

PAIRS OF BINARY FORMS  
WITH GIVEN RESULTANT

Attila Bérczes (Debrecen)

Kálmán Györy (Debrecen)

Jan Hendrik Evertse (Leiden)

CNTA 9 VANCOUVER  
July 13, 2006

Preprint:

[http://www.math.leidenuniv.nl/  
~evertse/publications.shtml](http://www.math.leidenuniv.nl/~evertse/publications.shtml)

# RESULTANTS

The resultant of

$$F(X, Y) = \prod_{i=1}^m (\alpha_i X - \beta_i Y), \quad G(X, Y) = \prod_{j=1}^n (\gamma_j X - \delta_j Y)$$

is given by

$$R(F, G) = \prod_{i=1}^m \prod_{j=1}^n (\alpha_i \delta_j - \beta_i \gamma_j)$$

For  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  define  $F_A(X, Y) = F(aX + bY, cX + dY)$

Two pairs of binary forms  $(F_1, G_1), (F_2, G_2)$  in  $\mathbb{Z}[X, Y]$  are called equivalent if  $\exists U \in \text{SL}_2(\mathbb{Z})$  with  $F_2 = (F_1)_U, G_2 = (G_1)_U$

Facts: i)  $F, G \in \mathbb{Z}[X, Y] \Rightarrow R(F, G) \in \mathbb{Z}$

ii)  $R(\lambda F_A, \mu G_A) = \lambda^n \mu^m (\det A)^{mn} R(F, G)$

iii)  $(F_1, G_1), (F_2, G_2)$  equivalent  $\Rightarrow$   
 $R(F_1, G_1) = R(F_2, G_2)$ .

# RESULTANT EQUATIONS

We consider the equation

$$R(F,G) = C \quad \text{in binary form } F, G \in \mathbb{Z}[X, Y] \\ (C \in \mathbb{Z}, C \neq 0)$$

The solutions  $(F, G)$  can be divided into equivalence classes.

Under certain constraints imposed on  $F, G$  the number of equivalence classes is finite

We want to estimate from above the number of equivalence classes

Def. A binary form  $F \in \mathbb{Z}[X, Y]$  is said to be associated to a sequence of number fields  $K_1, \dots, K_r$  if we can factor  $F$  as

$$F = \prod_{i=1}^r F_i,$$

where  $F_i \in \mathbb{Z}[X, Y]$  is irreducible and  $\exists \theta_i$  with  $F_i(\theta_i, 1) = 0$ ,  $K_i = \mathbb{Q}(\theta_i)$  for  $i=1, \dots, r$ .

Fact.  $\deg F = \sum_{i=1}^r [K_i : \mathbb{Q}].$

## THEOREM A (GYÖRNY, E., 1993)

Let  $m \geq 3$ ,  $n \geq 3$ ,  $c \in \mathbb{Z}$ ,  $c \neq 0$  and let  $K_1, \dots, K_r$ ,  $L_1, \dots, L_s$  be number fields with

$$\sum_{i=1}^r [K_i : \mathbb{Q}] = m, \quad \sum_{j=1}^s [L_j : \mathbb{Q}] = n.$$

Then there are only finitely many equivalence classes of pairs of binary forms  $F, G \in \mathbb{Z}[X, Y]$  such that

$$(1) \quad R(F, G) = c$$

$$(2) \begin{cases} F, G \text{ have no multiple factors} \\ F \text{ associated to } K_1, \dots, K_r \\ G \text{ associated to } L_1, \dots, L_s \end{cases}$$

Remarks • (2) implies  $\deg F = m$ ,  $\deg G = n$

- There may be infinitely many equivalence classes if  $m \leq 2$ ,  $n \leq 2$  or if  $F, G$  do not have their roots in prescribed number fields

## EXAMPLES :

1)  $m \leq 2$  or  $n \leq 2$

$$F(X, Y) = X^2 - dY^2 \quad (d \in \mathbb{Z}_{>0}, d \neq \square)$$

$$G(X, Y) = \prod_{i=1}^n (y_i X - x_i Y)$$

with  $x_i^2 - dy_i^2 = 1$  for  $i=1, \dots, n$

$$\Rightarrow R(F, G) = 1$$

2)  $F, G$  not associated to given number fields

Let  $m \leq n$ . Pick any two binary forms

$F_0, G_0 \in \mathbb{Z}[X, Y]$  of degrees  $m, n$  respectively

Then for any binary form  $H \in \mathbb{Z}[X, Y]$  of

degree  $n-m$ ,  $R(F_0, G_0 + HF_0) = R(F_0, G_0)$

# CONES

It is too difficult to give an explicit upper bound for the number of equivalence classes in the Theorem. of  $\mathbb{Z}$ . Instead, we estimate the number of cones.

