

LINEAR EQUATIONS WITH UNKNOWNNS FROM A MULTIPLICATIVE GROUP

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Notation:

K is an algebraically closed field of characteristic 0.

K^* is the multiplicative group of K .

Γ is a subgroup of K^* of finite rank, i.e., there is a free subgroup Γ_0 of Γ of finite rank such that for every $x \in \Gamma \exists m \in \mathbb{N}$ with $x^m \in \Gamma_0$.

Define $\text{rank } \Gamma := \text{rank } \Gamma_0$.

Example:

$\Gamma = \{ \sqrt[m]{2^u 3^v 5^w} : u, v, w \in \mathbb{Z}, m \in \mathbb{N} \}$ has rank 3.

We consider equations

$$(1) \quad a_1x_1 + a_2x_2 = 1 \quad \text{in } x_1, x_2 \in \Gamma$$

with $a_1, a_2 \in K^*$.

Siegel-Mahler-Lang (1927-1960): (1) has only finitely many solutions.

Theorem 1. (Beukers, Schlickewei, 1996)

Let $\text{rank } \Gamma = r$. Then eq. (1) has at most $2^{16(r+1)}$ solutions.

Proof. Specialization (to reduce from arbitrary fields of characteristic 0 to number fields); Diophantine approximation (Thue-Siegel method).

It is easy to construct equations

$$(1) \quad a_1x_1 + a_2x_2 = 1 \quad \text{in } x_1, x_2 \in \Gamma.$$

with two solutions:

pick any two distinct pairs $(x_1, x_2), (y_1, y_2)$ from Γ and solve a_1, a_2 from $a_1x_1 + a_2x_2 = 1, a_1y_1 + a_2y_2 = 1$.

There are “few” equations (1) with more than two solutions.

Two equations

$$(1) \quad a_1x_1 + a_2x_2 = 1 \quad \text{in } x_1, x_2 \in \Gamma$$

and $b_1x_1 + b_2x_2 = 1$ in $x_1, x_2 \in \Gamma$ have the same number of solutions if $b_1 = a_1u_1$, $b_2 = a_2u_2$ for some $u_1, u_2 \in \Gamma$.

Taking for (u_1, u_2) a solution of (1) we obtain an equation with the same number as solutions as (1), whose sum of coefficients is 1.

A pair of coefficients $(a_1, a_2) \in K^* \times K^*$ with $a_1 + a_2 = 1$ is called *normalized*.

Theorem 2. (Győry, Stewart, Tijdeman, E., 1988): *For every subgroup Γ of K^* of finite rank there are only finitely many normalized pairs (a_1, a_2) such that (1) has more than two solutions.*

We now consider equations in $n \geq 3$ variables

$$(2) \quad a_1x_1 + \cdots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

with $a_1, \dots, a_n \in K^*$.

A solution (x_1, \dots, x_n) is called *non-degenerate* if

$$\sum_{i \in I} a_i x_i \neq 0 \quad \text{for each non-empty } I \subset \{1, \dots, n\}$$

and otherwise degenerate.

Degenerate solutions give rise to infinite families of solutions.

If (x_1, \dots, x_n) is a solution with $\sum_{i=1}^m a_i x_i = 0$ ($m < n$) then $(ux_1, \dots, ux_m, x_{m+1}, \dots, x_n)$ satisfies (2) for every $u \in \Gamma$.

Laurent, van der Poorten, Schlickewei, E. (1980's):

if Γ is a subgroup of K^* of finite rank, then

$$(2) \quad a_1x_1 + \cdots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

has only finitely many non-degenerate solutions.

Theorem 3. (Schlickewei, Schmidt, E., 2002):

Let $\text{rank } \Gamma = r$. Then eq. (2) has at most $\exp\left((6n)^{4n}(r+1)\right)$ non-degenerate solutions.

Proof: Specialization; Diophantine approximation (Thue-Siegel-Roth-Schmidt method)

Let $n \geq 3$.

A tuple (a_1, \dots, a_n) is called normalized if $(1, \dots, 1)$ is a non-degenerate solution of

(2) $a_1x_1 + \dots + a_nx_n = 1$ in $(x_1, \dots, x_n) \in \Gamma$
i.e., $a_1 + \dots + a_n = 1$ and $\sum_{i \in I} a_i \neq 0$ for each non-empty $I \subset \{1, \dots, n\}$.

