# CM-values of p-adic $\Theta$ -functions

#### Mike Daas

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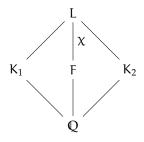
6th of March, 2024



# Setting up

Let  $D_1, D_2 < 0 \, \text{be coprime discriminants}$  and write  $D = D_1 D_2.$  Set

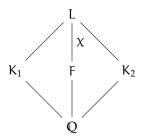
$$\begin{split} &K_1 = \mathbb{Q}(\sqrt{D_1}), \quad K_2 = \mathbb{Q}(\sqrt{D_2}), \\ &F = \mathbb{Q}(\sqrt{D}), \quad L = \mathbb{Q}(\sqrt{D_1},\sqrt{D_2}). \end{split}$$



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Let  $\chi$  be the genus character of L/F: if  $\mathfrak{p} \subset \mathfrak{O}_F$  is prime, then

$$\chi(\mathfrak{p}) = \begin{cases} 1 & \text{if } \mathfrak{p} \text{ splits in } L/F; \\ -1 & \text{if } \mathfrak{p} \text{ is inert in } L/F. \end{cases}$$

#### The formula

Let  $I \subset \mathcal{O}_F$  be an ideal. Define

$$\begin{split} &\rho(I) = \# \{J \subset \mathfrak{O}_L \mid Nm_F^L(J) = I\}; \\ &sp(I) = \begin{cases} \mathfrak{p} & \text{if } \mathfrak{p} \text{ is } \textit{unique} \text{ with } \chi(\mathfrak{p}) = -1 \text{ and } \nu_{\mathfrak{p}}(I) \text{ odd;} \\ 1 & \text{otherwise.} \end{cases} \end{split}$$

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### Theorem (Gross-Zagier, 1984)

Setting  $\alpha = \nu \sqrt{D}$  and  $\mathfrak{D}_F = (\sqrt{D})$ , the following equality holds:

$$log Nm_{\mathbb{Q}}^{H_1H_2}\big(j(E_1)-j(E_2)\big) = \sum_{\substack{\nu \in \mathcal{D}_F^{-1,+} \\ tr(\nu)=1}} \rho(sp(\alpha)\alpha)(\nu_{sp(\alpha)}(\alpha)+1) log Nm(sp(\alpha)).$$

Let 
$$D_1 = -7$$
 and  $D_2 = -19$ . Then

$$\begin{split} E_1: y^2 + xy &= x^3 - x^2 - 2x - 1, \quad j(E_1) = -3^3 5^3; \\ E_2: y^2 + y &= x^3 - 38x + 90, \quad j(E_2) = -2^{15} 3^3. \end{split}$$

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χ	±1	±3	±5	±7	±9	±11
$(D-x^2)/4$	3 · 11	31	$3^3$	3 · 7	13	3
sp(\alpha)	3	31	3	3	13	3
$(v_{\mathrm{sp}(\alpha)}(\alpha)+1)/2$	1	1	2	1	1	1
$\rho(sp(\alpha)\alpha)$	2	1	1	2	1	1

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This reminds one of a diagonal restriction of a weight k Hilbert Eisenstein series:

$$\mathsf{E}_{k,\chi}(z,z) = \text{const} + \sum_{\substack{\nu \in \mathcal{D}_{\mathsf{F}}^{-1,+} \\ \operatorname{tr}(\nu) = n}} \left( \sum_{\mathrm{I} \mid (\nu) \mathcal{D}_{\mathsf{F}}} \chi(\mathrm{I}) \mathrm{Nm}(\mathrm{I})^{k-1} \right) q^{n}.$$

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- Consider a family parametrised by a "weight"  $s \in \mathbb{C}$ ;
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This must be in  $M_2(SL_2(\mathbb{Z})) = 0$ .

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This must be in  $M_2(SL_2(\mathbb{Z})) = 0$ . The explicit formula for its Fourier coefficients involves two terms, one for each side  $\implies$  equal. **Hard**.

# What is the j-function really?

Consider  $M_2(\mathbb{Q})$ ; this is a quaternion algebra with norm det. Here, a maximal order is given by

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#### Question

What happens if we change  $M_2(\mathbb{Q})$  to a different quaternion algebra?

