isogeny \( E_{d'} \to E_d \) and isogenous elliptic curves have the same \( L \)-function. In particular, we note the amusing fact that \( L(E_{p^a}/K, T) = L(E_1/K, T) = 1 \) for all \( a \geq 0 \), while \( H(E_{p^a}/K) \to \infty \) as \( a \to \infty \).

Given (3.2), it is now easy to give an explicit expression for the special value \( L^*(E_d/K,1) \) of the \( L \)-function of \( E_d/K \) at \( s = 1 \). We begin by introducing the following two subsets \( V_d \) and \( S_d \) of \( \mathbb{Z}_d \):

\[
V_d := \left\{ m \in \mathbb{Z}_d \mid J(m)^2 = q^{m} \right\} \quad \text{and} \quad S_d := \mathbb{Z}_d \setminus V_d.
\]

By their very construction, the sets \( V_d \) and \( S_d \) are stable under the action of \( q \) on \( \mathbb{Z}_d \). As we will see in the Proposition below, the orbit set \( \mathcal{O}_q(V_d) \) (resp. \( \mathcal{O}_q(S_d) \)) parametrizes the factors in (3.2) that vanish at \( T = q^{-1} \) (resp. those that give a nontrivial contribution to the special value).

With this notation at hand, we prove:
Proposition 3.4 — For any integer $d \geq 2$ prime to $q$, the special value $L^*(E_d/K, 1)$ admits the following expression:

$$L^*(E_d/K, 1) = \prod_{m \in \mathcal{O}_q(V_d)} |m| \cdot \prod_{m \in \mathcal{O}_q(S_d)} \left(1 - \frac{J(m)^2}{q^{|m|}}\right).$$  \hspace{1cm} (3.3)

Proof: For any $m \in \mathcal{O}_q(Z_d)$, let $g_m(T) := 1 - J(m)^2 \cdot T^{|m|}$ be the corresponding factor of $L(E_d/K, T)$ (see Theorem 3.2). A straightforward computation shows that we have

$$\rho' = \text{ord}_{T=q^{-1}} g_m(T) = \begin{cases} 1 & \text{if } m \in \mathcal{O}_q(V_d), \\ 0 & \text{otherwise}, \end{cases} \quad \text{and} \quad \left|\frac{g_m(T)}{(1-qT)^{\rho'}}\right|_{T=\rho^{-1}} = \begin{cases} |m| & \text{if } m \in \mathcal{O}_q(V_d), \\ 1 - \frac{J(m)^2}{q^{|m|}} & \text{otherwise}. \end{cases}$$

By definition of $L^*(E_d/K, 1)$ (see (2.5)), the desired expression follows by taking the product over all $m \in \mathcal{O}_q(Z_d) = \mathcal{O}_q(V_d) \cup \mathcal{O}_q(S_d)$ of the “special values” at $T = q^{-1}$ of the polynomials $g_m(T)$. \hspace{1cm} \Box

Remark 3.5 By Theorem 2.3, we know that the rank of $E_d(K)$ is equal to $\rho_d := \text{ord}_{T=q^{-1}} L(E_d/K, T)$. The proof of the above Proposition implies that $\rho_d = \#\mathcal{O}_q(V_d) \leq \#\mathcal{O}_q(Z_d)$. Hence, by Lemma 3.1(c), we have $\rho_d \ll_d d / \log d$ (thus recovering Brumer’s bound on the analytic rank [Bru92, Prop. 6.9]).

3.4 Upper bound on the special value

Let us prove an upper bound on $L^*(E_d/K, 1)$:

Theorem 3.6 — Let $\mathbb{F}_q$ be a finite field of odd characteristic and $K = \mathbb{F}_q(t)$. For any integer $d \geq 2$ coprime to $q$, the special value $L^*(E_d/K, 1)$ satisfies the upper bound:

$$\frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} \leq A \cdot \frac{\log \log d}{\log d},$$  \hspace{1cm} (3.4)

for some effective absolute constant $A > 0$.

Remark 3.7 The bound (3.4) is not better than the “generic” upper bound of [HP16, Thm. 7.5] on special values of $L$-functions of abelian varieties over $K$. The bound in [HP16, Thm. 7.5] is proved with methods from classical complex analysis. We include a proof of (3.4) nonetheless, because our proof is more elementary and gives a very explicit estimate.

We also note that the hypothesis that $d$ be coprime to $q$ is not necessary: one can easily deduce from Theorem 3.6 that (3.4) holds for all large enough integers $d \geq 2$ (see Remark 3.3).

