## Hermite equivalence of polynomials

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Slides have been posted on
https://pub.math.leidenuniv.nl/~evertsejh/lectures.shtml

## Aim of the lecture

In the 1850-s, Hermite introduced an equivalence relation for univariate polynomials with integer coefficients, henceforth called 'Hermite equivalence', which was largely unnoticed.

We compare this with more established equivalence relations, i.e., $\mathbb{Z}$-equivalence for monic polynomials and $G L_{2}(\mathbb{Z})$-equivalence for not necessarily monic polynomials.

It will turn out that $\mathbb{Z}$-equivalence and $G L_{2}(\mathbb{Z})$-equivalence imply Hermite equivalence.

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It will turn out that $\mathbb{Z}$-equivalence and $G L_{2}(\mathbb{Z})$-equivalence imply Hermite equivalence.

We are interested in the following problems:

- to show that Hermite equivalence is weaker than $\mathbb{Z}$-equivalence and $G L_{2}(\mathbb{Z})$-equivalence, i.e., to give examples of Hermite equivalent polynomials that are not $\mathbb{Z}$-equivalent or $G L_{2}(\mathbb{Z})$-equivalent;
- say something about the number of $\mathbb{Z}$-equivalence classes or $G L_{2}(\mathbb{Z})$-equivalence classes going into a Hermite equivalence class.


## $G L_{n}(\mathbb{Z})$-equivalence of decomposable forms

Consider decomposable forms of degree $n \geq 2$ in $n$ variables

$$
F(\underline{X})=c \prod_{i=1}^{n}\left(\alpha_{i, 1} X_{1}+\cdots+\alpha_{i, n} X_{n}\right) \in \mathbb{Z}\left[X_{1}, \ldots, X_{n}\right]
$$

where $c \in \mathbb{Q}^{*}$ and $\alpha_{i, j} \in \overline{\mathbb{Q}}$ for $i, j=1, \ldots, n$.
The discriminant of $F$ is given by $D(F):=c^{2}\left(\operatorname{det}\left(\alpha_{i, j}\right)_{1 \leq i, j \leq n}\right)^{2}$. We have $D(F) \in \mathbb{Z}$.

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We have $D(F) \in \mathbb{Z}$.
Two decomposable forms $F, G$ as above are called $G L_{n}(\mathbb{Z})$-equivalent if

$$
G(\underline{X})= \pm F(U \underline{X}) \quad \text { for some } U \in G L_{n}(\mathbb{Z})
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(here $\underline{X}=\left(X_{1}, \ldots, X_{n}\right)^{T}$ is a column vector).
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## Theorem (Hermite, 1850)

Let $n \geq 2, D \neq 0$. Then the decomposable forms in $\mathbb{Z}\left[X_{1}, \ldots, X_{n}\right]$ of degree $n$ and discriminant $D$ lie in finitely many $G L_{n}(\mathbb{Z})$-equivalence classes.

## Hermite equivalence of univariate polynomials

Let $f=c\left(X-\alpha_{1}\right) \cdots\left(X-\alpha_{n}\right) \in \mathbb{Z}[X] \quad$ (with $c \in \mathbb{Z}_{\neq 0}, \alpha_{1}, \ldots, \alpha_{n} \in \overline{\mathbb{Q}}$ ).
Define the discriminant of $f$ by $D(f):=c^{2 n-2} \prod_{1 \leq i<j \leq n}\left(\alpha_{i}-\alpha_{j}\right)^{2}$.

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To $f$ we associate the decomposable form

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[f](\underline{X}):=c^{n-1} \prod_{i=1}^{n}\left(X_{1}+\alpha_{i} X_{2}+\cdots+\alpha_{i}^{n-1} X_{n}\right) \in \mathbb{Z}\left[X_{1}, \ldots, X_{n}\right]
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Fact. $D(f)=D([f])$ (Vandermonde).

