Mathematisch Instituut
Roetersstraat 15
Amsterdam-C. The Netherlands

EUCLID'S ALGORITHM IN CYCLOTOMIC FIELDS

by H.W. Lenstra Jr.

Report 74-01

Received January 17, 1974

February 1974

# EUCLID'S ALGORITHM IN CYCLOTOMIC FIELDS

H.W. Lenstra, Jr.

## Abstract.

Eleven full cyclotomic rings are proved to be euclidean for the norm map.

AMS(MOS) subject classification scheme (1970): 12A35, 13F10, 10E20.

Keywords: Euclid's algorithm, cyclotomic field, Gauss measure.

#### Introduction.

For a positive integer m , let  $\zeta_m$  denote a primitive m-th root of unity. By  $\phi$  we mean the Euler  $\phi$ -function. In this note we prove the following theorem:

Theorem. Let  $\phi(m) \le 10$ , m \neq 16, m \neq 24. Then  $\mathbb{Z}\left[\zeta_m\right]$  is euclidean for the usual norm map.

Since  $\mathbb{Z}\left[\zeta_m\right]=\mathbb{Z}\left[\zeta_{2m}\right]$  for m odd, this gives eleven non-isomorphic euclidean rings, corresponding to

m = 1, 3, 4, 5, 7, 8, 9, 11, 12, 15, 20. The cases m = 1, 3, 4, 5, 8, 12 are more or less classical [3, pp. 117-118 and pp. 391-393; 10; 7, pp. 228-231; 5, chapters 12, 14 and 15; 6; 9]. The other five cases are apparently new.

For m even, the ring  $\mathbb{Z}\left[\zeta_{m}\right]$  has class number one if and only if  $\phi(m) \leq 20$  or m=70, 84 or 90, see [8]. So there are exactly thirty non-isomorphic rings  $\mathbb{Z}\left[\zeta_{m}\right]$  which admit unique factorization. If some generalized Riemann hypotheses would hold, then all these thirty rings would be euclidean for some function, possibly different from the norm map [11].

Our notations are mostly standard. For  $\mu_m$ ,  $t_m$ ,  $t_m$ ,  $t_m$  and  $t_m$  see section 1. By an overhead bar we denote the automorphism of  $\mathbb{Q}(\zeta_m)$  which sends  $\zeta_m$  to  $\zeta_m^{-1}$ . Since the Galois group of  $\mathbb{Q}(\zeta_m)$  over  $\mathbb{Q}$  is abelian, barring commutes with all automorphisms, traces and norms which we shall consider. This trivial remark will be constantly used without further mention. If we view  $\mathbb{Q}(\zeta_m)$  as a subfield of  $\mathbb{C}$ , then barring is just complex conjugation. The end (or absence) of a proof is marked by  $\mathbb{D}$ .

#### §1. The Gauss measure.

Let  $m \ge 1$  be an integer, and let  $t_m: \mathbb{Q}(\zeta_m) \to \mathbb{Q}$  denote the trace function  $t_m(x) = \Sigma_{\sigma} \sigma(x)$ , the sum ranging over all automorphisms  $\sigma$  of  $\mathbb{Q}(\zeta_m)$ . The <u>Gauss measure</u>  $\mu_m: \mathbb{Q}(\zeta_m) \to \mathbb{Q}$  is defined by  $\mu_m(x) = t_m(xx)$ , cf. [3, p. 395; 1].

- (1.1).(a) The function  $\mu_m$  is a positive definite quadratic form on the Q-vectorspace Q( $\zeta_m$ ).
  - (b) For every real number  $\,\,r\,\,$  there are only finitely many elements  $\,\,y\,\,\epsilon\,\,\mathbb{Z}\,[\,c_{_{_{\! m}}}]$  for which  $\,\,\mu_{_{\! m}}(y)\,\leq\,r\,.$
  - (c) For every  $x \in \mathbb{Q}(\zeta_m)$  there is a  $y \in \mathbb{Z}[\zeta_m]$  such that  $\mu_m(x+y) \leq \mu_m(x+z)$  for all  $z \in \mathbb{Z}[\zeta_m]$ .