Proof: From (3.3) and the fact that $|J(m)|^2 = q^{|m|}$ for all $m \in \mathcal{O}_q(Z_d)$, the triangle inequality leads to

$$\log L^*(E_d/K, 1) = \sum_{m \in \mathcal{O}_q(V_d)} \log |m| + \sum_{m \in \mathcal{O}_q(S_d)} \log \left|1 - \frac{J(m)^2}{q^{|m|}}\right| \leq \sum_{m \in \mathcal{O}_q(Z_d)} \log |m| + \log 2 \cdot |\mathcal{O}_q(Z_d)|.$$

Both the sum on the right-hand side and $|\mathcal{O}_q(Z_d)|$ have already been bounded from above in Lemma 3.1 (items (c) and (d)). We thus infer that

$$\frac{\log L^*(E_d/K, 1)}{d \cdot \log q} \ll \frac{\log \log d}{\log d} + \frac{1}{\log d} \ll \frac{\log \log d}{\log d}.$$

And since, by (2.3), one has $\log H(E_d/K) = \lfloor \frac{4+1}{d} \rfloor \cdot \log q$, we conclude that

$$\frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} \leq \frac{\log L^*(E_d/K, 1)}{d \cdot \log q} \cdot \frac{2d}{d - 1} \ll \frac{\log \log d}{\log d}. \hspace{1cm} \Box$$

4 Lower bound on the special value

Let $d \geq 2$ be a integer, coprime to $q$. By construction (see (2.5)), the special value $L^*(E_d/K, 1)$ is the value of a certain polynomial $L^*_d(T) \in \mathbb{Z}[T]$ at $T = q^{-1}$. Since $L^*_d(T)$ does not vanish at $T = q^{-1}$, one has $|L^*(E_d/K, 1)| \geq q^{-\deg L^*_d(T)}$. Furthermore, by (2.2) and by Remark 3.5 (or by Brumer’s bound on the analytic rank [Bru92, Prop. 6.9]), one has

$$\deg L^*_d(T) = \deg L(E_d/K, T) - \text{ord}_{T=q^{-1}} L(E_d/K, T) = d + o(d) \quad (\text{as } d \to \infty).$$
This quick argument already yields the following lower bound on \( L^*(E_d/K, 1) \):

\[
\frac{\log |L^*(E_d/K, 1)|}{d \cdot \log q} \geq -1 + o(1) \quad \text{(as } d \to \infty). \quad (4.1)
\]

However, computational evidence suggests that this “trivial” lower bound on \( L^*(E_d/K, 1) \) is far from the truth. In some special instances, one can improve on (4.1). For example, when \( d \) is of the form \( d = q^n + 1 \) (with \( n \to \infty \)), a theorem of Shafarevich and Tate shows that \( J(m)^2 = q^{[m]} \) for all \( m \in \mathbb{Z}_d \) (see [ST67], [Ulm02, Prop. 8.1]). In the notations of Proposition 3.4, this means that \( V_d = Z_d \) and \( S_d = \emptyset \). Thus, for these \( d \)'s, the special value \( L^*(E_d/K, 1) \) is actually a positive integer and we obtain an improved lower bound:

\[
\frac{\log |L^*(E_d/K, 1)|}{d \cdot \log q} \geq 0 \quad \text{(when } d = q^n + 1, \text{ with } n \to \infty). \quad (4.2)
\]

In this section, we prove that the stronger (4.2) holds, up to an error term, for any \( d \geq 2 \) coprime with \( q \). More precisely, we will show:

**Theorem 4.1** – Let \( \mathbb{F}_q \) be a finite field of odd characteristic \( p \) and \( K = \mathbb{F}_q(t) \). For any integer \( d \geq 2 \) coprime to \( q \), the special value \( L^*(E_d/K, 1) \) satisfies the lower bound:

\[
\forall \varepsilon \in (0, 1/4), \quad \frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} \geq -B \left( \frac{\log \log d}{\log d} \right)^{1/4-\varepsilon}, \quad (4.3)
\]

where the constant \( B > 0 \) depends at most on \( p \) and \( \varepsilon \).

This theorem is our main technical result, from which Theorem 1.1 will follow (see Section 5).

### 4.1 Proof of Theorem 4.1

Given an integer \( d \geq 2 \) coprime to \( q \), let us start with the expression for \( L^*(E_d/K, 1) \) obtained in Proposition 3.4: with the notations introduced there, one has:

\[
\log |L^*(E_d/K, 1)| = \sum_{m \in \mathcal{O}_d(V_d)} \log |m| + \sum_{m \in \mathcal{O}_d(S_d)} \log \left| 1 - \frac{J(m)^2}{q^{[m]}} \right|.
\]

Although the first term here is positive, we know by Lemma 3.1(d) that it is \( o(d) \) when \( d \to \infty \): consequently, proving Theorem 4.1 requires that we control how negative the second sum can be.