#### Shimura curves

Choose two primes  $p \neq q$  and let N = pq. Let  $B_N$  denote the quaternion algebra ramified at p and q. Let  $R_N$  be a maximal order and let  $R_{N,1}^{\times}$  denote the subgroup of units of norm 1. We may choose an embedding  $R_{N,1}^{\times} \to M_2(\mathbb{R})$  to form the quotient

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### Proposition

The Shimura curve  $X_N$  is of genus 0 if and only if  $N \in \{6, 10, 22\}$ .

Suppose henceforth that we are in one of these cases. Then there exists a generator  $j_N$  of the function field. Note this choice is not unique.

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$$Nm(j_N(\tau_1)-j_N(\tau_2)).$$

They are algebraic by Shimura reciprocity.

Let  $B_q$  denote the quaternion algebra ramified at q and  $\infty$ . Let  $R_q$  be a maximal order. Now  $B_q$  is definite, so consider the group

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#### Question

Which functions on  $\Gamma_q^p \setminus \mathcal{H}_p$  correspond to  $j_N$  on the other side?

#### Theta functions

Let  $w_1, w_2 \in \mathcal{H}_p$ . Then consider the expression

$$\Theta(w_1, w_2; z) = \prod_{\gamma \in \Gamma_q^p} \frac{z - \gamma w_1}{z - \gamma w_2}.$$

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$$\Theta(w_1, w_2; z) = c(w_1, w_2) \cdot \frac{j_N(z) - j_N(w_1)}{j_N(z) - j_N(w_2)}, \text{ for some } c(w_1, w_2) \in \mathbb{C}_p.$$

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Now choose  $w_1 = \tau_1$  and  $w_2 = \tau_1'$ ; its Galois conjugate. Because we don't know  $c(\tau_1, \tau_1')$ , we opt to study instead

$$\frac{j_N(\tau_2) - j_N(\tau_1)}{j_N(\tau_2) - j_N(\tau_1')} \frac{j_N(\tau_2') - j_N(\tau_1')}{j_N(\tau_2') - j_N(\tau_1)} = \prod_{\gamma \in \Gamma_q^p} \frac{\tau_2 - \gamma \tau_1}{\tau_2 - \gamma \tau_1'} \frac{\tau_2' - \gamma \tau_1'}{\tau_2' - \gamma \tau_1}.$$

# The conjecture

One can p-adically approximate the quantity

$$J_q^p(\tau_1,\tau_2) := \prod_{\gamma \in \Gamma_q^p} \frac{\tau_2 - \gamma \tau_1}{\tau_2 - \gamma \tau_1'} \frac{\tau_2' - \gamma \tau_1'}{\tau_2' - \gamma \tau_1}$$

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There are four ideals  $\mathfrak a$  of norm N=pq in  $\mathfrak O_F$ ; they come in two Gal(F/Q) orbits. Assign one orbit  $\delta(\mathfrak a)=+1$ , the other  $\delta(\mathfrak a)=-1$ .

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### Conjecture (Giampietro, Darmon)

The expression

$$log Nm_{\mathbb{Q}}^{H_1H_2}J_{\mathfrak{q}}^p(\tau_1,\tau_2)$$

is up to sign explicitly equal to

$$\sum_{\substack{Nm(\mathfrak{a})=N\\ tr(\nu)=1}} \delta(\mathfrak{a}) \sum_{\substack{\nu \in \mathcal{D}_{\mathfrak{p}}^{-1,+}\\ tr(\nu)=1}} \rho(sp(\alpha\mathfrak{a}^{-1})\alpha\mathfrak{a}^{-1}) (\nu_{sp(\alpha\mathfrak{a}^{-1})}(\alpha\mathfrak{a}^{-1})+1) \log Nm \ (sp(\alpha\mathfrak{a}^{-1})).$$

### Intermezzo: rewriting the theta-series

Let  $\tau_i$  be defined by an embedding  $\alpha_i: \mathfrak{O}_i \to R_q$  for i=1,2. This yields actions of the  $\mathfrak{O}_i$  on  $B_q$ , and as such, an action of L through

$${\mathbb O}_L\cong {\mathbb O}_1\otimes_{\mathbb Z} {\mathbb O}_2: (x\otimes y)*b=\alpha_1(x)b\alpha_2(y).$$

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Since  $[L : \mathbb{Q}] = [B_q : \mathbb{Q}] = 4$ , so  $[B_q : L] = 1$ .