<u>Proof.</u>(a) is evident from  $\mu_m(x) = \Sigma_\sigma \ \sigma(x) \overline{\sigma(x)}$ , and (b) follows from (a) since  $\mathbb{Z}[\zeta_m]$  is a lattice in  $\mathbb{Q}(\zeta_m)$ . Finally, (c) follows from (b) since  $\sqrt{\mu_m}$  satisfies the triangle inequality.

Let the <u>fundamental domain</u>  $F_m$  be defined by  $F_m = \{x \in \mathbb{Q}(\zeta_m) \mid \mu_m(x+y) \geq \mu_m(x) \text{ for all } y \in \mathbb{Z}[\zeta_m] \}.$ 

Then (1.1)(c) can be restated as:  $F_m + Z[\zeta_m] = \mathbb{Q}(\zeta_m)$ . A real number c is called a bound for  $F_m$  if  $\mu_m(x) \leq c$  for all  $x \in F_m$ . It is easily seen that such c do exist. Clearly, there is a smallest bound for  $F_m$ , which is denoted by  $c_m$ . It is not hard to prove that  $\mu_m(x) = c_m$  for some  $x \in F_m$ , so that  $c_m$  is rational, but we shall not need this. A bound c for  $F_m$  is called <u>usable</u> if for every  $x \in F_m$  satisfying  $\mu_m(x) = c$  there is a root of unity  $u \in Z[\zeta_m]$  such that  $\mu_m(x+u) = c$ . The use of usable bounds will become clear in the next section. Note that every  $c > c_m$  is a usable bound, since no  $x \in F_m$  satisfies  $\mu_m(x) = c > c_m$ .

#### §2. The euclidean algorithm.

Let  $N=N_m\colon \mathbb{Q}(\zeta_m)\to \mathbb{Q}$  be the norm function  $N_m(x)=\mathbb{N}_\sigma$   $\sigma(x)$ , the product ranging over the  $\phi(m)$  automorphisms  $\sigma$  of  $\mathbb{Q}(\zeta_m)$ . For  $x\in\mathbb{Z}[\zeta_m]\setminus\{0\}$  we have  $|N(x)|=|\mathbb{Z}[\zeta_m]/\mathbb{Z}[\zeta_m]$  x. We call  $\mathbb{Z}[\zeta_m]$  euclidean for the norm if for every  $a,b\in\mathbb{Z}[\zeta_m]$ ,  $b\neq 0$ , there are  $q,r\in\mathbb{Z}[\zeta_m]$  such that a=qb+r and |N(r)|<|N(b)|. Writing  $x=ab^{-1}$  and y=-q, we find, using the multiplicativity of the norm:

(2.1). The ring  $\mathbb{Z}\left[\zeta_{m}\right]$  is euclidean for the norm if and only if for every  $x \in \mathbb{Q}(\zeta_{m})$  there is an element  $y \in \mathbb{Z}\left[\zeta_{m}\right]$  such that  $|\mathbb{N}(x+y)| < 1$ .

(2.2). For 
$$x \in \mathbb{Q}(\zeta_m)$$
, we have 
$$|\mathbb{N}(x)|^2 \leq (\frac{1}{\phi(m)} \mu_m(x))^{\phi(m)}.$$

The equality sign holds if and only if  $xx \in \mathbb{Q}$ .

$$\underline{\text{Proof}}. \qquad |\mathbf{N}(\mathbf{x})|^2 = \mathbf{N}(\mathbf{x})^2 = \mathbf{N}(\mathbf{x})\mathbf{N}(\overline{\mathbf{x}}) = \mathbf{N}(\overline{\mathbf{x}}) = \mathbf{\Pi}_{\sigma} \ \sigma(\mathbf{x})\overline{\sigma(\mathbf{x})}.$$

If we view  $\mathbb{Q}(\zeta_{m})$  as a subfield of  $\mathbb{C}$ , then  $\sigma(x)\overline{\sigma(x)}$  is a nonnegative real number for all  $\sigma$ . Using the arithmetic-geometric mean inequality we find

$$\Pi_{\sigma} \sigma(x) \overline{\sigma(x)} \leq \left( \frac{1}{\phi(m)} \Sigma_{\sigma} \sigma(x) \overline{\sigma(x)} \right)^{\phi(m)} = \left( \frac{1}{\phi(m)} \mu_{m}(x) \right)^{\phi(m)}.$$

The equality sign holds if and only if all the  $\sigma(xx)$  are equal, which is the case if and only if  $xx \in \mathbb{Q}$ .  $\Box$ 

Remark. From (2.2) one easily deduces: for  $x \in \mathbb{Z}[\zeta_m]$ ,  $x \neq 0$ , one has  $\mu_m(x) \geq \phi(m)$ , the equality sign holding if and only if x is a root of unity.