Since \( L^*(E_d/K, 1) \) is a rational number, the product \( \pi_d^e := \prod_{m \in \mathcal{O}_d(S_d)} \left( 1 - \frac{J(m)^2}{q^{[m]}} \right) \), *a priori* an element of the cyclotomic field \( K := \mathbb{Q}(\zeta_d) \), is also rational. In particular, one has \( \mathbf{N}_{K/\mathbb{Q}}(\pi_d^e) = (\pi_d^e)^{[K:Q]} \) and the multiplicativity of the norm implies that

\[
\log |L^*(E_d/K, 1)| \geq \log |\pi_d^e| = \frac{\log \mathbf{N}_{K/\mathbb{Q}}(\pi_d^e)}{[K : \mathbb{Q}]} = \frac{1}{[K : \mathbb{Q}]} \sum_{m \in \mathcal{O}_d(S_d)} \log \mathbf{N}_{K/\mathbb{Q}} \left( 1 - \frac{J(m)^2}{q^{[m]}} \right)
\]

\[
\geq \frac{1}{\varphi(d)} \sum_{m \in \mathcal{S}_d} \frac{1}{|m|} \cdot \log \mathbf{N}_{K/\mathbb{Q}} \left( 1 - \frac{J(m)^2}{q^{[m]}} \right). \quad (4.4)
\]

Indeed, the value of \( J(m) \) does not depend on the representative \( m \in \mathcal{m} \) of that orbit (see Section 3.2).

We now try to obtain a more tractable expression for the right-hand side of (4.4). Let us first make use of the following lemma (inspired by [Shi87, Prop. 2.1]):

**Lemma A** – Let \( d \geq 2 \) be an integer prime to \( q \). For \( m \in \mathbb{Z}_d \), either \( J(m)^2 = q^{[m]} \), or

\[
\log \mathbf{N}_{K/\mathbb{Q}} \left( 1 - \frac{J(m)^2}{q^{[m]}} \right) \geq -(\log q^{[m]}) \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^*} \max \left\{ 0, 1 - 2 \cdot \text{ord}_p J(g \cdot m) \frac{\text{ord}_p J(g \cdot m)}{\text{ord}_p (q^{[m]})} \right\}, \quad (4.5)
\]

where \( p = \mathfrak{P} \cap \mathbb{Q}(\zeta_d) \) is the prime ideal of \( K = \mathbb{Q}(\zeta_d) \) which lies below \( \mathfrak{P} \subset \mathbb{Z} \) (see Section 3.2), and where \( \text{ord}_p(.) \) denotes the \( p \)-adic valuation on \( \mathbb{Z} \).
To avoid interrupting our current computation, we postpone the proof of this Lemma until the next subsection. Plugging (4.5) in (4.4), rearranging terms and dividing throughout by \( \log q^d \) leads to:

\[
\frac{\log |L^*(E_d/K,1)|}{d \cdot \log q} \geq - \frac{1}{d} \sum_{m \in \mathbb{Z}_d} \left( \frac{1}{\phi(d)} \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max \left\{ 0, 1 - \frac{2 \cdot \text{ord}_p \mathbf{J}(g \cdot m)}{\text{ord}_p(q^m)} \right\} \right) \cdot \frac{1}{\text{ord}_p(q^m)} \frac{1}{\text{ord}_p(q^m)} \leq - \frac{1}{d} \sum_{m \in \mathbb{Z}_d} \left( \frac{1}{\phi(d)} \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max \left\{ 0, 1 - \frac{2 \cdot \text{ord}_p \mathbf{J}(g \cdot m)}{\text{ord}_p(q^m)} \right\} \right), \tag{4.6}\]

because the terms we added are nonnegative, and because \(|m| = |g \cdot m|\) for \(g \in (\mathbb{Z}/d\mathbb{Z})^\times\). To go further, we use the following variation on Stickelberger’s theorem:

**Lemma B (Stickelberger)** – Let \(d \geq 2\) be an integer prime to \(q\), and \(p\) be as above. For all \(n \in \mathbb{Z}_d\), the \(p\)-adic valuation of \(\mathbf{J}(n)\) is given by

\[
\frac{\text{ord}_p \mathbf{J}(n)}{\text{ord}_p(q^m)} = \frac{1}{|\langle p \rangle_d|} \sum_{\pi \in \langle p \rangle_d} \mathbb{I} \left( \left\{ \frac{\pi m}{d} \right\} \right) \tag{4.7}\]

where \(\langle p \rangle_d \subset (\mathbb{Z}/d\mathbb{Z})^\times\) is the subgroup generated by \(p\), \(\{.\}\) denotes the fractional part, and \(\mathbb{I} : [0,1] \to \mathbb{R}\) is the characteristic function of the interval \([0,1/2]\).

