

Families of elliptic curves over bases of various dimensions:

General setup: S reg. sep scheme, $U \subset S$ dense open, $E|_U$ elliptic.

eg: $S = \text{Spec } k[x, y], U = S$

$S = \mathbb{A}^1_k, U = \mathbb{A}^2 \setminus \text{origin}$

$S = \mathbb{A}^2_k, U = \mathbb{A}^2 \setminus \text{coord. axes}$

$S = \text{Spec } \mathbb{Z}$ or modular curve

$S = \text{modular curve} / \mathbb{Z}$

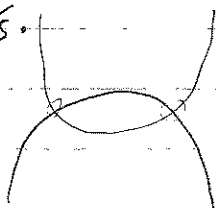
• If $\dim S = 0$ (say a pt), then all is nice - $U = S$, & we ~~get~~ have sm. proj. alg. gp.

• If $\dim S = 1$; eg. $S = \text{Spec } k[t]$ small nbhd. of origin in \mathbb{A}^1_k , $U = S \setminus \{t=0\}$

$$E: y^2 = \left((x-1)^2 - \frac{u}{t} \right) \left((x+1)^2 - \frac{u}{t} \right) \quad (\text{pick unit section } 0, \dots)$$

Some eq'n makes sense over S , $\rightarrow \bar{E}/S$.

Fibre over $u=0$:



Let $\bar{E}^{\text{sm}} = \text{locus where } \bar{E} \rightarrow S \text{ is smooth (ie. throw out } 0\text{)}$.

Then there is a (unique) gp structure on \bar{E}^{sm} extending that on E .

More generally:

Thm: Let S scheme of dim 1, $U \subset S$ dense open, $A|_U$ ab. scheme. Then \exists a ^(smooth sep) NM A/S for A .

ie A/S comes together with an ism $A_U \xrightarrow{\sim} A$, such that

NMP: $\forall T \rightarrow S$ smooth, $\forall f: T_U \rightarrow A$, $\exists!$ $F: T \rightarrow A$ s.t. $F|_{T_U} = f$.

Thm 6.3 S, U as above, $\bar{E}|_U$ NM of ell. curve $E|_U$. Then $\bar{E}^{\text{sm}} \rightarrow S$ is the NM of E .

eg: Take $T=S$, $T \rightarrow S = \text{id}$. Then NTP tells you any section in $A(U)$ extends uniquely to a section in $A(S)$.

Note A automatically a gp scheme.

What if $\dim S > 1$?

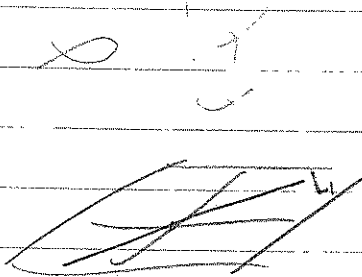
eg: $S = \text{whd of } o \text{ in } \mathbb{A}_{\mathbb{C}, u, v}^2$ $U = S \setminus (u=0)$.

$$\bar{E}: y^2 = ((x-1)^2 - u)((x+1)^2 - v). \quad \text{Set } E = \bar{E}|_U.$$

Again, pick a section as ~~all~~ unit.

Let $\bar{E}^{\text{sm}} \rightarrow S$ be smooth locus. Does this have a group structure (extending that on E)? ~~No~~

~~With, if so then we'd get a gp structure~~
 First, let's try restricting to lines in S through o .
 Let $\lambda \in \mathbb{C}^*$, & let L_λ be the line in S given by $u = \lambda v$:



Over L_λ , we get ell. curve E_λ outside origin.
 • the restriction $\bar{E}|_{L_\lambda}$ is non-reg, so

$$\bar{E}^{\text{sm}} \rightarrow L_\lambda \text{ is NTP of } E_\lambda!$$

In particular, by restriction we get a gp structure on closed fibre

$$E_\lambda \Big|_o$$

Note $\bar{E}_\lambda^{\text{sm}} \Big|_o = \bar{E}^{\text{sm}} \Big|_o$, so get a gp structure on fibre of $\bar{E}^{\text{sm}} \rightarrow S$ over

closed pt. However, the gp structure depends on λ !

This is not quite a pt, but makes it pretty clear the gp structure on E will not extend to \bar{E}^{sm} . Similarly, it is not too hard to show that E does not have a ~~DM~~ NM over S .

Blowing up

The group structure on \bar{E}_o^{sm} we found depended on the 'direction' λ from which we approached the origin, & this obstructs NM.

Natural idea: try blowing up S at o , to 'separate out' these directions.

Doesn't work:

Thm [H3]: Let $U \subset S, E|_U$ as above. Let $f: \tilde{S} \rightarrow S$ proper surj, & let $\tilde{E} = f^*E$.
 let $\tilde{u} = f^{-1}u$, let $\tilde{E} = f^*E$ on \tilde{S} . Then \tilde{E} does not admit a NM over \tilde{S} .

We Classification

We actually do something more general: we classify exactly when ~~the~~ ^{nodal} ~~semitable~~ curves admit NMs.