(2.3). Lemma. Let  $x \in \mathbb{Q}(\zeta_m)$  be such that  $xx = (x+u)(\overline{x+u}) = 1$  for some root of unity  $u \in \mathbb{Z}[\zeta_m]$ . Then  $x \in \mathbb{Z}[\zeta_m]$ .

<u>Proof.</u> Put y = xu, then yy = 1 and y + y = -1, so y is a primitive third root of unity. Then  $y \in \mathbb{Q}(\zeta_m)$  implies that m is divisible by 3, so  $y \in \mathbb{Z}[\zeta_m]$  and  $x = yu \in \mathbb{Z}[\zeta_m]$ .  $\square$ 

(2.4). If  $\phi(m)$  is a usable bound for  $F_m$ , then  $\mathbb{Z}\left[\zeta_m\right]$  is euclidean for the norm.

<u>Proof.</u> Let  $x \in \mathbb{Q}(\zeta_m)$  be arbitrary. We have to find an element  $y \in \mathbb{Z}[\zeta_m]$  such that  $|\mathbb{N}(x+y)| < 1$ . By (1.1)(c) we may assume  $x \in F_m$ . Then  $\mu_m(x) \leq \phi(m)$ , since  $\phi(m)$  is a bound for  $F_m$ . If the inequality is strict, then  $|\mathbb{N}(x)| < 1$  by (2.2), and we can take y = 0. If the equality sign holds, then  $\mu_m(x) = \mu_m(x+u) = \phi(m)$  for some root of unity  $u \in \mathbb{Z}[\zeta_m]$ , since  $\phi(m)$  is usable.

$$\begin{split} \left| N(x) \right|^2 & \leq \left( \frac{1}{\phi(m)} \; \mu_m(x) \right)^{\phi(m)} \; = \; 1 \\ \left| N(x+u) \right|^2 & \leq \left( \frac{1}{\phi(m)} \; \; \mu_m(x+u) \right)^{\phi(m)} \; = \; 1 \, . \end{split}$$

If at least one inequality holds strictly, then we can take y=0 or y=u. If both equality signs hold, then  $x\bar{x}$  and  $(x+u)(\bar{x}+\bar{u})$  are rational, by (2.2). Moreover,  $N(x\bar{x})=1$ , so we have  $x\bar{x}=1$ , and similarly  $(x+u)(\bar{x}+\bar{u})=1$ . Using (2.3) we find  $x\in\mathbb{Z}[\zeta_m]$ , which contradicts  $x\in F_m$  since  $x\neq 0$ .  $\square$ 

# §3. Estimating the fundamental domain.

(3.1). Let n be a positive divisor of m. Then

$$\frac{c_{m}}{\phi(m)^{2}} \leq \frac{c_{n}}{\phi(n)^{2}}.$$

Moreover, if c is a usable bound for  $F_n$ , then  $\frac{\phi(m)^2}{\phi(n)^2}$  c is a usable bound for  $F_m$ .

The proof of (3.1) makes use of two formulas. Let  $t:\mathbb{Q}(\zeta_m)\to\mathbb{Q}(\zeta_n)$  denote the trace function of the field extension  $\mathbb{Q}(\zeta_n)\subset\mathbb{Q}(\zeta_m)$ , and let  $d=[\mathbb{Q}(\zeta_m):\mathbb{Q}(\zeta_n)]=\frac{\phi(m)}{\phi(n)}$ .

$$\begin{array}{ll} \underline{\text{(3.2).}} \text{ Let } & x \in \mathbb{Q}(\zeta_m) \quad \text{and} \quad y \in \mathbb{Q}(\zeta_n). \text{ Then} \\ \\ & \mu_m(x+y) - \mu_m(x) = d(\mu_n(\frac{1}{d}t(x)+y) - \mu_n(\frac{1}{d}t(x))). \end{array}$$

(3.3). For 
$$x \in \mathbb{Q}(\zeta_m)$$
 we have 
$$\mu_m(x) = \frac{1}{m} \sum_{j=1}^m \mu_n(t(x\zeta_m^j)).$$

<u>Proof</u> of (3.1), assuming (3.2) and (3.3).

