

LINEAR EQUATIONS WITH  
UNKNOWN FROM A  
MULTIPLICATIVE GROUP  
WHOSE SOLUTIONS LIE IN  
A FEW SUBSPACES

Jan-Hendrik Evertse (Leiden)

Lecture given at the 8th conference  
of the Canadian Number Theory Association

June 20, 2004, Toronto

$K$  is a field of characteristic 0.

$K^*$  is the multiplicative group of  $K$ .

$\Gamma$  is a subgroup of  $K^*$  of finite rank, i.e., there is a free subgroup  $\Gamma_0$  of  $\Gamma$  of finite rank such that for every  $x \in \Gamma \exists m \in \mathbb{N}$  with  $x^m \in \Gamma_0$ .

Define  $\text{rank } \Gamma := \text{rank } \Gamma_0$ .

We consider equations

$$(1) \quad a_1x_1 + \cdots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

with  $a_1, \dots, a_n \in K^*$ .

**Theorem A.** (Schlickewei, Schmidt, E., 2002)

*Let  $\text{rank } \Gamma = r$ . Then the number of non-degenerate solutions of (1), i.e., with*

$$\sum_{i \in I} a_i x_i \neq 0 \quad \text{for each subset } I \text{ of } \{1, \dots, n\},$$

*is at most  $e^{(6n)^{4n}(r+1)}$ .*

Two tuples  $(a_1, \dots, a_n), (b_1, \dots, b_n) \in (K^*)^n$  are called  $\Gamma$ -equivalent if

$$\frac{b_1}{a_1} \in \Gamma, \dots, \frac{b_n}{a_n} \in \Gamma.$$

Two equations

$$(1) \quad a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

with  $\Gamma$ -equivalent tuples of coefficients have the same number of non-degenerate solutions.

**Aim:** Obtain more precise results for the set of solutions of (1), valid for “almost all” equivalence classes of  $(a_1, \dots, a_n)$ .

**Theorem B.** (Győry, Stewart, Tijdeman, E., 1988)

*Let  $\Gamma$  be a given subgroup of  $K^*$  of finite rank. Then for all pairs  $(a, b) \in (K^*)^2$  with the exception of finitely many  $\Gamma$ -equivalence classes, the equation*

$$ax + by = 1 \quad \text{in } x, y \in \Gamma$$

*has at most **two** solutions.*

**Fact:** The bound 2 is best possible.

**Problem:** How to generalize this to equations in  $n \geq 3$  unknowns?

Let  $n \geq 3$ .

**Fact:** (Győry, Stewart, Tijdeman, E., 1988)  
*For every  $h$ , there exist a multiplicative subgroup  $\Gamma$  of  $\mathbb{Q}^*$  of finite rank, and infinitely many  $\Gamma$ -equivalence classes of tuples  $(a_1, \dots, a_n) \in (\mathbb{Q}^*)^n$ , such that the equation*

$$a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

*has at least  $h$  non-degenerate solutions.*

Let  $\Gamma$  be a given subgroup of  $K^*$  of finite rank.

**Theorem C.** (Győry, E., 1989)

*For all tuples  $(a_1, \dots, a_n) \in (K^*)^n$  with the exception of finitely many  $\Gamma$ -equivalence classes, the set of solutions of*

$$a_1x_1 + \cdots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

*is contained in the union of not more than  $2^{(n+1)!}$  proper linear subspaces of  $K^n$ .*

**Improvements:**

E. (1993):  $(n!)^{2n+2}$ ;

E. (2000)  $2^{n^2}$  (unpublished)

**Theorem.** (E.) For all tuples  $(a_1, \dots, a_n) \in (K^*)^n$  with the exception of finitely many  $\Gamma$ -equivalence classes, the set of **non-degenerate** solutions of

(1)  $a_1x_1 + \dots + a_nx_n = 1$  in  $x_1, \dots, x_n \in \Gamma$  is contained in the union of not more than

$$2^n$$

proper linear subspaces of  $K^n$ .

**Remark.** The degenerate solutions lie in at most  $2^n$  subspaces  $\sum_{i \in I} a_i x_i = 0$  ( $I \subseteq \{1, \dots, n\}$ ).