Def.  $NS_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, ad - bc \neq 0 \right\}$

A cone is a set of pairs of binary forms of the shape

$$\mathcal{C}(F_0, G_0) = \left\{ (F, G) : \begin{array}{l} F, G \in \mathbb{Z}[X, Y], \\ \exists A \in NS_2(\mathbb{Z}) \text{ such that} \\ F = (F_0)_A, G = (G_0)_{(\det A)^{-1}A} \end{array} \right\}$$

with given  $F_0, G_0 \in \mathbb{Z}[X, Y]$

Facts. 1)  $(F, G) \in \mathcal{C}(F_0, G_0) \Rightarrow R(F, G) = R(F_0, G_0)$

2) A cone is the union of finitely many equivalence classes.

THEOREM (BÉRCZES, GYÖR, E., 2006)

Let  $m, n, c, k_1, \dots, k_r, L_1, \dots, L_s$  be as in Theorem A.

Then the collection of pairs of binary forms  $F, G \in \mathbb{Z}[X, Y]$  with (1), (2) is contained in the union of at most

$$e^{10^{24} mn(m+n)} \cdot \psi(c)$$

cones.

Def. For  $c = \pm p_1^{k_1} \dots p_t^{k_t}$  ( $p_i$  distinct primes) we put

$$\psi(c) := 2^t \prod_{i=1}^t \binom{mn + k_i + 2}{m+n+2}$$



THE MAIN TOOL:

(Schlickewei, Schmidt, E., 2002)

Let  $a_1, \dots, a_n \in \bar{\mathbb{Q}}^*$  ( $\bar{\mathbb{Q}}$  = alg. closure of  $\mathbb{Q}$ ).

Let  $\Gamma$  be a finitely generated subgroup of  $\bar{\mathbb{Q}}^*$  of rank  $r$ .

Then the equation

$$a_1 x_1 + \dots + a_n x_n = 1 \quad \text{in } x_1, \dots, x_n \in \Gamma$$

has at most

$$e^{(6n)^{4n} (r+1)}$$

solutions with

$$\sum_{i \in I} a_i x_i \neq 0 \quad \text{for each non-empty } I \subseteq \{1, \dots, n\}$$

# IDEA OF THE PROOF:

$$\text{Let } F(X, Y) = \prod_{i=1}^m (\alpha_i X - \beta_i Y), \quad G(X, Y) = \prod_{j=1}^n (\gamma_j X - \delta_j Y)$$

be two binary forms satisfying the conditions of Theorem 1.

$$\text{Then } R(F, G) = \prod_{i=1}^m \prod_{j=1}^n \Delta_{ij} = 0,$$

$$\text{with } \Delta_{ij} = \alpha_i \delta_j - \beta_i \gamma_j.$$

For any  $i, j, k \in \{1, \dots, m\}$ ,  $f, g, h \in \{1, \dots, n\}$ ,

$$\begin{vmatrix} \Delta_{if} & \Delta_{ig} & \Delta_{ih} \\ \Delta_{jf} & \Delta_{jg} & \Delta_{jh} \\ \Delta_{kf} & \Delta_{kg} & \Delta_{kh} \end{vmatrix} = 0,$$

hence

$$u_1 + u_2 + u_3 + u_4 + u_5 = 1,$$

with

$$u_1 = \frac{\Delta_{if} \Delta_{jg} \Delta_{kh}}{\Delta_{kf} \Delta_{jg} \Delta_{ih}} \text{ etc.}$$

$u_1, \dots, u_5$  belong to a finitely generated group independent of  $F, G$ .

Applying the upper bound of SSE to  $u_1 + u_2 + u_3 + u_4 + u_5 = 1$  gives an explicit bound  $N$  such that the set of pairs  $(F, G)$  satisfying the conditions of Theorem 1 lies in the union of at most  $N$   $\bar{\mathbb{Q}}$ -cones

$$C_{\bar{\mathbb{Q}}}(F, G) = \left\{ (F, G) : F, G \in \mathbb{Z}[X, Y], \right. \\ \left. \exists A \in GL_2(\bar{\mathbb{Q}}) \text{ with} \right. \\ \left. F = (F|_A, G = (G|_{\det A}) \cdot A \right\}$$

with  $F, G$  given binary forms in  $\bar{\mathbb{Q}}[X, Y]$

An elementary argument gives the number of cones going into a  $\bar{\mathbb{Q}}$ -cone.

# EQUIVALENCE CLASSES INSTEAD OF CONES

## THEOREM 2 (BGE, 2006)

Let  $m, n, C, K_1, \dots, K_r, L_1, \dots, L_s$  be as in Theorem A.