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$${\mathbb O}_L \cong {\mathbb O}_1 \otimes_{\mathbb Z} {\mathbb O}_2 : (x \otimes y) * b = \alpha_1(x) b \alpha_2(y).$$

Since  $[L : \mathbb{Q}] = [B_q : \mathbb{Q}] = 4$ , so  $[B_q : L] = 1$ .

#### Proposition

There exists a unique F-linear quadratic form  $det_F: B_q \to F$  with the property that  $tr_{F/\mathbb{O}}(det_F(b)) = Nm(b)$ .

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It satisfies

$$\frac{\tau_2 - b\tau_1}{\tau_2 - b\tau_1'} \frac{\tau_2' - b\tau_1'}{\tau_2' - b\tau_1} = \frac{\det_F(b)}{\det_F'(b)}.$$

As such.

$$\frac{\Theta(\tau_1,\tau_1';\tau_2)}{\Theta(\tau_1,\tau_1';\tau_2')} = \prod_{b \in \Gamma_n^p} \frac{det_F(b)}{det_F'(b)}.$$

## From quaternions to ideals

Let  $\iota:B_q\to L$  be an isomorphism of L-vector spaces. For  $b\in B_q,$  define the ideal

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#### Proposition

Ranging over all possible pairs of embeddings  $\alpha_1, \alpha_2$ , the association  $b \mapsto I_b$  establishes a bijection between

$$\{b\in R_q/\{\pm 1\}\,|\; det_F(b)=\nu\}$$

and

$$\{I\subset \mathfrak{O}_L\mid Nm_{L/F}(I)=(\nu)\mathfrak{q}^{-1}\mathfrak{D}_F\}.$$

### Rewriting the theta series further

Note that we have a correspondence

$$\Gamma_q^p = R_q[1/p]_1^\times \leftrightarrow \lim_{n \to \infty} \left\{ b \in R_q \mid Nm(b) = p^{2n} \right\}.$$

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Taking the logarithm;

$$\begin{split} \log_p \frac{\Theta(\tau_1, \tau_1'; \tau_2)}{\Theta(\tau_1, \tau_1'; \tau_2')} &= \lim_{n \to \infty} \sum_{tr(\nu) = p^{2n}} \#\{b \in R_q \mid det_F(b) = \nu\} log_p(\nu/\nu') \\ &= \lim_{n \to \infty} \sum_{tr(\nu) = p^{2n}} \rho((\nu)\mathfrak{q}^{-1}\mathfrak{D}_F) log_p(\nu/\nu'). \end{split}$$

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But writing down explicit families of modular forms is hard. Idea:

• Consider its associated Galois representation  $1 \oplus \chi$ ;

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- Argue why these deformations are modular;
- Explicitly compute its Fourier coefficients  $a_{\nu}$  for all  $\nu \gg 0$ ;
- The  $\epsilon$ -part then yields a meaningful derivative.

# Deforming $1 \oplus \chi$

Again let  $\rho=1\oplus\chi$ . Write  $\tilde{\rho}$  for a deformation of  $\rho$  to the ring  $GL_2(\mathbb{Q}_p[\varepsilon])$  where  $\varepsilon^2=0$ .

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#### Proposition

Let  $a,b,c,d:G_F\to\mathbb{Q}_p$  be those functions such that

$$\tilde{\rho}(\tau) = \left(1 + \varepsilon \begin{pmatrix} \alpha(\tau) & b(\tau) \\ c(\tau) & d(\tau) \end{pmatrix}\right) \cdot \rho(\tau)$$

for all  $\tau \in G_F.$  Then these functions must respectively satisfy

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Note that dim  $\text{Hom}(G_F,\mathbb{Q}_p)=1$  spanned by the p-adic cyclotomic character:

$$\varphi_p^{cyc}: G_F \to Gal(F(\zeta_p^\infty)/F) \cong \mathbb{Z}_p^\times \xrightarrow{log_p} \mathbb{Q}_p.$$