Question: Does there exist an upper bound H independent of Γ such that for all but finitely normalized tuples (a_1, \dots, a_n) , Eq. (2) has at most H non-degenerate solutions?

No: For every h , there exist a multiplicative subgroup Γ of K^* of finite rank, and infinitely many normalized tuples $(a_1, \dots, a_n) \in (K^*)^n$, such that (2) has at least h non-degenerate solutions.

Proof. ($n = 3$). For $i = 1, \dots, h$, pick $u_{i1}, u_{i2} \in K^*$ with $u_{i1} + u_{i2} = 2$.

Let Γ be the group generated by u_{i1}, u_{i2} ($i = 1, \dots, h$).

Then for every $b \in K$ with $b \neq 0, 1$ the equation

$$\frac{b}{2}x_1 + \frac{b}{2}x_2 + (1 - b)x_3 = 1$$

has h solutions in Γ , i.e., $(u_{i1}, u_{i2}, 1)$ ($i = 1, \dots, h$).

For all but finitely many b , the tuple of coefficients is normalized and the solutions are non-degenerate.

Remark. The solutions in this construction all lie in the subspace $x_1 + x_2 - 2x_3 = 0$.

Theorem 4. (Györy, E., 1989)

For every subgroup Γ of K^ of finite rank, there is a finite collection \mathcal{E}_Γ of normalized tuples, such that for every normalized tuple $(a_1, \dots, a_n) \in (K^*)^n$ outside \mathcal{E}_Γ , the set of solutions of*

$$a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

is contained in the union of at most $2^{(n+1)!}$ proper linear subspaces of K^n .

Theorem 5. (E.,2004) *For every subgroup Γ of K^* of finite rank, there is a finite collection \mathcal{E}_Γ of normalized tuples, such that for every normalized tuple $(a_1, \dots, a_n) \in (K^*)^n$ outside \mathcal{E}_Γ , the set of **non-degenerate** solutions of*

$$a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

is contained in the union of at most

$$2^n$$

proper linear subspaces of K^n .

Remark 1. The degenerate solutions lie in at most 2^n subspaces $\sum_{i \in I} a_i x_i = 0$ ($I \subseteq \{1, \dots, n\}$).

Remark 2. The bound 2^n is probably far from best possible. ($n???$)

Ingredients of the proof:

1) The result of Schlickewei, Schmidt, E. that

$$a_1x_1 + \cdots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

has at most $\exp\left((6n)^{4n}(r+1)\right)$ non-degenerate solutions, where $r = \text{rank } \Gamma$.

(In fact we will need only that this bound is independent of a_1, \dots, a_n).

2) A result by Laurent on points in algebraic subvarieties of $(K^*)^n$ with coordinates in Γ .

Laurent's result.

As before, K is an algebraically closed field of characteristic 0 and Γ a subgroup of K^* of finite rank.

View $(K^*)^n$ as an algebraic group with coordinatewise multiplication $(x_1, \dots, x_n) * (y_1, \dots, y_n) = (x_1 y_1, \dots, x_n y_n)$.

An algebraic subvariety of $(K^*)^n$ is a set

$$X = \{\mathbf{x} \in (K^*)^n : f_1(\mathbf{x}) = \dots = f_m(\mathbf{x}) = 0\}$$

with $f_1, \dots, f_m \in K[X_1, \dots, X_n]$.

An algebraic subgroup of $(K^*)^n$ is an algebraic subvariety closed under coordinatewise multiplication.

A translate of an algebraic subgroup is a coset $\mathbf{x} * H = \{\mathbf{x} * \mathbf{u} : \mathbf{u} \in H\}$ where $\mathbf{x} \in (K^*)^n$ and H is an algebraic subgroup.

Let X be an algebraic subvariety of $(K^*)^n$.

Call a point $\mathbf{x} \in X$ *degenerate* if there is a positive dimensional algebraic subgroup H of $(K^*)^n$ with $\mathbf{x} * H \subset X$, and non-degenerate otherwise.

Theorem. (Laurent, 1980's)

X has at most finitely many non-degenerate points with coordinates in Γ .

Example. Let $X = \{a_1x_1 + \cdots + a_nx_n = 1\}$.

Then $\mathbf{x} = (x_1, \dots, x_n)$ is non-degenerate $\iff \sum_{i \in I} a_i x_i \neq 0$ for each non-empty $I \subset \{1, \dots, n\}$.