The proof of this Lemma will also be given in the next subsection. For now, we use the result with \(n = g \cdot m\) and rewrite, for all \(m \in \mathbb{Z}_d\):

\[
\sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max \left\{ 0, 1 - \frac{2 \cdot \text{ord}_p \mathbf{J}(g \cdot m)}{\text{ord}_p(q^m)} \right\} = 2 \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max \left\{ 0, 1 - \frac{1}{|\langle p \rangle_d|} \sum_{\pi \in \langle p \rangle_d} \mathbb{I} \left( \left\{ \frac{\pi g m}{d} \right\} \right) \right\}, \tag{4.8}\]

where \(1/2 = \int_{[0,1]} \mathbb{I} \). Summing these identities over all \(m \in \mathbb{Z}_d\), we rewrite inequality (4.6) under the following form:

\[
\frac{\log |L^*(E_d/K,1)|}{d \cdot \log q} \geq - 2 \cdot \frac{1}{d} \sum_{m \in \mathbb{Z}_d} E_p(m,d), \tag{4.8}\]

where \(E_p(m,d) \geq 0\) is defined by

\[
E_p(m,d) = \frac{1}{\phi(d)} \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max \left\{ 0, \int_{[0,1]} \mathbb{I} - \frac{1}{|\langle p \rangle_d|} \sum_{\pi \in \langle p \rangle_d} \mathbb{I} \left( \left\{ \frac{\pi g m}{d} \right\} \right) \right\}. \tag{4.9}\]

The proof of Theorem 4.1 is now reduced to showing that \(\frac{1}{d} \sum_{m \in \mathbb{Z}_d} E_p(m,d)\) tends to 0 when \(d \to \infty\). Since \(\mathbb{I}(x) \geq 0\), \(E_p(m,d)\) satisfies \(E_p(m,d) \leq 1/2\). For most \(m \in \mathbb{Z}_d\) though, a tighter upper bound holds (the proof of which will be given in subsection 4.3):

**Lemma C** – Let \(d \geq 2\) be an integer, coprime to \(q\). For \(m \in \mathbb{Z}_d\), set \(d_m = d / \gcd(m,d)\). For all \(e \in (0,1/4)\), one has

\[
E_p(m,d) \ll_{p,e} \left( \frac{\log d_m}{\log d_m} \right)^{1/4 - e}, \tag{4.9}\]

where the implicit constant is effective and depends only on \(p\) and \(e\).

As suggested by (4.9), we group the terms \(m \in \mathbb{Z}_d\) of the sum in (4.8) according to the value of \(d_m = d / \gcd(d,m)\):

\[
\sum_{m \in \mathbb{Z}_d} E_p(m,d) = \sum_{e \mid d} \sum_{m \in \mathbb{Z}_d : e \cdot \mathbb{Z}_d = e} E_p(m,d). \tag{4.10}\]

For each divisor \(e\) of \(d\), note that the set \(\{m \in \mathbb{Z}_d : d_m = e\}\) contains exactly \(|(\mathbb{Z}/e\mathbb{Z})^\times| = \phi(e)\) elements. Since the bound (4.9) is good only when \(d_m\) is large enough, we proceed to cut the last displayed sum into two parts, with a parameter \(u \in (0,1/2)\). On the one hand, using the trivial bound \(E_p(m,d) \leq 1/2\), we obtain that

\[
\sum_{e \mid d} \sum_{m \in \mathbb{Z}_d : e \cdot \mathbb{Z}_d = e} E_p(m,d) \leq \sum_{e \mid d} \frac{1}{2} \cdot \{m \in \mathbb{Z}_d : d_m = e\} \leq \sum_{e \mid d} \frac{\phi(e)}{2} \leq \sum_{1 \leq e \leq d} \frac{e}{2} \ll d^{2u}. \tag{4.11}\]
On the other hand, using the refined bound (4.9) and the fact that the map \( \Psi_\varepsilon : x \mapsto (\log \log x/ \log x)^{1/4-\varepsilon} \) is decreasing, we get that

\[
\sum_{c|d} \sum_{e \geq d^n} E_p(m, d) \ll_{p, \varepsilon} \sum_{c|d} \sum_{e \geq d^n} \phi(e) \varepsilon \Psi_\varepsilon(B) \Psi_\varepsilon(d^n) \cdot \sum_{c|d} \phi(e) \Psi_\varepsilon(d^n) \cdot d \ll_{p, \varepsilon} \frac{\Psi_\varepsilon(d)}{u^{1/4-\varepsilon}} \cdot d,
\]

where the last inequality follows from \( \frac{\log d}{\log d^n} \leq \frac{1}{u} \cdot \frac{\log d}{\log d} \). Adding the two contributions, we deduce that

\[
\frac{1}{d} \sum_{m \in \mathbb{Z}_d} E_p(m, d) \ll_{p, \varepsilon} d^{2\alpha-1} + \frac{1}{u^{1/4-\varepsilon}} \left( \frac{\log d}{\log d} \right)^{1/4-\varepsilon} \ll_{p, \varepsilon, u} \left( \frac{\log d}{\log d} \right)^{1/4-\varepsilon}.
\]