Labelled graphs

S reg. sep, $C \rightarrow S$ ~~semitable~~ ^{nodal} curve:

- geom fib red + conn.
- nonsm. pts in fibres ~~are~~ look (étale) locally like $\frac{h(x,y)}{(xy)}$

eg node

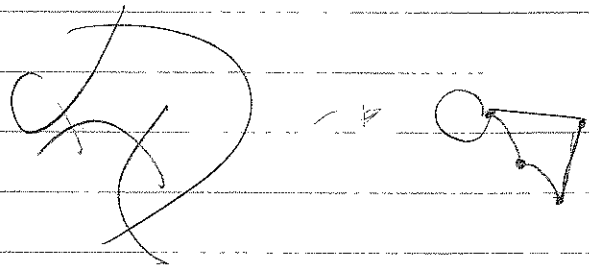
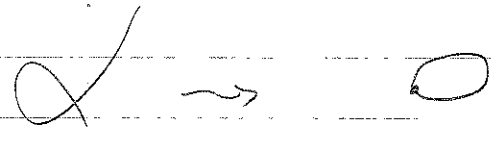
eg not cusp

Reduction graph

Use a d. field, $C_{\mathbb{F}}^*$ nodal. Draw a graph with

- 1 vertex for each irred. comp of C
- for each sing. pt of C , an edge between the irred. comps containing it (either 1 or 2)

eg:



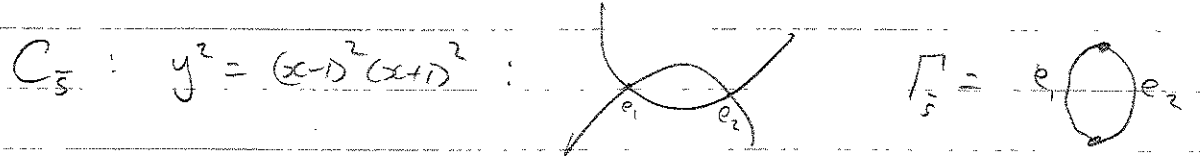
Labelling: Let X be a scheme, $C_{\mathbb{F}}$ nodal, $\bar{s} \in S$ geom. pt

$\Gamma_{\bar{s}} =$ graph of $C_{\bar{s}}$. Let $e \in \Gamma_{\bar{s}}$ an edge, $e \rightarrow$ singular pt on fibre $C_{\bar{s}}$.

Lemma: For element $\alpha \in \hat{O}_{\bar{s}, \bar{s}}^{et}$, unique up to units, such that $\exists \alpha u =$ d complete loc. mgs

$$\hat{O}_{\bar{s}, \bar{s}}^{et} \frac{(u, v)}{(uv-d)} \simeq \hat{O}_{C, e}^{et}$$

pf Eg: $y^2 = ((x-1)^2 - u)((x+1)^2 - v)$. $\bar{s} \nmid (u=v=0)$



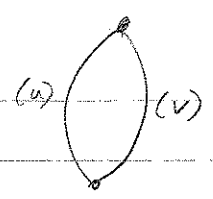
label at e_1 is \underline{u} , label at $e_2 = \underline{v}$

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\rightarrow



In this way, can label ^{edges of} graph Γ_S by principal ideals of $\mathcal{O}_{S,S}^{et}$.

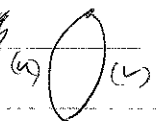


Def: We say C/S is aligned at $s \in S$ if for every circuit $\delta \in \Gamma_s$,

& for every two labels l_1, l_2 on δ , \exists integer $n_1, n_2 > 0$

s.t. $l_1^{n_1} = l_2^{n_2}$.

Say C/S aligned if aligned at all $s \in S$.

eg:  Not aligned.

eg if $S = \text{pt}$ dedekind, then $\mathcal{O}_{S,S}^{et}$ a DVR, so if curve gen-sm.

then all labels powers of unit, so always aligned.

eg if graph a tree (no loops) then aligned (cpt type).

Thm DHB: S reg sep, $U \subseteq S$ d open, C/S nodal, sub. $C_u \rightarrow U$ smooth. $\mathcal{I} = \mathcal{I}_{acc}$.

- If C regular & $C \rightarrow S$ aligned, then \mathcal{I} has $N \cap \mathcal{I}_s$.
- If $C \rightarrow S$ not aligned, then \mathcal{I} has no $N \cap \mathcal{I}_s$.
- If $f: \tilde{S} \rightarrow S$ prop surj & \tilde{S} reg, then C/S aligned iff $f^* C/\tilde{S}$ aligned.

Cor: Our prev. eg has no NM, even after blowing up / alterations.

- NMs exist over dedekind schemes ~~(known due to Atiyah)~~ } known anyway.
- NMs exist for cplx type (Frey & other pl')

Other apps:

(W. O & R)

• Combine w analytic stuff + ~~other~~ electrical network analysis to prove a ~~special~~ (coset) conj. of Ham on effectivity of ht jump in Hodge theory;

• [W. O & R]: New pt of view of S&T on heights in families (stack if true).

• New cplx $\tilde{\Pi}_{g,n}$ of moduli of ~~stack~~ sm. proper curves of genus g , n marked pts

• \exists canonical map $\tilde{\Pi}_{g,n} \rightarrow \overline{\Pi}_{g,n}$;

• The jacobian of the univ. curve over $\tilde{\Pi}_{g,n}$ has NM over $\tilde{\Pi}_{g,n}$ & latter is universal wrt this property;

• ~~some other~~ ^{partial cplx} cplx (eg. $\Pi_{g,n}$, Gromov-Witten, Gromov-Witten - Zakharenko) sit inside $\tilde{\Pi}_{g,n}$.

• UBC