A useful fact.

Every m -dimensional algebraic subgroup H of $(K^*)^n$ can be expressed as a direct product

$$H_0 \times G$$

where $H_0 \cong (K^*)^m$ and G is a finite group.

An algebraic subgroup of positive dimension contains points of any finite order.

Construction of a variety.

Let Γ be a subgroup of K^* of finite rank.

There is a uniform bound A such that for every $\mathbf{a} = (a_1, \dots, a_n) \in (K^*)^n$ the equation

$$(2) \quad a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

has at most A non-degenerate solutions.

(E.g., the bound of Schlickewei, Schmidt, E.)

Given a normalized tuple $\mathbf{a} = (a_1, \dots, a_n)$, we can order the non-degenerate solutions of

$$(2) \quad a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

in a sequence

$$(1, \dots, 1), (x_{21}, \dots, x_{2n}), \dots, (x_{A1}, \dots, x_{An}),$$

where we have copied some of the solutions if the number of non-degenerate solutions is smaller than A .

Thus we get

$$\text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ x_{21} & \cdots & x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ x_{A1} & \cdots & x_{An} & 1 \end{pmatrix} \leq n.$$

This defines an algebraic subvariety X of $(K^*)^{n(A-1)}$ which is independent of \mathbf{a} .

Each normalized tuple of coefficients $\mathbf{a} = (a_1, \dots, a_n)$ gives rise to a point $(x_{21}, \dots, x_{An}) \in X$ with coordinates in Γ .

$\mathbf{a} \in$ **CLASS I**

if (x_{21}, \dots, x_{An}) is a non-degenerate point of X .

$\mathbf{a} \in$ **CLASS II**

if (x_{21}, \dots, x_{An}) is a degenerate point of X .

We will prove:

CLASS I is finite.

If \mathbf{a} is in CLASS II, then the non-degenerate solutions of

$$a_1x_1 + \dots + a_nx_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

lie in at most 2^n subspaces of K^n .

CLASS I.

$\mathbf{a} = (a_1, \dots, a_n)$ is such that (x_{21}, \dots, x_{An}) is a non-degenerate point with coordinates in Γ of

$$X : \text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ x_{21} & \cdots & x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ x_{A1} & \cdots & x_{An} & 1 \end{pmatrix} \leq n.$$

By Laurent's Theorem, (x_{21}, \dots, x_{An}) belongs to a finite set independent of \mathbf{a} .

We can determine \mathbf{a} uniquely from (x_{21}, \dots, x_{An}) by solving

$$\begin{aligned} a_1 + \cdots + a_n &= 1 \\ a_1 x_{i1} + \cdots + a_n x_{in} &= 1 \quad (i = 2, \dots, A). \end{aligned}$$

Hence CLASS I is finite.

CLASS II.

$\mathbf{a} = (a_1, \dots, a_n)$ is such that $\mathbf{x} = (x_{21}, \dots, x_{An})$ is a degenerate point of

$$X : \text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ x_{21} & \cdots & x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ x_{A1} & \cdots & x_{An} & 1 \end{pmatrix} \leq n.$$

Then there is a positive dimensional algebraic group H such that $\mathbf{x} * H \subset X$.

Take $\mathbf{p} \in H$ of order 2, $\mathbf{p} = (\varepsilon_{21}, \dots, \varepsilon_{An})$, say, with $\varepsilon_{ij} \in \{\pm 1\}$ and not all equal to 1.

Then $\mathbf{p} * \mathbf{x} \in X$, i.e.,

$$\text{rank} \begin{pmatrix} 1 & \cdots & 1 & 1 \\ \varepsilon_{21}x_{21} & \cdots & \varepsilon_{2n}x_{2n} & 1 \\ \vdots & & \vdots & \vdots \\ \varepsilon_{A1}x_{A1} & \cdots & \varepsilon_{An}x_{An} & 1 \end{pmatrix} \leq n.$$

There are b_0, b_1, \dots, b_n , not all 0, such that

$$b_1 + \dots + b_n = b_0$$

$$b_1 \varepsilon_{i1} x_{i1} + \dots + b_n \varepsilon_{in} x_{in} = b_0 \quad (i = 1, \dots, A).$$

Recall that $(1, \dots, 1), (x_{i1}, \dots, x_{in})$ ($i = 2, \dots, A$) contain all non-degenerate solutions of

$$(2) \quad a_1 x_1 + \dots + a_n x_n = 1 \text{ in } x_1, \dots, x_n \in \Gamma.$$

Hence each non-degenerate solution of (2) satisfies one of the 2^n equations

$$\pm b_1 x_1 \pm \dots \pm b_n x_n = b_0.$$

Thus, if $\mathbf{a} \in \text{CLASS II}$, then the non-degenerate solutions of (2) lie in at most 2^n proper linear subspaces of K^n .