Upon choosing a value \( u \in (0, 1/2) \) and plugging this bound in the right-hand side of (4.8), we arrive at

\[
\frac{\log L^*(E_d/K, 1)}{d \cdot \log q} \geq -B_0 \cdot \left( \frac{\log d}{\log d} \right)^{1/4-\varepsilon},
\]

from which it readily follows that

\[
\frac{\log L^*(E_d/K, 1)}{d \cdot \log q} \geq -B \cdot \left( \frac{\log d}{\log d} \right)^{1/4-\varepsilon},
\]

for some effective constant \( B > 0 \) depending at most on \( p, \varepsilon \). Modulo the proofs of the three Lemmas \( A, B \) and \( C \), this last inequality concludes the proof of Theorem 4.1. \( \square \)

### 4.2 Proof of the algebraic Lemmas

Let \( d \geq 2 \) be an integer coprime to \( q \). As above, let \( K = \mathbb{Q}(\zeta_d) \) be the \( d \)-th cyclotomic field, and \( p \) be the prime ideal of \( K \) which lies below the ideal \( \mathfrak{P} \in \mathbb{Z} \) chosen in section 3.2 (thus \( p \) lies above \( q \)). We identify the Galois group \( \text{Gal}(K/\mathbb{Q}) \) with \( (\mathbb{Z}/d\mathbb{Z})^\times \) in the usual manner: to \( t \in (\mathbb{Z}/d\mathbb{Z})^\times \) corresponds \( \sigma_t \in \text{Gal}(K/\mathbb{Q}) \) defined by \( \zeta_d \mapsto \zeta_d^t \). We rely on well-known facts on the arithmetic of cyclotomic fields, for which the reader can consult [HR90, Chap. 13].

**Proof (of Lemma A):** Fix representatives \( g_1 = 1, g_2, \ldots, g_s \in (\mathbb{Z}/d\mathbb{Z})^\times \) of the quotient \( (\mathbb{Z}/d\mathbb{Z})^\times / (p)_d \) of \( (\mathbb{Z}/d\mathbb{Z})^\times \) by the subgroup \( (p)_d \) generated by \( p \). For \( i \in \{1, \ldots, s\} \), put \( p_i := (\sigma_{g_i})^{-1} p \), so that \( p \) decomposes in \( K \) as the product \( p \cdot \mathbb{Z}[\zeta_d] = p_1 p_2 \cdots p_s \). We note that \( N p_i = N p = p^{\phi(d)/d} \) for all \( i \).

As in the statement of the Lemma, let \( m \in \mathbb{Z}_d \) such that \( J(m)^2 \neq q^{[m]} \), and define \( v_m := \text{ord}_p(q^{[m]}) \). Since \( p \) is unramified in \( K \), \( v_m = \text{ord}_p(q^{[m]}) \) and it is clear that \( q^{[m]}, \mathbb{Z}[\zeta_d] = \prod_{i=1}^s p_i^{v_m} \). The ideal integral generated by the Jacobi sum \( J(m) \in \mathbb{Z}[\zeta_d] \) is concentrated above \( p \) (because \( |J(m)| = q^{[m]/2} = p^{v_m/2} \)); its decomposition as a product of prime ideals is \( J(m) = \prod_{i=1}^s p_i^{v_m} \cdot J(m) \). It can be seen that the action of \( \text{Gal}(K/\mathbb{Q}) \) on \( \{J(n)\}_{n \in \mathbb{Z}_d} \) is given by \( \sigma_t(J(n)) = J(g \cdot n) \) for all \( g \in (\mathbb{Z}/d\mathbb{Z})^\times \). This gives that

\[
\text{ord}_p(J(m)) = \text{ord}_p(J(m)) = \text{ord}_p(J(g \cdot m)).
\]

Now, consider the ideal

\[
\mathcal{I}_m := \prod_{i=1}^s \min\{v_m, 2 \text{ord}_p J(g \cdot m)\} = \prod_{g \in (\mathbb{Z}/d\mathbb{Z})^\times / (p)_d} (\sigma_{g_i}^{-1} p)^{\min\{v_m, 2 \text{ord}_p J(g \cdot m)\}}.
\]

By construction, \( \mathcal{I}_m \) is an integral ideal in \( K \), which divides the (nonzero) ideal generated by \( (q^{[m]} - J(m)^2) \) in \( \mathbb{Z}[\zeta_d] \). In particular, its norm \( N \mathcal{I}_m \) divides \( N_{K/\mathbb{Q}}(q^{[m]} - J(m)^2) \) in \( \mathbb{Z} \). We infer that

\[
N_{K/\mathbb{Q}}(1 - \frac{J(m)^2}{q^{[m]}}) = \frac{N_{K/\mathbb{Q}}(q^{[m]} - J(m)^2)}{N_{K/\mathbb{Q}}(q^{[m]})} \geq \frac{N \mathcal{I}_m}{N_{K/\mathbb{Q}}(q^{[m]})} = \frac{1}{q^{[m]} \cdot \phi(d) \cdot (N \mathcal{I}_m)^{-1}}.
\]