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Fact. $D(f)=D([f])$ (Vandermonde).
Hermite introduced in 1857 the following equivalence relation:
Two polynomials $f, g \in \mathbb{Z}[X]$ of degree $n$ are called Hermite equivalent if the associated decomposable forms $[f]$ and $[g]$ are $G L_{n}(\mathbb{Z})$-equivalent, i.e., $[g](\underline{X})= \pm[f](U \underline{X})$ for some $U \in G L_{n}(\mathbb{Z})$.

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Hermite's theorem on decomposable forms and the above fact imply:

## Theorem (Hermite, 1857)

Let $n \geq 2, D \neq 0$. Then the polynomials $f \in \mathbb{Z}[X]$ of degree $n$ and of discriminant $D$ lie in finitely many Hermite equivalence classes.

## $\mathbb{Z}$-equivalence and $G L_{2}(\mathbb{Z})$-equivalence

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Two monic polynomials $f, g \in \mathbb{Z}[X]$ of degree $n$ are called $\mathbb{Z}$-equivalent if $g(X)=f(X+a)$ or $g(X)=(-1)^{n} f(-X+a)$ for some $a \in \mathbb{Z}$.
Two not necessarily monic polynomials $f, g \in \mathbb{Z}[X]$ of degree $n$ are called $G L_{2}(\mathbb{Z})$-equivalent if there is $\left(\begin{array}{ll}a & b \\ d & e\end{array}\right) \in G L_{2}(\mathbb{Z})$ such that $g(X)= \pm(d X+e)^{n} f\left(\frac{a X+b}{d X+e}\right)$.
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Let $f, g \in \mathbb{Z}[X]$ be two $\mathbb{Z}$-equivalent, resp. $G L_{2}(\mathbb{Z})$-equivalent polynomials. Then they are Hermite equivalent.

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We will give examples showing that the converse is in general not true.

## Proof of Lemma

We prove that any two $G L_{2}(\mathbb{Z})$-equivalent polynomials $f, g$ in $\mathbb{Z}[X]$ are Hermite equivalent, which suffices.

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Then $g(X)= \pm c \prod_{i=1}^{n}\left(\beta_{i} X-\gamma_{i}\right), \quad \beta_{i}=d-a \alpha_{i}, \gamma_{i}=-e+b \alpha_{i}$.

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Define the inner product of two column vectors $\underline{\mathrm{x}}=\left(x_{1}, \ldots, x_{n}\right)^{T}, \underline{\mathrm{y}}=\left(y_{1}, \ldots, y_{n}\right)^{T}$ by $\langle\underline{\mathrm{x}}, \underline{\mathrm{y}}\rangle:=x_{1} y_{1}+\cdots+x_{n} y_{n}$. Let as before $\underline{X}=\left(X_{1}, \ldots, X_{n}\right)^{T}$. Thus,

$$
\begin{aligned}
& {[f](\underline{\mathrm{X}})=c^{n-1} \prod_{i=1}^{n}\left\langle\underline{\mathrm{a}}_{i}, \underline{\mathrm{X}}\right\rangle, \text { where } \underline{\mathrm{a}}_{i}=\left(1, \alpha_{i}, \ldots,, \alpha_{i}^{n-1}\right)^{T}} \\
& {[g](\underline{\mathrm{X}})= \pm c^{n-1} \prod_{i=1}^{n}\left\langle\underline{\mathrm{~b}}_{i}, \underline{\mathrm{X}}\right\rangle, \text { where } \underline{\mathrm{b}}_{i}=\left(\beta_{i}^{n-1}, \beta_{i}^{n-2} \gamma_{i}, \ldots, \gamma_{i}^{n-1}\right)^{T}}
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\end{aligned}
$$

Then $\underline{\mathrm{b}}_{i}=t(A) \underline{\mathrm{a}}_{i}$ with $t(A) \in G L_{n}(\mathbb{Z})$ for $i=1, \ldots, n$. So

$$
[g](\underline{\mathrm{X}})= \pm c^{n-1} \prod_{i=1}^{n}\left\langle t(A) \underline{\mathrm{a}}_{i}, \underline{\mathrm{X}}\right\rangle= \pm c^{n-1} \prod_{i=1}^{n}\left\langle\underline{\mathrm{a}}_{i}, t(A)^{T} \underline{\mathrm{X}}\right\rangle= \pm[f]\left(t(A)^{T} \underline{\mathrm{X}}\right)
$$

## A finiteness result for $\mathbb{Z}$-equivalence

Recall that two monic polynomials $f, g \in \mathbb{Z}[X]$ are $\mathbb{Z}$-equivalent if $g(X)=f(X+a)$ or $(-1)^{\operatorname{deg} f} f(-X+a)$ for some $a \in \mathbb{Z}$.