For simplicity, choose

$$\tilde{\rho}(\tau) = \begin{pmatrix} 1 + \varphi_p^{cyc} \varepsilon & 0 \\ 0 & \chi - \chi \varphi_p^{cyc} \varepsilon \end{pmatrix}.$$

Suppose that this deformation is modular. That would yield a morphism  $\phi: \mathbb{T} \to \mathbb{Q}_p[\varepsilon]$ , where  $\mathbb{T}$  is Hida's p-adic Hecke algebra, generated by:

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#### Theorem

Let  $\mathbb K$  be the ring of fractions of Hida's nearly ordinary cuspidal Hecke algebra. There exists a semisimple Galois representation  $\pi\colon G_F\to GL_2(\mathbb K)$  with the following properties:

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- For each prime I ∤ p, it holds that

$$\det (1 - \pi(\operatorname{Frob}_{\mathfrak{l}})X) = 1 - \mathsf{T}_{\mathfrak{l}}X + \langle \mathfrak{l} \rangle \operatorname{Nm}(\mathfrak{l})X^{2}.$$

We recover φ from

$$\phi(T_{\mathfrak{l}}) = tr(\tilde{\rho}(Frob_{\mathfrak{l}})) = \begin{cases} 2 & \text{if } \chi(\mathfrak{l}) = 1; \\ 2\log_{\mathfrak{p}}(Nm(\mathfrak{l}))\varepsilon & \text{if } \chi(\mathfrak{l}) = -1. \end{cases}$$

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Remember the essential recursion relation

$$T_{\mathfrak{l}^{\mathfrak{n}+1}} = T_{\mathfrak{l}^{\mathfrak{n}}}T_{\mathfrak{l}} - \langle \mathfrak{l} \rangle Nm(\mathfrak{l})T_{\mathfrak{l}^{\mathfrak{n}-1}}.$$

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$$T_{\mathfrak{l}^{n+1}} = T_{\mathfrak{l}^n} T_{\mathfrak{l}} - \langle \mathfrak{l} \rangle Nm(\mathfrak{l}) T_{\mathfrak{l}^{n-1}}.$$

We can solve this in each case explicitly:

$$\phi(T_{\mathfrak{l}^{\mathfrak{n}}}) = \begin{cases} \mathfrak{n}+1 & \text{if } \chi(\mathfrak{l})=1; \\ (\mathfrak{n}+1)\log_{\mathfrak{p}}(Nm(\mathfrak{l}))\varepsilon & \text{if } \chi(\mathfrak{l})=-1 \text{ and } \mathfrak{n} \text{ is odd;} \\ 1 & \text{if } \chi(\mathfrak{l})=-1 \text{ and } \mathfrak{n} \text{ is even.} \end{cases}$$

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## Unifying expressions

So we have

$$\phi(T_{I^n}) = \begin{cases} n+1 & \text{if } \chi(\mathfrak{l}) = 1; \\ (n+1)\log_p(Nm(\mathfrak{l}))\varepsilon & \text{if } \chi(\mathfrak{l}) = -1 \text{ and } n \text{ is odd;} \\ 1 & \text{if } \chi(\mathfrak{l}) = -1 \text{ and } n \text{ is even.} \end{cases}$$

Compare this to

$$\sum_{I\mid I^n}\chi(I)=\rho(I^n)=\begin{cases} n+1 & \text{if } \chi(I)=1;\\ 0 & \text{if } \chi(I)=-1 \text{ and } n \text{ is odd; } .\\ 1 & \text{if } \chi(I)=-1 \text{ and } n \text{ is even.} \end{cases}$$

The integral parts are precisely  $\rho(I^n)$ . We can thus write

$$\phi(\mathsf{T}_{\mathfrak{l}^n}) = \rho(\mathfrak{l}^n) + \frac{1}{2}(n+1) (1-\chi(\mathfrak{l}^n)) \log_{\mathfrak{p}}(\mathsf{Nm}(\mathfrak{l})) \varepsilon.$$