Let  $x \in F_m$  be arbitrary. We have to prove  $\mu_m(x) \leq d^2 \cdot c_n$ . Applying (3.2) to  $y \in \mathbb{Z}$   $[\zeta_n]$  and looking at the definition of  $F_n$  we find  $\frac{1}{d}t(x) \in F_n$ . Since also  $x \cdot \zeta_m^j \in F_m$  for all  $j \in \mathbb{Z}$ , we have in the same way  $\frac{1}{d}t(x \cdot \zeta_m^j) \in F_n$ . Therefore

$$\mu_{n}(t(x. \zeta_{m}^{j})) = d^{2}.\mu_{n}(\frac{1}{d} t(x. \zeta_{m}^{j})) \le d^{2}.c_{n}$$

for all  $j \in \mathbb{Z}$ , and using (3.3) it follows that  $\mu_m(x) \leq d^2.c_n$ . This proves the first part of (3.1).

Next assume c is a usable bound for  $F_n$ , and let  $x \in F_m$  satisfy  $\mu_m(x) = d^2.c$ . Then from the above reasoning we see  $c = c_n$  and  $\mu_n(\frac{1}{d} \ t(x.\zeta_m^j)) = c_n = c$  for all  $j \in \mathbb{Z}$ . Take j = 0.

Since c is a usable bound for  $F_n$ , there is a root of unity  $u \in \mathbb{Z}[\zeta_n]$  such that  $\mu_n(\frac{1}{d}t(x)+u)=c$ . Applying (3.2) with y=u we get  $\mu_m(x+u)=\mu_m(x)=d^2.c$ , which proves that  $d^2.c$  is a usable bound for  $F_m$ .

<u>Proof</u> of (3.2).

$$\begin{split} \text{d.}(\mu_{n}(\frac{1}{d}\;\mathsf{t}(\mathbf{x})\;+\;\mathbf{y})\;-\;\mu_{n}(\frac{1}{d}\;\mathsf{t}(\mathbf{x})))\;=\\ &=\;\mathsf{d.t}_{n}(\frac{1}{d}\;\mathsf{t}(\mathbf{x})\overline{\mathbf{y}}\;+\;\frac{1}{d}\;\mathsf{t}(\overline{\mathbf{x}})\mathbf{y}\;+\;\mathbf{y}\overline{\mathbf{y}})\\ &=\;\mathsf{t}_{n}(\mathsf{t}(\mathbf{x})\overline{\mathbf{y}})\;+\;\mathsf{t}_{n}(\mathsf{t}(\overline{\mathbf{x}})\mathbf{y})\;+\;\mathsf{d.t}_{n}(\overline{\mathbf{y}}\overline{\mathbf{y}})\\ &=\;\mathsf{t}_{n}(\mathsf{t}(\overline{\mathbf{x}}\overline{\mathbf{y}}))\;+\;\mathsf{t}_{n}(\mathsf{t}(\overline{\mathbf{x}}\overline{\mathbf{y}}))\;+\;\mathsf{t}_{n}(\mathsf{t}(\overline{\mathbf{y}}\overline{\mathbf{y}}))\\ &=\;\mathsf{t}_{m}(\mathbf{x}\overline{\mathbf{y}}\;+\;\overline{\mathbf{x}}\mathbf{y}\;+\;\overline{\mathbf{y}}\overline{\mathbf{y}})\\ &=\;\mathsf{t}_{m}(\mathbf{x}+\mathbf{y})\;-\;\mu_{m}(\mathbf{x})\;. \end{split}$$

<u>Proof</u> of (3.3). Let G be the Galoisgroup of  $\mathbb{Q}(\zeta_m)$  over  $\mathbb{Q}(\zeta_n)$ .