Theorem (Györy, 1973,1974)
Let $D \neq 0$. Then there are only finitely many $\mathbb{Z}$-equivalence classes of monic polynomials $f \in \mathbb{Z}[X]$ of discriminant $D$, and a full system of representatives of those can be determined effectively.

## Finiteness results for $G L_{2}(\mathbb{Z})$-equivalence

Recall that two polynomials $f, g \in \mathbb{Z}[X]$ are $G L_{2}(\mathbb{Z})$-equivalent if $g(X)= \pm(d X+e)^{\operatorname{deg} f} f\left(\begin{array}{ll}\left.\frac{a X+b}{d X+e}\right)\end{array}\right)$ for some $\left(\begin{array}{cc}a & b \\ d & e\end{array}\right) \in G L_{2}(\mathbb{Z})$.

Theorem (Birch and Merriman, 1972)
Let $D \neq 0$. Then there are only finitely many $G L_{2}(\mathbb{Z})$-equivalence classes of polynomials $f \in \mathbb{Z}[X]$ of discriminant $D$.

The proof of Birch and Merriman is ineffective.
In 1991, Ev. and Györy gave an effective proof of the theorem of Birch and Merriman, implying that a full system of representatives for the $G L_{2}(\mathbb{Z})$-equivalence classes can be determined effectively.

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The theorems of Györy on $\mathbb{Z}$-equivalence and of Birch and Merriman and Ev. and Győry on $G L_{2}(\mathbb{Z})$-equivalence use finiteness results for unit equations and Baker's theory on logarithmic forms, and thus are much deeper than that of Hermite on his equivalence.

## An algebraic criterion for Hermite equivalence

For an algebraic number $\alpha$ of degree $n$ define the free $\mathbb{Z}$-module generated by $1, \alpha, \ldots, \alpha^{n-1}$,

$$
\mathcal{M}_{\alpha}:=\left\{x_{1}+x_{2} \alpha+\cdots+x_{n} \alpha^{n-1}: x_{1}, \ldots, x_{n} \in \mathbb{Z}\right\}
$$

Call a polynomial with integer coefficients primitive if its coefficients have gcd 1.

Henceforth, all polynomials will be primitive.

## Lemma

Let $f, g \in \mathbb{Z}[X]$ be primitive, irreducible polynomials of degree $\geq 2$. Then $f, g$ are Hermite equivalent if and only if there are $\lambda \neq 0$, a root $\alpha$ of $f$ and a root $\beta$ of $g$ such that $\mathcal{M}_{\beta}=\lambda \mathcal{M}_{\alpha}=\left\{\lambda \xi: \xi \in \mathcal{M}_{\alpha}\right\}$.

## Proof of Lemma

Let $f=c \prod_{i=1}^{n}\left(X-\alpha_{i}\right), g=c^{\prime} \prod_{i=1}^{n}\left(X-\beta_{i}\right) \in \mathbb{Z}[X]$ be irreducible, primitive. Then

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[f](\underline{\mathrm{X}})=c^{n-1} \prod_{i=1}^{n}\left\langle\underline{\mathrm{a}}_{i}, \underline{\mathrm{X}}\right\rangle, \quad[g](\underline{\mathrm{X}})=c^{\prime n-1} \prod_{i=1}^{n}\left\langle\underline{\mathrm{~b}}_{i}, \underline{\mathrm{X}}\right\rangle,
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with $\underline{\mathrm{a}}_{i}=\left(1, \alpha_{i}, \ldots, \alpha_{i}^{n-1}\right)^{T}, \underline{\mathrm{~b}}_{i}=\left(1, \beta_{i}, \ldots, \beta_{i}^{n-1}\right)^{T}$.