QED

Analogues for abelian varieties.

Let A be an abelian variety and X a subvariety of A , both defined over an algebraically closed field K of characteristic 0.

Denote by $+$ the group operation and by 0 the zero element of A .

A point $x \in X$ is called degenerate if there is a positive dimensional abelian subvariety B of A such that $x + B \subset X$, and non-degenerate otherwise.

Denote by X^{ND} the set of non-degenerate points of X .

A subvariety X of A is called normalized if $0 \in X^{ND}$

Every subvariety can be made normalized after a translation.

Abelian varieties vs. linear equations

$$A(K) \quad \longleftrightarrow \quad (K^*)^n$$

$$X \quad \longleftrightarrow \quad \{a_1x_1 + \cdots + a_nx_n = 1\}$$

$$X^{ND} \quad \longleftrightarrow \quad \sum_{i \in I} a_i x_i \neq 0 \text{ for all } I$$

$$0 \quad \longleftrightarrow \quad (1, \dots, 1)$$

$$\begin{array}{l} X \text{ normalized} \\ (0 \in X^{ND}) \end{array} \quad \longleftrightarrow \quad \begin{array}{l} (a_1, \dots, a_n) \text{ normalized} \\ ((1, \dots, 1) \text{ non-deg. sol.}) \end{array}$$

A qualitative finiteness result.

Let A be an abelian variety and X a subvariety of A , both defined over an algebraically closed field K of characteristic 0.

Let Γ be a subgroup of $A(K)$ of finite rank.

Theorem 6. (Conjectured by Lang; proved by Faltings, Hindry, McQuillan, 1990's)

$X^{ND}(K) \cap \Gamma$ is finite.

Proof: Diophantine approximation (Faltings); Kummer theory and specialization (Hindry, McQuillan).

Rémond's result.

Let A be an abelian variety defined over an algebraically closed field K of characteristic 0.

We may view A as a projective subvariety of \mathbb{P}^N for some N .

Denote by $\mathcal{V}(n, D)$ the collection of subvarieties X of A with the following properties:

- (i) X (viewed as a subvariety of \mathbb{P}^N) is defined by polynomials of degree $\leq D$ with coefficients in K ;
- (ii) $\dim X = n$;
- (iii) X is normalized, i.e., $0 \in X^{ND}$.

Theorem 7. (Rémond, 2004)

For every subgroup Γ of $A(K)$ of finite rank, there is a finite subcollection \mathcal{E}_Γ of $\mathcal{V}(n, D)$ such that for every $X \in \mathcal{V}(n, D) \setminus \mathcal{E}_\Gamma$, the set $X^{ND}(K) \cap \Gamma$ is contained in the union of at most

$$(2D^{n+1})^{2(\dim A)^2}$$

$(n - 1)$ -dimensional subvarieties of X , each given by polynomials of degree at most D .

Proof. Theorem 6 + arguments similar to those going into the proof of the result for linear equations.

Application to curves

Let A be an abelian variety defined over $\overline{\mathbb{Q}}$, viewed as a subvariety of \mathbb{P}^N . For every number field L , the group $A(L)$ is finitely generated (Mordell-Weil theorem).

Let $\mathcal{C}(D)$ be the collection of curves $C \subset A$ of genus ≥ 2 defined over $\overline{\mathbb{Q}}$ such that $0 \in C$ and such that C is defined by polynomials of degree $\leq D$.

By applying Theorem 8 with $n = 1$, $\Gamma = A(L)$ we obtain:

Corollary. *For every number field L there is a finite subcollection \mathcal{E}_L of $\mathcal{C}(D)$ such that for every curve $C \in \mathcal{C}(D) \setminus \mathcal{E}_L$, the number of L -rational points of C is at most*

$$(2D^2)^{3(\dim A)^2}.$$

Final remark.

Rémond proved a more general result for subvarieties of semi-abelian varieties which contains as special cases both his result for abelian varieties, and my result for linear equations.