A straightforward computation from the definition of \( \mathcal{I}_m \) implies that

\[
q^{[m]} \cdot \phi(d) \cdot (N \mathcal{I}_m)^{-1} = q^{[m]} \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max\left\{0, 1 - \frac{\text{ord}_p J(g \cdot m)}{v_m}\right\}.
\]

This uses our choice of \( g_i \)'s as representatives of \((\mathbb{Z}/d\mathbb{Z})^\times / (p)_d\) and the fact that \( J(p^j \cdot m) = J(m) \) for all \( j \geq 0 \). Finally, from the last two displayed relations, we deduce that

\[
\log N_{K/\mathbb{Q}}(1 - \frac{J(m)^2}{q^{[m]}}) \geq - \log(q^{[m]}) \sum_{g \in (\mathbb{Z}/d\mathbb{Z})^\times} \max\left\{0, 1 - \frac{\text{ord}_p J(g \cdot m)}{v_m}\right\},
\]

as was to be proved. \( \square \)
Proof (of Lemma B): Set \( Q = q^{\alpha(d)}, \) \( v = [F_Q : F_p] = \text{ord}_p Q \) and \( q' = q^{\alpha(m)}. \) The proof of Stickelberger’s theorem gives the p-adic valuations of Jacobi sums (as in [HR90, Chap. 14] for example, see also [CHU14, §4]). The result of that computation is that the Jacobi sum \( J(n) \) has p-adic valuation:

\[
\text{ord}_p J(n) = \frac{1}{[F_Q : F_{q'}]} \sum_{j=0}^{v-1} \left( -1 + 2 \left\{ \frac{-np^j}{d} \right\} + \left\{ \frac{2np^j}{d} \right\} \right).
\]

One can check that \( y \in [0, 1] \mapsto -1 + 2 \{ -y \} + \{ 2y \} \) is the characteristic function \( \mathbb{1} : [0, 1] \to \mathbb{R} \) of the interval \((0, 1/2],\) so that

\[
\text{ord}_p J(n) = \frac{1}{[F_Q : F_{q'}]} \sum_{j=0}^{v-1} \mathbb{1} \left( \left\{ \frac{np^j}{d} \right\} \right).
\]

(4.10)

There are repetitions in the sum over \( j: \) indeed, since \( q \) is a power of \( p, \) one has \( v = \text{lcm}([F_q : F_p], \alpha_p(d)) \) and thus, \( v \) is a multiple of \( \alpha_p(d). \) By construction, \( d \) divides \( \rho^{\alpha(d)} - 1 \) and any multiple thereof: it follows that we may reindex the sum over \( j \in [0, v-1] \) into a sum over \( \pi \in \langle p \rangle_d \) and obtain

\[
\sum_{j=0}^{v-1} \mathbb{1} \left( \left\{ \frac{np^j}{d} \right\} \right) = \frac{v}{\alpha_p(d)} \sum_{\pi \in \langle p \rangle_d} \mathbb{1} \left( \left\{ \frac{n\pi}{d} \right\} \right).
\]

(4.11)

Secondly, we note that

\[
\frac{v}{\alpha_p(d)} [F_Q : F_{q'}] \cdot [F_Q : F_p] = \frac{[F_Q : F_{q'}]}{\alpha_p(d)} \cdot [F_p : F_{q'}] = \frac{\text{ord}_p(q^{\alpha(n)})}{[\langle p \rangle_d]}
\]

(4.12)

Combining (4.11) and (4.12) with (4.10) yields the desired expression for \( \text{ord}_p J(n). \)

4.3 Proof of the analytic Lemma

Before starting the proof, let us recall the following equidistribution statement:

**Theorem 4.2** – Let \( F : [0, 1] \to \mathbb{R} \) be a function of bounded total variation, and denote by \( \mathcal{V}(F) \) the total variation of \( F. \) For an integer \( d' \geq 2, \) suppose we are given an element \( n \in (\mathbb{Z}/d'\mathbb{Z})^\times \) and a subset \( H \) of \((\mathbb{Z}/d'\mathbb{Z})^\times. \) Then, for all \( \varepsilon \in (0, 1/4), \) one has

\[
\frac{1}{\phi(d')} \sum_{g \in (\mathbb{Z}/d'\mathbb{Z})^\times} \left| \int_0^1 F(t)dt - \frac{1}{|H|} \sum_{h \in H} F \left( \left\{ \frac{n\pi}{d'} \right\} \right) \right| \ll_\varepsilon \mathcal{V}(F) \left( \frac{\log \log d'}{|H|} \right)^{1/4-\varepsilon}.
\]

(4.13)

We refer to [Gri16b, Theorem 4.1] for the proof of this theorem, and detailed comments.