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So $f, g$ are Hermite equivalent

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\begin{aligned}
\Longleftrightarrow & \exists U \in G L_{n}(\mathbb{Z}) \text { with }[g](\underline{X})= \pm[f](U \underline{\mathrm{X}}) \\
\Longleftrightarrow & \exists U \in G L_{n}(\mathbb{Z}), \lambda_{i} \neq 0 \text { with }\left\langle\underline{\mathrm{b}}_{i}, \underline{\mathrm{X}}\right\rangle=\lambda_{i}\left\langle\underline{\mathrm{a}}_{i}, U \underline{\mathrm{X}}\right\rangle \text { for } i=1, \ldots, n \\
& \text { (after reindexing, for } \Leftarrow \text { use that }[g](\underline{\mathrm{X}}),[f](U \underline{X}) \text { are primitive })
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So $f, g$ are Hermite equivalent
$\Longleftrightarrow \exists U \in G L_{n}(\mathbb{Z})$ with $[g](\underline{X})= \pm[f](U \underline{X})$
$\Longleftrightarrow \exists U \in G L_{n}(\mathbb{Z}), \lambda_{i} \neq 0$ with $\left\langle\underline{\mathrm{b}}_{i}, \underline{\mathrm{X}}\right\rangle=\lambda_{i}\left\langle\underline{a}_{i}, U \underline{X}\right\rangle$ for $i=1, \ldots, n$ (after reindexing, for $\Leftarrow$ use that $[g](\underline{X}),[f](U \underline{X})$ are primitive)
$\Longleftrightarrow \exists U \in G L_{n}(\mathbb{Z}), \lambda_{i} \neq 0$ with $\underline{\mathrm{b}}_{i}=\lambda_{i} U^{T} \underline{\mathrm{a}}_{i}$ for $i=1, \ldots, n$
$\Longleftrightarrow \quad \exists \lambda_{i} \neq 0$ with $\mathcal{M}_{\beta_{i}}=\lambda_{i} \mathcal{M}_{\alpha_{i}}$ for $i=1, \ldots, n$
$\Longleftrightarrow \exists \lambda \neq 0$, root $\alpha$ of $f$, root $\beta$ of $g$ with $\mathcal{M}_{\beta}=\lambda \mathcal{M}_{\alpha}$ (for $\Leftarrow$ take for $\alpha_{i}, \beta_{i}, \lambda_{i}$ the conjugates of $\alpha, \beta, \lambda$ ).

## Connection with invariant orders

Let $\mathcal{M}_{\alpha}:=\left\{x_{1}+x_{2} \alpha+\cdots+x_{n} \alpha^{n-1}: x_{1}, \ldots, x_{n} \in \mathbb{Z}\right\}$ for $\alpha$ of degree $n$, $\mathbb{Z}_{\alpha}:=\left\{\xi \in \mathbb{Q}(\alpha): \xi \mathcal{M}_{\alpha} \subseteq \mathcal{M}_{\alpha}\right\}$, the ring of scalars of $\mathcal{M}_{\alpha}$. It can be shown that $\mathbb{Z}_{\alpha}=\mathbb{Z}[\alpha] \cap \mathbb{Z}\left[\alpha^{-1}\right]$. It is an order in $\mathbb{Q}(\alpha)$. Let $f \in \mathbb{Z}[X]$ be a primitive, irreducible polynomial and $\alpha$ a root of $f$. Then $\mathbb{Z}_{\alpha}$ is called the invariant order of $f$; it is up to isomorphism uniquely determined.