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Let  $J \subset \mathcal{O}_F$  be any ideal coprime to p. Then

$$\phi(T_J) = \rho(J) + \frac{1}{2} \sum_{I^n \parallel J} \Big( (n+1) \big(1 - \chi(\mathfrak{l}^n) \big) \rho(J/\mathfrak{l}^n) \Big) log_p(Nm(\mathfrak{l})) \varepsilon.$$

## The Magic Moment

$$\phi(T_J) = \rho(J) + \frac{1}{2} \sum_{\mathfrak{l}^n \parallel J} \left( (n+1) \left( 1 - \chi(\mathfrak{l}^n) \right) \rho(J/\mathfrak{l}^n) \right) \log_p(Nm(\mathfrak{l})) \epsilon.$$

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If J is a primitive ideal coprime to p, then the quantity

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Indeed, the factor  $1-\chi(\mathfrak{I}^n)=0$  unless  $\mathfrak{I}$  is a special prime of J, and if  $J/\mathfrak{I}^n$  still has another special prime,  $\rho(J/\mathfrak{I}^n)=0$ . It can thus only be non-zero when  $\mathfrak{I}$  is the unique special prime; the rest matches up.

#### Fourier coefficients

For convenience, let us denote

$$\log \mathfrak{F}(J) = \rho(sp(J)J)(\nu_{sp(J)}(J) + 1)\log(sp(J)),$$

so that very concisely, for J coprime to p,

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Let  $\widetilde{J}$  denote the ideal J without its prime factors dividing p.

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For any  $\nu \in ({\mathcal D}_F^{-1}{\mathfrak q})^+$  , let  $J_\nu = (\nu){\mathcal D}_F{\mathfrak q}^{-1}.$  Then it holds that

$$\alpha_{\nu}(f_{\mathfrak{q}}) = (-1)^{\nu_{\mathfrak{p}}(\nu)} \big( \rho(\widetilde{J_{\nu}}) + log_{\mathfrak{p}}(\mathfrak{F}(\widetilde{J}_{\nu})) \varepsilon - \rho(\widetilde{J_{\nu}}) \, log_{\mathfrak{p}}(\nu/\nu') \varepsilon \big).$$

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The term  $\log(\nu/\nu')$  comes from  $\nu$  at the two places above p, as

$$\phi(U_\pi) = -1 + log_\mathfrak{p}(\pi)\varepsilon; \quad \phi(U_{\pi'}) = 1 + log_\mathfrak{p}(\pi')\varepsilon.$$

### Ordinary projection

We take the diagonal restriction:

$$diag(f_{\mathfrak{q}}) = \sum_{n=1}^{\infty} \Big( \sum_{\substack{\mathbf{v} \in (\mathcal{D}_F^{-1}\mathfrak{q})^+ \\ tr(\mathbf{v}) = n}} \alpha_{\mathbf{v}} \Big) \mathfrak{q}^n.$$

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Taking its derivative amounts to considering only the  $\epsilon$ -part:

$$\alpha_n(\operatorname{\partial diag}(f_{\mathfrak{q}})) = \sum_{\substack{\nu \in (\mathcal{D}_{\mathfrak{p}}^{-1}\mathfrak{q})^+ \\ \operatorname{tr}(\nu) = n}} (-1)^{\nu_{\mathfrak{p}}(\nu)} \big( \log_p(\mathfrak{F}(\widetilde{J_{\nu}})) - \rho(\widetilde{J_{\nu}}) \log_p(\nu/\nu') \big).$$

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$$diag(f_{\mathfrak{q}}) = \sum_{n=1}^{\infty} \Big( \sum_{\substack{\nu \in (\mathcal{D}_{\mathfrak{p}}^{-1}\mathfrak{q})^+ \\ tr(\nu) = n}} \alpha_{\nu} \Big) \mathfrak{q}^n.$$

Taking its derivative amounts to considering only the  $\epsilon$ -part:

$$\alpha_{\mathfrak{n}}(\mathfrak{d}diag(f_{\mathfrak{q}})) = \sum_{\substack{\nu \in (\mathcal{D}_{\mathfrak{p}}^{-1}\mathfrak{q})^{+} \\ \operatorname{tr}(\nu) = \mathfrak{n}}} (-1)^{\nu_{\mathfrak{p}}(\nu)} \big( \log_{\mathfrak{p}}(\mathfrak{F}(\widetilde{J_{\nu}})) - \rho(\widetilde{J_{\nu}}) \log_{\mathfrak{p}}(\nu/\nu') \big).$$