## Our main tool.

Assume w.l.o.g. that  $K$  is algebraically closed.  
Let  $\Gamma$  be a subgroup of  $K^*$  of finite rank.

View  $(K^*)^n$  as an algebraic group with coordinatewise multiplication  $(x_1, \dots, x_n) * (y_1, \dots, y_n) = (x_1 y_1, \dots, x_n y_n)$ .

Let  $X$  be an algebraic subvariety of  $(K^*)^n$ .

Call a point  $\mathbf{x} \in X$  *degenerate* if there is a one-dimensional algebraic subgroup  $H$  of  $(K^*)^n$  with  $\mathbf{x} * H \subset X$ , and non-degenerate otherwise.

**Theorem D.** (Laurent, 1980's)

*$X$  has at most finitely many non-degenerate points with coordinates in  $\Gamma$ .*



**Remark.** A one-dimensional algebraic subgroup  $H$  of  $(K^*)^n$  can be expressed as

$$H = \{(\lambda^{c_1}, \dots, \lambda^{c_n}) : \lambda \in K^*\}$$

where  $c_1, \dots, c_n$  are integers with gcd 1.

Hence  $\mathbf{x} = (x_1, \dots, x_n)$  is a degenerate point of  $X$  if and only if there are integers  $c_1, \dots, c_n$  with gcd 1 such that

$$(\lambda^{c_1}x_1, \dots, \lambda^{c_n}x_n) \in X \quad \text{for every } \lambda \in K^*.$$

## A reduction.

Consider tuples  $(a_1, \dots, a_n) \in (K^*)^n$  such that

$$(1) \quad a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

has non-degenerate solutions.

Every  $\Gamma$ -equivalence class of such tuples contains a **normalized** tuple, i.e., a tuple  $(a_1, \dots, a_n)$  such that  $(1, 1, \dots, 1)$  is a non-degenerate solution of (1).

Hence it suffices to show:

### **Theorem.**

*For all but finitely many normalized tuples  $a \in (K^*)^n$ , the set of non-degenerate solutions of (1) is contained in the union of not more than  $2^n$  proper linear subspaces of  $K^n$ .*

For every  $\mathbf{a} = (a_1, \dots, a_n) \in (K^*)^n$  the equation

(1)  $a_1x_1 + \dots + a_nx_n = 1$  in  $x_1, \dots, x_n \in \Gamma$  has at most  $A := e^{(6n)^{4n}(r+1)}$  non-degenerate solutions where  $r = \text{rank } \Gamma$ .

Given a normalized tuple  $\mathbf{a}$ , we can order the non-degenerate solutions of (1) in a sequence

$$(1, \dots, 1), (x_{21}, \dots, x_{2n}), \dots, (x_{A1}, \dots, x_{An}),$$

where we have copied some of the solutions if the number of non-degenerate solutions is smaller than  $A$ .

Thus we get

$$\text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ x_{21} & \cdots & x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ x_{A1} & \cdots & x_{An} & 1 \end{pmatrix} \leq n.$$

This defines an algebraic subvariety  $X$  of  $(K^*)^{n(A-1)}$  which is independent of  $\mathbf{a}$ .

Each normalized tuple of coefficients  $\mathbf{a} = (a_1, \dots, a_n)$  gives rise to a point  $(x_{21}, \dots, x_{An}) \in X$  with coordinates in  $\Gamma$ .

$\mathbf{a} \in$  **CLASS I**

if  $(x_{21}, \dots, x_{An})$  is a non-degenerate point of  $X$ .

$\mathbf{a} \in$  **CLASS II**

if  $(x_{21}, \dots, x_{An})$  is a degenerate point of  $X$ .

Each normalized tuple of coefficients  $\mathbf{a} = (a_1, \dots, a_n)$  gives rise to a point  $(x_{21}, \dots, x_{An}) \in X$  with coordinates in  $\Gamma$ .

$\mathbf{a} \in$  **CLASS I**

if  $(x_{21}, \dots, x_{An})$  is a non-degenerate point of  $X$ .

$\mathbf{a} \in$  **CLASS II**

if  $(x_{21}, \dots, x_{An})$  is a degenerate point of  $X$ .

**We will prove:**

CLASS I is finite.

If  $\mathbf{a}$  is in CLASS II, then the non-degenerate solutions of

$$a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

lie in not more than  $2^n$  subspaces of  $K^n$ .