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Let $f \in \mathbb{Z}[X]$ be a primitive, irreducible polynomial and $\alpha$ a root of $f$. Then $\mathbb{Z}_{\alpha}$ is called the invariant order of $f$; it is up to isomorphism uniquely determined.
We saw that if $f, g$ are Hermite equivalent primitive, irreducible polynomials then there are $\lambda \neq 0$, a root $\alpha$ of $f$ and a root $\beta$ of $g$ such that $\mathcal{M}_{\beta}=\lambda \mathcal{M}_{\alpha}$. This implies $\mathbb{Z}_{\alpha}=\mathbb{Z}_{\beta}$.

## Corollary 1

If $f, g$ are Hermite equivalent, irreducible, primitive polynomials in $\mathbb{Z}[X]$, then $f$ has a root $\alpha$ and $g$ a root $\beta$ such that $\mathbb{Z}_{\alpha}=\mathbb{Z}_{\beta}$, i.e., $f$ and $g$ have isomorphic invariant orders.

## The monic case

Let $f \in \mathbb{Z}[X]$ be irreducible and monic and $\alpha$ a root of $f$. Let $\operatorname{deg} f=n$. Recall that

$$
\mathcal{M}_{\alpha}=\left\{\sum_{i=1}^{n} x_{i} \alpha^{i-1}: x_{i} \in \mathbb{Z}\right\}, \mathbb{Z}_{\alpha}=\left\{\xi \in \mathbb{Q}(\alpha): \xi \mathcal{M}_{\alpha} \subseteq \mathcal{M}_{\alpha}\right\}
$$

Since $f$ is monic, $\alpha^{n}, \alpha^{n+1}, \ldots \in \mathcal{M}_{\alpha}$. Hence $\mathcal{M}_{\alpha}=\mathbb{Z}_{\alpha}=\mathbb{Z}[\alpha]$.

## Corollary 2

Let $f, g \in \mathbb{Z}[X]$ be irreducible and monic. Then $f, g$ are Hermite equivalent if and only if $f$ has a root $\alpha$ and $g$ a root $\beta$ such that $\mathbb{Z}[\alpha]=\mathbb{Z}[\beta]$, i.e., if and only if $f$ and $g$ have isomorphic invariant orders.

## The non-monic case

If $f, g \in \mathbb{Z}[X]$ are irreducible and monic, then
$f, g$ are Hermite equivalent $\Longleftrightarrow f, g$ have isomorphic invariant orders.
If $f, g \in \mathbb{Z}[X]$ are irreducible, primitive and not both monic, then $f, g$ are Hermite equivalent $\Longrightarrow f, g$ have isomorphic invariant orders.

What about $\Longleftarrow$ ?

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- Any two irreducible, primitive polynomials of degree 3 with isomorphic invariant orders are $G L_{2}(\mathbb{Z})$-equivalent, hence Hermite equivalent (Delone and Faddeev, 1940).


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What about $\Longleftarrow$ ?

- Any two irreducible, primitive polynomials of degree 3 with isomorphic invariant orders are $G L_{2}(\mathbb{Z})$-equivalent, hence Hermite equivalent (Delone and Faddeev, 1940).
- Bhargava and Swaminathan ( $4 / 1 / 2022$ ) gave a method to produce irreducible, primitive polynomials of degree 4 that have isomorphic invariant orders but are not Hermite equivalent.


## Example

$f=4 X^{4}-X^{3}-62 X^{2}+13 X+255, g=5 X^{4}-X^{3}-2 X^{2}-7 X-6$ have isomorphic invariant orders but are not Hermite equivalent.

## The non-monic case

In fact, Bhargava and Swaminathan used a more precise criterion to obtain their example.

Let $f \in \mathbb{Z}[X]$ be irreducible and primitive and $\alpha$ a root of $f$. Let $\operatorname{deg} f=n$. Recall that

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\mathcal{M}_{\alpha}=\left\{\sum_{i=1}^{n} x_{i} \alpha^{i-1}: x_{i} \in \mathbb{Z}\right\}, \mathbb{Z}_{\alpha}=\left\{\xi \in \mathbb{Q}(\alpha): \xi \mathcal{M}_{\alpha} \subseteq \mathcal{M}_{\alpha}\right\} .
$$

Define $I_{\alpha}:=\mathbb{Z}_{\alpha}+\alpha \mathbb{Z}_{\alpha}$ to be the fractional ideal of $\mathbb{Z}_{\alpha}$ generated by 1 and $\alpha$.