Now we take the *ordinary projection* e<sup>ord</sup>:

$$\begin{split} \alpha_1(e^{ord}(\vartheta diag(f_{\mathfrak{q}}))) &= \lim_{n \to \infty} \alpha_{\mathfrak{p}^{2n}}(\vartheta diag(f_{\mathfrak{q}})) \\ &= \lim_{n \to \infty} \sum_{\substack{\nu \in (\mathcal{D}_{\mathfrak{p}}^{-1}\mathfrak{q})^+ \\ tr(\nu) = \mathfrak{p}^{2n}}} (-1)^{\nu_{\mathfrak{p}}(\nu)} \big(log_{\mathfrak{p}}(\mathcal{F}(\widetilde{J_{\nu}})) - \rho(\widetilde{J_{\nu}}) \, log_{\mathfrak{p}}(\nu/\nu') \big) \Big). \end{split}$$

#### The crux!

One can show that the result must be a classical cusp form of weight 2 and level N, but one can check that

$$S_2(\Gamma_0(6)) = S_2(\Gamma_0(10)) = 0 \quad \text{and} \quad S_2(\Gamma_0(22)) \approx 0.$$

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In other words, if

$$A:=\lim_{\substack{n\to\infty\\tr(\nu)=\mathfrak{p}^{2n}}}\sum_{\substack{\nu\in(\mathcal{D}_F^{-1}\mathfrak{q})^+\\tr(\nu)=\mathfrak{p}^{2n}}}(-1)^{\nu_{\mathfrak{p}}(\nu)}\rho(\widetilde{J_{\nu}})\log_{\mathfrak{p}}(\nu/\nu')$$

and

$$B := \lim_{n \to \infty} \sum_{\substack{\nu \in (\mathcal{D}_{\mathbb{F}}^{-1}\mathfrak{q})^+ \\ \operatorname{tr}(\nu) = p^{2n}}} (-1)^{\nu_{\mathfrak{p}}(\nu)} \log_{\mathfrak{p}}(\mathfrak{F}(\widetilde{J_{\nu}})),$$

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then A = B. Recall our expression for the theta series

$$log_p \frac{\Theta(\tau_1, \tau_1'; \tau_2)}{\Theta(\tau_1, \tau_1'; \tau_2')} = \lim_{n \to \infty} \sum_{tr(\nu) = p^{2n}} \rho(J_{\nu}) log_p(\nu/\nu').$$

It easily follows that

$$A = \log \operatorname{Nm} J_{\mathfrak{a}}^{\mathfrak{p}}(\tau_1, \tau_2).$$

#### Conclusion

One can show that the limit in B equals the first term:

$$B = \sum_{\substack{\nu \in (\mathcal{D}_{F}^{-1}\mathfrak{q})^{+} \\ tr(\nu) = 1}} (-1)^{\nu_{\mathfrak{p}}(\nu)} \log_{\mathfrak{p}}(\mathfrak{F}(\widetilde{J_{\nu}}))$$

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$$\log \mathfrak{F}(J) = \rho(sp(J)J)(\nu_{sp(J)}(J)+1)\log_p(sp(J)).$$

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Now use A = B to complete the proof:

#### Theorem (D., 2023)

The expression

$$log\,Nm_{\mathbb{Q}}^{H_1H_2}J_{\mathfrak{q}}^p(\tau_1,\tau_2)$$

is up to sign explicitly equal to

$$\sum_{Nm(\mathfrak{a})=N} \delta(\mathfrak{a}) \sum_{\substack{\mathbf{v} \in \mathcal{D}_F^{-1,+} \\ tr(\mathbf{v})=1}} \rho(sp(\alpha\mathfrak{a}^{-1})\alpha\mathfrak{a}^{-1}) (\nu_{sp(\alpha\mathfrak{a}^{-1})}(\alpha\mathfrak{a}^{-1})+1) \log Nm \ (sp(\alpha\mathfrak{a}^{-1})).$$

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Preprint is on arXiv: https://arxiv.org/abs/2309.17251