Let \( d \geq 2 \) be an integer coprime to \( q, \) and \( m \in \mathbb{Z}_d, \) we put \( m' := m / \gcd(d, m) \) and \( d' := d / \gcd(d, m). \) As in (4.8), we set

\[
E_p(m, d) = \frac{1}{\phi(d')} \sum_{g \in (\mathbb{Z}/d'\mathbb{Z})^\times} \max \left\{ 0, \int_{[0, 1]} 1 - \frac{1}{|\langle p \rangle_d|} \sum_{\pi \in \langle p \rangle_d} \mathbb{1} \left( \left\{ \frac{n\pi m}{d'} \right\} \right) \right\}.
\]

Proof (of Lemma C): First, we observe that \( E_p(m, d) = E_p(m', d'). \) Indeed, the subgroup \( \langle p \rangle_{d'} \subset (\mathbb{Z}/d'\mathbb{Z})^\times \) is the image of \( \langle p \rangle_d \) under the natural surjective morphism \((\mathbb{Z}/d\mathbb{Z})^\times \to (\mathbb{Z}/d'\mathbb{Z})^\times,\) and this leads to

\[
\forall g \in (\mathbb{Z}/d\mathbb{Z})^\times, \quad \frac{1}{|\langle p \rangle_d|} \sum_{\pi \in \langle p \rangle_d} \mathbb{1} \left( \left\{ \frac{n\pi m}{d} \right\} \right) = \frac{1}{|\langle p \rangle_{d'}|} \sum_{\pi' \in \langle p \rangle_{d'}} \mathbb{1} \left( \left\{ \frac{n\pi' m'}{d'} \right\} \right).
\]

A similar argument replaces the outer average in \( E_p(m, d) \) (over \( (\mathbb{Z}/d\mathbb{Z})^\times \)) by an average over \( (\mathbb{Z}/d'\mathbb{Z})^\times,\) thus proving the claim. The upshot of this manipulation is that \( \gcd(m', d') = 1, \) and we are now in a position to use Theorem 4.2.

Precisely, we apply Theorem 4.2 to the step function \( F = \mathbb{1} \) with \( n = m' \) and \( H = \langle p \rangle_{d'}. \) Note that \( |\langle p \rangle_{d'}| \geq \log d'/\log p \) because \( d' \) divides \( \rho^{\alpha(d')} - 1 \) by definition of the multiplicative order \( \alpha_p(d') = |\langle p \rangle_{d'}| \) of \( p \) mod \( d'. \) Since \( \mathbb{1} \) is a step function on \([0, 1],\) it is of bounded total variation; moreover, \( \mathbb{1} \) has only one “jump” of height 1, so its total variation is \( \mathcal{V}(\mathbb{1}) = 1.\)
Noticing that \( \max\{0, y\} \leq |y| \) for all \( y \in \mathbb{R} \), inequality (4.13) here reads:

\[
0 \leq E_p(m, d) = E_p(m', d') \leq \frac{1}{\phi(d')} \sum_{g \in (\mathbb{Z}/d')^x} \left| \int_{[0,1]} 1 - \frac{1}{|p|d'} \sum_{\pi \in (p)_{d'}} \left( \frac{\pi g m'}{d'} \right) \right| \leq \ll_{\varepsilon} \left( \frac{\log \log d'}{|H|} \right)^{1/4-\varepsilon} \ll_{p, \varepsilon} \left( \frac{\log \log d'}{\log d'} \right)^{1/4-\varepsilon}.
\]

This concludes the proof. \(\square\)

5 Conclusion

Regrouping the results of Theorems 3.6 and 4.1, we obtain a precise asymptotic estimate of \( L^*(E_d/K, 1) \) when \( d \) is coprime to \( q \):

**Corollary 5.1** – Let \( \mathbb{F}_q \) be a finite field of odd characteristic \( p \) and \( K = \mathbb{F}_q(t) \). For all \( \varepsilon \in (0, 1/4) \), there are positive constants \( A, B \) (depending at most on \( p \) and \( \varepsilon \)) such that: for any integer \( d \geq 2 \) coprime to \( q \), the special value \( L^*(E_d/K, 1) \) satisfies

\[
-B \cdot \left( \frac{\log \log d}{\log d} \right)^{1/4-\varepsilon} \leq \frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} \leq \frac{\log \log d}{\log d} \quad \text{(5.1)}
\]

**Remark 5.2** By keeping track of constants in the estimates, one can make \( A \) and \( B \) explicit: it appears that \( A = 48 \) and \( B = 2(32 + 4(3\pi)^{-3}e^{-2}) (4 \log p)^{1/4-\varepsilon} \) are suitable choices in (5.1).