Theorem (Bhargava, Swaminathan, 4/1/2022)
Let $f, g \in \mathbb{Z}[X]$ be irreducible and primitive. Then $f, g$ are Hermite equivalent if and only if $f$ has a root $\alpha$ and $g$ a root $\beta$ such that $\mathbb{Z}_{\alpha}=\mathbb{Z}_{\beta}$ and the fractional ideals $I_{\alpha}$ and $I_{\beta}$ belong to the same ideal class.

## Quantitative results

Recall that if $f \in \mathbb{Z}[X]$ is an irreducible, primitive polynomial, then the invariant order of $f$ is $\mathbb{Z}_{\alpha}=\mathbb{Z}[\alpha] \cap \mathbb{Z}\left[\alpha^{-1}\right]$, where $\alpha$ is any root of $f$.
In the case that $f$ is monic, this invariant order is $\mathbb{Z}[\alpha]$.
$f, g \in \mathbb{Z}[X]$ are $\mathbb{Z}$-equivalent if $g(X)=f(X+a)$ or $(-1)^{\operatorname{deg} f} f(-X+a)$ for some $a \in \mathbb{Z}$.
$f, g \in \mathbb{Z}[X]$ are $G L_{2}(\mathbb{Z})$-equivalent if $g(X)= \pm(d X+e)^{\operatorname{deg} f} f\left(\frac{a X+b}{d X+e}\right)$ for some $\left(\begin{array}{cc}a & b \\ d & e\end{array}\right) \in G L_{2}(\mathbb{Z})$.

## Theorem

Let $n \geq 3$, and let $\mathcal{O}$ be any order of a number field of degree $n$.
(i) (Ev., Györy, 1985) The monic, irreducible polynomials $f \in \mathbb{Z}[X]$ with invariant order $\mathcal{O}$ lie in at most $C_{1}(n) \mathbb{Z}$-equivalence classes.
(ii) (Bérczes, Ev., Györy, 2004) The primitive, irreducible polynomials $f \in \mathbb{Z}[X]$ with invariant order $\mathcal{O}$ lie in at most $C_{2}(n) G L_{2}(\mathbb{Z})$-equivalence classes.
Here $C_{1}(n), C_{2}(n)$ depend on $n$ only.

## Quantitative results

## Theorem

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The best bounds for $C_{1}(n), C_{2}(n)$ obtained so far (ignoring earlier work):

$$
\begin{array}{rll}
n & C_{1}(n) & C_{2}(n) \\
3 & 10 \text { (Bennett, 2001) } & 1 \text { (Delone, Faddeev, 19 } \\
4 & 2760(\text { Akhtari, Bhargava, 2021) } & 10 \text { (Bhargava, 2021) } \\
\geq 5 & 2^{4(n+5)(n-2)}(\text { Ev. 2011) } & 2^{5 n^{2}} \text { (Ev., Győry, 2017) }
\end{array}
$$

## Quantitative results

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There is a uniform bound $T(n)$ such that if $F \in \mathbb{Z}[X, Y]$ is any irreducible binary form of degree $n \geq 3$, then the Thue equation $F(x, y)=1, x, y \in \mathbb{Z}$ has at most $T(n)$ solutions.

- $C_{1}(3) \leq T(3), T(3) \leq 10$ (Bennett, 2001);
- $C_{1}(n) \leq C_{2}(n) T(n)$ for $n \geq 4, C_{2}(4) \leq C_{1}(3)$ (Bhargava, theory of cubic resolvent orders), $T(4) \leq 276$ (Akhtari, 2021);
- (for $n \geq 5$ ) reduction to unit equations in two unknowns.


## Quantitative results

## Theorem

Let $n \geq 3$, and let $\mathcal{O}$ be any order of a number field of degree $n$.
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## Open problems

- Improve $C_{1}(n), C_{2}(n)$ (to something polynomial in $n$ ?)
- Lower bounds growing to infinity with $n$.