## CLASS I.

$\mathbf{a} = (a_1, \dots, a_n)$  is such that  $(x_{21}, \dots, x_{An})$  is a non-degenerate point with coordinates in  $\Gamma$  of

$$X : \text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ x_{21} & \cdots & x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ x_{A1} & \cdots & x_{An} & 1 \end{pmatrix} \leq n.$$

By Laurent's Theorem,  $(x_{21}, \dots, x_{An})$  belongs to a finite set independent of  $\mathbf{a}$ .

We can determine  $\mathbf{a}$  uniquely from  $(x_{21}, \dots, x_{An})$  by solving

$$\begin{aligned} a_1 + \cdots + a_n &= 1 \\ a_1 x_{i1} + \cdots + a_n x_{in} &= 1 \quad (i = 2, \dots, A). \end{aligned}$$

Hence CLASS I is finite.

## CLASS II.

$\mathbf{a} = (a_1, \dots, a_n)$  is such that  $\mathbf{x} = (x_{21}, \dots, x_{An})$  is a degenerate point of

$$X : \text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ x_{21} & \cdots & x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ x_{A1} & \cdots & x_{An} & 1 \end{pmatrix} \leq n.$$

Then there are integers  $c_{21}, \dots, c_{An}$  with  $\gcd(c_{21}, \dots, c_{An}) = 1$  such that

$$\text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ \lambda^{c_{21}} x_{21} & \cdots & \lambda^{c_{2n}} x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ \lambda^{c_{A1}} x_{A1} & \cdots & \lambda^{c_{An}} x_{An} & 1 \end{pmatrix} \leq n$$

for every  $\lambda \in K^*$ .

**Substitute**  $\lambda = -1$ . Then we get

$$\text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ \pm x_{21} & \cdots & \pm x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ \pm x_{A1} & \cdots & \pm x_{An} & 1 \end{pmatrix} \leq n.$$

Not all signs are  $+$  since not all  $c_{ij}$  are even.

Hence there are  $b_1, \dots, b_n, b_0 \in K$ , not all 0 such that

$$\begin{aligned} b_1 + \cdots + b_n &= b_0 \\ \pm b_1 x_{i1} \pm \cdots \pm b_n x_{in} &= b_0 \quad (i = 2, \dots, A). \end{aligned}$$



## Conclusion:

Recall that  $(1, \dots, 1), (x_{i1}, \dots, x_{in})$  ( $i = 2, \dots, A$ ) contain all non-degenerate solutions of

$$(1) \quad a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma.$$

So for each non-degenerate solution of (1) there are  $n$  signs  $\pm$  such that

$$\pm b_1x_1 \pm \dots \pm b_nx_n = b_0.$$

Thus, if  $\mathbf{a} \in \text{CLASS II}$ , then the non-degenerate solutions of (1) lie in at most  $2^n$  proper linear subspaces of  $K^n$ .

**QED**

## A speculation.

For every tuple  $\mathbf{a} = (a_1, \dots, a_n) \in (K^*)^n$  with the exception of finitely many  $\Gamma$ -equivalence classes, the following holds:

**1)** If  $\mathbf{a}$  is  $\Gamma$ -equivalent to  $(b, b, \dots, b)$  for some  $b \in K^*$ , then the set of solutions of

$$(1) \quad a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

is contained in the union of not more than  $n$  proper linear subspaces of  $K^n$ .

**2)** If  $\mathbf{a}$  is not  $\Gamma$ -equivalent to  $(b, b, \dots, b)$  for any  $b \in K^*$ , then the set of solutions of (1) is contained in the union of not more than 2 proper linear subspaces of  $K^n$ .

**Remark.** If  $\mathbf{u} = (u_1, \dots, u_n)$  is a solution of

$$bx_1 + bx_2 + \dots + bx_n = 1$$

then so are the points  $\mathbf{u}_\sigma = (u_{\sigma(1)}, \dots, u_{\sigma(n)})$  for each permutation  $\sigma$  of  $1, 2, \dots, n$ .

For “generic”  $\mathbf{u}$ , precisely  $n$  proper linear subspaces of  $K^n$  are needed to cover the set of all points  $\mathbf{u}_\sigma$ .