In the computation below  $\Sigma_{\sigma}$  and  $\Sigma_{\tau}$  refer to summations over G. We have

$$\begin{split} & \Sigma_{\mathbf{j}=1}^{\mathbf{m}} \quad \mu_{\mathbf{n}}(\mathsf{t}(\mathbf{x}\zeta_{\mathbf{m}}^{\mathbf{j}})) = \Sigma_{\mathbf{j}=1}^{\mathbf{m}} \quad \mu_{\mathbf{n}}(\Sigma_{\sigma} \; \sigma(\mathbf{x}\zeta_{\mathbf{m}}^{\mathbf{j}})) \\ & = \mathsf{t}_{\mathbf{n}}(\Sigma_{\mathbf{j}=1}^{\mathbf{m}} \; \Sigma_{\sigma} \; \Sigma_{\tau} \; \; \sigma(\mathbf{x})\sigma(\zeta_{\mathbf{m}}^{\mathbf{j}})\tau(\overline{\mathbf{x}})\tau(\zeta_{\mathbf{m}}^{-\mathbf{j}})) \\ & = \mathsf{t}_{\mathbf{n}}(\Sigma_{\sigma} \; \Sigma_{\tau} \; \; \sigma(\mathbf{x})\tau(\overline{\mathbf{x}})(\Sigma_{\mathbf{j}=1}^{\mathbf{m}}(\sigma(\zeta_{\mathbf{m}})\tau(\zeta_{\mathbf{m}})^{-1})^{\mathbf{j}})). \end{split}$$

Let  $\zeta_{\sigma,\tau}$  denote the m-th root of unity  $\sigma(\zeta_m)\tau(\zeta_m)^{-1}$ . Then  $\zeta_{\sigma,\tau}=1$  if and only if  $\sigma=\tau$ , and

Hence the above expression becomes

$$t_n(\Sigma_{\sigma} \quad \sigma(x)\sigma(\overline{x}) \text{ m}) = \text{m.t}_n(t(\overline{xx})) = \text{m.t}_m(\overline{xx}) = \text{m.}\mu_m(x)$$

which proves (3.3).  $\square$ 

#### §4. Proof of the theorem.

Explicit consideration of the case n=1 shows that  $c_1=\frac{1}{4}$  is a usable bound for  $F_1$ . Then  $\frac{1}{4}$   $\phi(m)^2$  is a usable bound for  $F_m$ , by (3.1). If

$$(4.1) \qquad \qquad \phi(m) \leq 4$$

then  $\frac{1}{4}\phi(m)^2 \leq \phi(m)$ , and  $\phi(m)$  is a usable bound for  $F_m$ . By (2.4) it follows that the ring  $\mathbb{Z}\left[\zeta_m\right]$  is euclidean for the norm if (4.1) holds. This gives us exactly the cases m=1, 3, 4, 5, 8, 12 which were already known. To get new cases we use the following result, which will be proved in the next section.

(4.2). Let n be a prime number. Then  $c_n = \frac{n^2 - 1}{12}$  and this is a usable bound for  $F_n$ .

Now suppose that m has a prime divisor n such that

$$\phi(m) \le \frac{12.(n-1)}{(n+1)}.$$

Then a usable bound for  $F_{m}$  is given by

$$\frac{\phi(m)^2}{\phi(n)^2} c_n = \frac{\phi(m)^2}{(n-1)^2} \cdot \frac{n^2 - 1}{12} = \frac{\phi(m)^2 (n+1)}{12(n-1)} \le \phi(m)$$

so  $\mathbb{Z}\left[\zeta_{m}\right]$  is euclidean for the norm, by (2.4). For which m, n does (4.3) hold? In any case n|m implies  $\phi(m) \geq \phi(n) = n-1$  so  $n+1 \leq 12$  is necessary. For n=2 we get  $\phi(m) \leq 4$ , which is (4.1). For n=3 we have  $\phi(m) \leq 6$  which gives us the new case m=9. For n=5 we find  $\phi(m) \leq 8$  which is satisfied by m=15 and m=20. For n=7 and n=11, finally, m=n satisfies (4.3). This proves the theorem, up to (4.2).