## Quantitative results

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## Corollary

(i) The monic, irreducible polynomials $f \in \mathbb{Z}[X]$ of degree $n$ in a given Hermite equivalence class lie in at most $C_{1}(n) \mathbb{Z}$-equivalence classes.
(ii) The primitive, irreducible polynomials $f \in \mathbb{Z}[X]$ of degree $n$ in a given Hermite equivalence class lie in at most $C_{2}(n) G L_{2}(\mathbb{Z})$-equivalence classes.

## Hermite equivalent but $G L_{2}(\mathbb{Z})$-inequivalent polynomials

For polynomials of degree 2 (trivial) and of degree 3 (Delone and Faddeev) Hermite equivalence and $G L_{2}(\mathbb{Z})$-equivalence coincide.

For polynomials of degree $\geq 4$ this is not the case.

## Theorem

For every $n \geq 4$ there are infinitely many pairs $(f, g)$ of irreducible, primitive polynomials in $\mathbb{Z}[X]$ of degree $n$ such that $f, g$ are Hermite equivalent but $G L_{2}(\mathbb{Z})$-inequivalent.
These pairs lie in different Hermite equivalent classes.

We give Remete's construction.

## The construction (I)

Consider the formal power series $C(X):=\frac{1-\sqrt{1-4 X}}{2 X}=\sum_{i=0}^{\infty} C_{i} X^{i}$, with $C_{i}=\frac{1}{i+1}\binom{2 i}{i} \in \mathbb{Z}$ the $i$-th Catalan number.

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Let $n \geq 4$, and

$$
\begin{aligned}
a^{(n)}(X) & :=\sum_{i=0}^{n-2} C_{i} X^{i}, \\
b^{(n)}(X) & :=\frac{X\left(a^{(n)}(X)\right)^{2}-a^{(n)}(X)+1}{X^{n-1}}, \\
k^{(n)}(X) & :=\frac{1-X \cdot a^{(n)}\left(X-X^{2}\right)}{(1-X)^{n-1}} .
\end{aligned}
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& k^{(n)}(X):=\frac{1-X \cdot a^{(n)}\left(X-X^{2}\right)}{(1-X)^{n-1}} .
\end{aligned}
$$

Note $\quad X^{n-1} \mid X a^{(n)}(X)^{2}-a^{(n)}(X)+1$ since $X C(X)^{2}-C(X)+1=0$,

$$
\begin{aligned}
& X^{n-1} \mid 1-(1-X) a^{(n)}\left(X-X^{2}\right) \text { since } C\left(X-X^{2}\right)=\frac{1}{1-X} \\
& (1-X)^{n-1} \mid 1-X \cdot a^{(n)}\left(X-X^{2}\right)
\end{aligned}
$$

So $a^{(n)}(X), b^{(n)}(X), k^{(n)}(X)$ are polynomials in $\mathbb{Z}[X]$ of degree $n-2$.

## The construction (II)

Let $a^{(n)}(X), b^{(n)}(X), k^{(n)}(X)$ be the polynomials from the previous slide, let $c$ be either 1 or a prime and $t$ a prime different from $c$, and put

$$
\begin{aligned}
& f_{t, c}^{(n)}(X):=c X^{n}+t k^{(n)}(c X), \\
& g_{t, c}^{(n)}(X):=c X^{n}+t\left(1-2 c X \cdot a^{(n)}(X)\right)-c^{n-1} t^{2} b^{(n)}(c X)
\end{aligned}
$$

Note that both $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are polynomials in $\mathbb{Z}[X]$ of degree $n$ with leading coefficient $c$.
They are both primitive, and by Eisenstein's criterion, both irreducible.

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## Lemma

Let $\alpha$ be a root of $f_{t, c}^{(n)}(X)$. Then $\beta:=\alpha-c \alpha^{2}$ is a root of $g_{t, c}^{(n)}(X)$ and moreover, $\alpha=p_{t, c}^{(n)}(\beta)$, where

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$$

## Proposition 1

$\mathcal{M}_{\alpha}=\mathcal{M}_{\beta}$, so $f_{t, c}^{(n)}(X)$ and $g_{t, c}^{(n)}(X)$ are Hermite equivalent.