We can now state and prove a quantitative form of Theorem 1.1:

**Theorem 5.3** – Let \( \mathbb{F}_q \) be a finite field of odd characteristic, and \( K = \mathbb{F}_q(t) \). For any integer \( d \geq 2 \), consider the Legendre elliptic curve \( E_d/K \) as defined by (2.1). For all \( \varepsilon \in (0, 1/4) \), one has

\[
\log \left( \frac{[\text{III}(E_d/K)] \cdot \text{Reg}(E_d/K)}{\log H(E_d/K)} \right) = 1 + O_{q, p, \varepsilon} \left( \frac{\log d}{\log d} \right)^{1/4-\varepsilon} \quad \text{(as } d \to \infty)\]

where the implicit constant is effective and depends at most on \( q, p \) and \( \varepsilon \).

**Proof:** For conciseness, we denote the ratio \( \frac{\log L^*(E_d/K, 1)}{\log H(E_d/K)} \) by \( \lambda^*(E_d) \) for any integer \( d \geq 2 \), and we let \( \Psi(d) := (\log d) / \log d \). Let \( d \) be any large enough integer and write \( d = p^\nu d' \) with \( d' \) coprime to \( p \). We claim that

\[
|\lambda^*(E_d)| \ll_{q, p, \varepsilon} \Psi(d)^{1/4-\varepsilon} \quad \text{as } d \to \infty
\]

Assuming that (2) holds, we deduce from Corollary 2.5 that, for all integers \( d \geq 2 \),

\[
\left| \frac{\log \left( [\text{III}(E_d/K)] \cdot \text{Reg}(E_d/K) \right)}{\log H(E_d/K)} - 1 \right| \ll_q |\lambda^*(E_d)| + \frac{1}{\log d} \ll_{q, p, \varepsilon} \Psi(d)^{1/4-\varepsilon} \quad \text{(as } d \to \infty),
\]

which is the desired asymptotic relation. Hence the Theorem will follow once we prove (2).

As was noted several times, \( E_d \) and \( E_{d'} \) are \( K \)-isogenous \textit{via} the \( p^\nu \)-th power Frobenius morphism: in particular, their \( L \)-functions are equal, hence \( L^*(E_d/K, 1) = L^*(E_{d'}/K, 1) \). By construction of \( d' \), we know from Corollary 5.1 that \( |\lambda^*(E_d)| \ll_{q, p, \varepsilon} \Psi(d')^{1/4-\varepsilon} \). With the help of (2.3) we deduce that

\[
|\lambda^*(E_d)| = \log H(E_d/K) \cdot |\lambda^*(E_d)| \ll d' \cdot \Psi(d')^{1/4-\varepsilon} \quad \text{(5.2)}
\]

If we assume that \( d'/d \leq \Psi(d)^{1/4-\varepsilon} \), then (5.2) directly implies that \( |\lambda^*(E_d)| \ll_{q, p, \varepsilon} \Psi(d)^{1/4-\varepsilon} \) upon noting that \( \Psi(d) \leq e^{-1} \). Indeed, \( x \mapsto \Psi(x) \) satisfies \( \Psi(x) \leq e^{-1} \) on \([3, +\infty)\). This proves (2) for integers \( d \) such that \( d'/d \) is "small". Let us now assume that \( d' > d \cdot \Psi(d)^{1/4-\varepsilon} \), in which case we have \( d' \geq d^{1-\eta} \), with \( \eta = e^{-1}(1/4-\varepsilon) \) because \( \Psi(x) \geq x^{-1}e^{-1} \) for all \( x \geq e^{\varepsilon} \). Since \( x \mapsto \Psi(x) \) is decreasing on \([e^{\varepsilon}, +\infty)\) and since \( \Psi(x^{1-\eta}) \leq (1-\eta)^{-1} \Psi(x) \) for all \( x \geq 3 \) and \( \eta \in [0, 1] \), we have

\[
\frac{d'}{d} \Psi(d')^{1/4-\varepsilon} \leq \Psi(d)^{1/4-\varepsilon} \leq \Psi(d^{1-\eta})^{1/4-\varepsilon} \ll_{\varepsilon} (1-\eta)^{-1} \Psi(d)^{1/4-\varepsilon} \ll_{\varepsilon} \Psi(d)^{1/4-\varepsilon}.
\]

And (5.2) shows that (2) also holds for integers \( d \geq e^{\varepsilon} \) such that \( d' > d \cdot \Psi(d)^{1/4-\varepsilon} \).

Therefore the claim (2) holds and the proof of Theorem 5.3 is now complete. \(\square\)
References