## §5. Determination of the bound in a special case.

Let n be an integer  $\geq$  2, and let V be an (n-1)-dimensional  $\mathbb{R}$ -vectorspace with generators  $e_i$ ,  $1 \leq i \leq n$ , subject only to the relation  $\sum_{i=1}^n e_i = 0$ . So for  $x_i$ ,  $y_i \in \mathbb{R}$   $(1 \leq i \leq n)$  we have  $\sum_{i=1}^n x_i e_i = \sum_{i=1}^n y_i e_i$  if and only if  $x_i - y_i = x_j - y_j$  for all i, j. We define a positive definite quadratic form  $\mathbb{Q}$  on  $\mathbb{V}$  by

$$Q(x) = \Sigma_{1 \le i \le j \le n} (x_i - x_j)^2, \qquad x = \Sigma_{i=1}^n x_i e_i \in V.$$

Let ( , ):  $V \times V \to \mathbb{R}$  denote the symmetric bilinear form induced by Q:

$$(x,y) = \frac{1}{2}(Q(x+y) - Q(x) - Q(y)).$$

We have

$$(x,x) = Q(x)$$
 for  $x \in V$ ,  
 $(e_i, e_i) = n - 1$  for  $1 \le i \le n$ ,  
 $(e_i, e_j) = -1$  for  $1 \le i \le j \le n$ .

Let  $L \subset V$  be the subgroup generated by  $\{e_i \mid 1 \le i \le n\}$  . Clearly, L is a lattice in V. We put

$$F = \{x \in V \mid Q(x) \le Q(x-y) \text{ for all } y \in L\}$$
$$= \{x \in V \mid (x,y) \le \frac{1}{2}Q(y) \text{ for all } y \in L\}.$$

Since F is compact, Q assumes a maximum c on V. We are going to prove:

(5.1). The set of points  $x \in F$  for which Q(x) = c is given by

(5.2) 
$$\{\frac{1}{n} \sum_{i=1}^{n} ie_{\sigma(i)} \mid \sigma \text{ is a permutation of } \{1, 2, \dots, n\}\} .$$

Moreover,

$$c = \frac{n^2 - 1}{12}$$
.

We prove (5.1) after a series of lemmas. At the end of this section we show how (5.1) implies (4.2).

If A is a subset of {1, 2, ..., n}, then we put  $\Sigma_{i \in A} e_i = e_A \in L$ .

We call A proper if  $\emptyset \neq A \neq \{1, 2, ..., n\}$ .

(5.3). Lemma. Let  $y \in L$  be such that there is no  $A \subset \{1, 2, ..., n\}$  with  $y = e_A$ . Then there is an element  $z = +e_j \in L$  such that Q(z) + Q(y-z) < Q(y).

Proof. Let  $y = \sum_{i=1}^{n} m_{i}e_{i}$  with  $m_{i} \in \mathbb{Z}$ . Using  $\sum_{i=1}^{n} e_{i} = 0$  we may assume  $0 \le \sum_{i=1}^{n} m_{i} \le n-1$ . For  $z = \pm e_{j}$  we have  $\frac{1}{2}(Q(y) - Q(z) - Q(y-z)) = (y,z) - (z,z)$  $= \pm (nm_{j} - \sum_{i=1}^{n} m_{i}) - (n-1).$ 

If this is >0 for some j and some choice of the sign we are done. So suppose it is  $\leq 0$  for all j and for both signs. Then for  $1 \leq j \leq n$  we have

$$nm_{j} \le \sum_{i=1}^{n} m_{i} + (n-1) \le 2n-2 < 2n$$
 $nm_{j} \ge \sum_{i=1}^{n} m_{i} - (n-1) \ge -n+1 > -n$ 

so  $m_j$  is 0 or 1 for all j, contradicting that y has not the form  $e_A . \square$ 

Proof. ⇒ is clear. <= : we know</pre>

$$(x, e_{\Lambda}) \le \frac{1}{2}Q(e_{\Lambda})$$
 for all  $\Lambda \subset \{1, 2, ..., n\}$ 

and we have to prove

$$(x,y) \le \frac{1}{2}Q(y)$$
 for all  $y \in L$ .