## $G L_{2}(\mathbb{Z})$-inequivalence

We want to prove that $f_{t, c}^{(n)}(X)$ and $g_{t, c}^{(n)}(X)$ are not $G L_{2}(\mathbb{Z})$-equivalent. An important ingredient is the following:

## Lemma

Let $n \geq 4$. Then the polynomial $k^{(n)}(X)$ is irreducible.
The involved proof uses a theorem of Dumas (1906), which gives, for a given $f \in \mathbb{Z}[X]$ and a prime $q$, a small list of possibilities for the degrees of the irreducible factors of $f$ in $\mathbb{Z}_{q}[X]$.
This list can be read off from the Newton polygon of $f$ with respect to $q$.

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This list can be read off from the Newton polygon of $f$ with respect to $q$.
By applying Dumas' theorem with a couple of distinct primes to

$$
k^{(n)}(1+X)=C_{n-1} \sum_{i=0}^{n-2}\binom{n}{i} \frac{(n-1-i)(n-i)}{(n-1+i)(n+i)} \cdot X^{i}
$$

one obtains that $k^{(n)}(X)$ is either irreducible or has a rational root. By a separate argument it is excluded that $k^{(n)}(X)$ has a rational root.

## $G L_{2}(\mathbb{Z})$-inequivalence

## Lemma

Let $n \geq 4$. Then the polynomial $k^{(n)}(X)$ is irreducible.

By Chebotarev's density theorem, there are infinitely many primes $p$ such that $k^{(n)}(X)$ has no zeros modulo $p$.

## Proposition 2

Let $n \geq 4$, and let $p>C_{n-1}=n^{-1}\binom{2 n-2}{n-1}$ be a prime such that $k^{(n+1)}(X)$ has no zeros modulo $p$.
Further, let $c$ be either 1 or a prime, and $t$ a prime, such that

$$
c \equiv 1(\bmod n p), \quad C_{n-1} t \equiv 1(\bmod p), \quad t \neq c
$$

Then the polynomials $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are $G L_{2}(\mathbb{Z})$-inequivalent.

## Summary

By combining Propositions 1 and 2 one obtains:

## Theorem

Let $n \geq 4$, and let $p>C_{n-1}=n^{-1}\binom{2 n-2}{n-1}$ be a prime such that $k^{(n+1)}(X)$ has no zeros modulo $p$.
Further, let $c$ be either 1 or a prime, and $t$ a prime, such that

$$
c \equiv 1(\bmod n p), \quad C_{n-1} t \equiv 1(\bmod p), \quad t \neq c .
$$

Then the polynomials $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ have the following properties:
(i) $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are irreducible, primitive polynomials in $\mathbb{Z}[X]$ of degree $n$ with leading coefficient $c$;
(ii) $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are Hermite equivalent;
(iii) $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are not $G L_{2}(\mathbb{Z})$-equivalent.

## Summary

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$$
\begin{equation*}
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\end{equation*}
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Then the polynomials $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ have the following properties:
(i) $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are irreducible, primitive polynomials in $\mathbb{Z}[X]$ of degree $n$ with leading coefficient $c$;
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(iii) $f_{t, c}^{(n)}(X), g_{t, c}^{(n)}(X)$ are not $G L_{2}(\mathbb{Z})$-equivalent.

By Dirichlet's theorem on primes in arithmetic progressions, there are infinitely many pairs ( $c, t$ ) with (*).
This gives for every $n \geq 4$, infinitely many pairs ( $f, g$ ) of irreducible, primitive polynomials of degree $n$ that are Hermite equivalent but not $G L_{2}(\mathbb{Z})$-equivalent. By making a further selection, we get infinitely many pairs lying in different Hermite equivalence classes.

## Thank you for your attention

# Thank you for your attention 

and last but not least

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## HAPPY NEW YEAR !!!