Then the number of equivalence classes of pairs of binary forms  $F, G \in \mathbb{Z}[X, Y]$  with (1), (2) is at most

$$O(|C|^{\frac{1}{mn} + \epsilon}) \text{ as } |C| \rightarrow \infty$$

for every  $\epsilon > 0$ .

The implied constant depends on  $\epsilon, m, n, K_1, \dots, K_r$ , and is ineffective.  
 $L_1, \dots, L_s$

(12)

Remark. The exponent on  $|c|$  is up to  $\varepsilon$  best possible.

Pick binary forms  $F, G \in \mathbb{Z}[X, Y]$  of degrees  $m \geq 3, n \geq 3$  with  $R(F, G) \neq 0$

Let  $p$  be a prime. For  $b=0, 1, \dots, p-1$  define

$$F_b(X, Y) := F(X+bY, pY), \quad G_b(X, Y) := G(X+bY, pY)$$

Then  $R(F_b, G_b) = p^{mn} R(F, G) =: c,$

and the pairs  $(F_b, G_b)$  ( $b=0, 1, \dots, p-1$ )

lie in  $p \gg |c|^{1/mn}$  distinct equivalence classes

# THEOREM 1 $\Rightarrow$ THEOREM 2

Let  $\mathcal{E}(F_0, G_0)$  be one of the cones from Theorem 1.

The number of equivalence classes in  $\mathcal{E}(F_0, G_0)$  depends on the discriminant  $D(G_0)$  of  $G_0$ .

## THEOREM (Coxeter, E., 1993)

If  $\deg F_0 = m$ ,  $\deg G_0 = n$ ,  $F_0, G_0$  have no multiple factors,  $F_0$  is associated to  $K_r \cup K_r$  and  $G_0$  to  $L_r \cup L_r$ , then

$$|D(G_0)| \ll \frac{m \cdot \ell^2}{m \cdot n} |R(F_0, G_0)|^{2 \cdot \frac{m \cdot n}{m}}$$

$K_r \cup K_r$   
 $L_r \cup L_r$

Proof: Subspace Theorem.

# APPLICATION TO THUE EQUATIONS

Let  $F(x,y) \in \mathbb{Z}[x,y]$  be a binary form of degree  $\geq 3$  and  $c \in \mathbb{Z}, c \neq 0$ . Consider

$$(T) \quad F(x,y) = c \quad \text{in } x,y \in \mathbb{Z}$$

(Solutions  $(x,y), (-x,-y)$  are considered equal.)

## THEOREM B (Györy, E., 1989)

Let  $m \geq 3, c \in \mathbb{Z}, c \neq 0$  and let  $K_1, \dots, K_r$  be number fields with  $\sum_{i=1}^r [K_i : \mathbb{Q}] = m$ .

Then there are only finitely many  $SL_2(\mathbb{Z})$ -equivalence classes of binary forms  $F \in \mathbb{Z}[x,y]$  such that

- (1) (T) has at least 3 solutions
- (2)  $\begin{cases} F \text{ has no multiple factors} \\ F \text{ is associated to } K_1, \dots, K_r \end{cases}$

### THEOREM 3 (BGE, 2006)

Let  $m, C, K_1, \dots, K_r$  be as in Theorem B

Then the number of  $\Omega_2(\mathbb{Z})$ -equivalence classes of binary forms  $F \in \mathbb{Z}[X, Y]$  with (1), (2) is at most

$$O(C^{1/m + \epsilon}) \text{ as } C \rightarrow \infty$$

for every  $\epsilon > 0$ .

The implied constant depends on  $\epsilon, m, K_1, \dots, K_r$  and is irreducible.

Theorem 2  $\Rightarrow$  Theorem 3

Suppose (T) has 3 solutions  $(x_1, y_1), (x_2, y_2), (x_3, y_3)$ .

Put  $G(X, Y) := \prod_{i=1}^3 (y_i X - x_i Y)$ .

Then  $R(F, G) = \prod_{i=1}^3 R(x_i, y_i) = C^3$ .



### FINAL REMARK:

All results have been proved in a more general form for equations

$$R(F, G) = C \cdot U$$

in binary forms  $FG \in \mathbb{Z}_S[X, Y]$ ,  $u \in \mathbb{Z}_S^*$

where

$S = \{p_1, \dots, p_r\}$  finite set of primes,

$\mathbb{Z}_S = \mathbb{Z}[\frac{1}{p_1 \dots p_r}]$  ring of  $S$ -integers,

$$\mathbb{Z}_S^* = \{ \pm p_1^{w_1} \dots p_r^{w_r} : w_i \in \mathbb{Z} \}$$

group of  $S$ -units