This is done by an obvious induction on Q(y), using (5.3).  $\square$ 

(5.5). Let  $x \in \mathbb{F}$  be such that Q(x = c) = c. Then there are n-1 different proper subsets  $A(i) \subseteq \{1, 2, ..., n\}$ ,  $1 \le i \le n-1$ , such that  $x \in \{c\}$  is the unique solution of the system of linear equalities

(5.6) 
$$(x, e_{A(i)}) = \frac{1}{2}Q(e_{A(i)}), \quad 1 \le i \le n-1.$$

Proof. Let

$$S = \{A \subset \{1, 2, ..., n\} \mid (x_0, e_A) = \frac{1}{2}Q(e_A)\},$$

then we have  $(x_0, e_A) < \frac{1}{2}Q(e_A)$  for all  $A \subset \{1, 2, ..., n\}$ ,  $A \notin S$ .

If the linear span of  $\{e_A \mid A \in S\}$  has dimension n-1, then there are n-1 subsets  $A(i) \in S$  such that  $\{e_{A(i)} \mid 1 \le i \le n-1\}$  is linearly independent over  $\mathbb R$ . Then clearly  $x_0$  is the unique solution of (5.6), and each

A(i) is proper since  $e_{A(i)} \neq 0$ .

Therefore assume that the linear span of  $\{e_{\mbox{$A$}}\mid A\ \epsilon\ S\}$  has codimension  $\geq$  1 in V . We derive a contradiction. The subspace

$$\{z \in V \mid (z,e_{\Lambda}) = 0 \text{ for all } \Lambda \in S\}$$

has dimension at least 1, so for some  $z \in V$ ,  $z \neq 0$  we have

$$(z, e_{\Lambda}) = 0$$
 for all  $A \in S$ .

Replacing z by -z , if necessary, we may assume

$$(5.7)$$
  $(x_0, z) \ge 0.$ 

Finally, multiplying z by a sufficiently small positive real number we may assume

$$(z, e_A) \le \frac{1}{2}Q(e_A) - (x_O, e_A)$$
 for all  $A \subset \{1, 2, ..., n\}$ ,  $A \notin S$ .

Then for all  $A \subseteq \{1, 2, ..., n\}$  we have

$$(x_0 + z, e_A) \le \frac{1}{2}Q(e_A)$$

so  $x_0 + z \in F$  by (5.4). But using (5.7) we find

$$Q(x_0 + z) \ge Q(x_0) + Q(z) > Q(x_0)$$

which contradicts our assumption  $Q(x_0) = c = \max \{Q(x) \mid x \in F\}$ .

(5.8). Let  $x_0$ , A(1), ..., A(n-1) be as in (5.5). Then A(i)  $\subset$  A(j) or A(j)  $\subset$  A(i), for all i, j,  $1 \le i \le j \le n-1$ .

<u>Proof.</u> Fix i and j, and put A = A(i) and B = A(j). Let  $C = A \setminus B$  and  $D = B \setminus A$ . If  $C = \emptyset$  or  $D = \emptyset$  we are done. So suppose  $C \neq \emptyset \neq D$ . Then  $C \cap D = \emptyset$  implies

$$(e_{C}, e_{D}) = -|C| \cdot |D| < 0.$$

This is equivalent to

$$(e_{A\cap B}, e_{A\cup B}) > (e_A, e_B).$$

Using  $e_{A\cap B} + e_{A\cup B} = e_A + e_B$  we find

$$(x_0, e_{A\cap B}) + (x_0, e_{A\cup B}) = (x_0, e_A) + (x_0, e_B) = \frac{1}{2}(Q(e_A) + Q(e_B))$$

$$= \frac{1}{2}Q(e_A + e_B) - (e_A, e_B) > \frac{1}{2}Q(e_{A\cap B} + e_{A\cup B}) - (e_{A\cap B}, e_{A\cup B})$$

= 
$$\frac{1}{2}Q(e_{A\cap B}) + \frac{1}{2}Q(e_{A\cup B}).$$

So for X = A  $\cap$  B or for X = A  $\cup$  B we have  $(x_0, e_X) > \frac{1}{2}Q(e_X)$ , contradicting  $x_0 \in F$ .  $\square$ 

<u>Proof</u> of (5.1). Let  $x_0 \in F$  satisfy  $Q(x_0) = c$ , and let  $A(1), \ldots, A(n-1)$  be as above. From (5.5) and (5.8) we conclude that  $\{A(i) \mid 1 \le i \le n-1\}$  is a system of n-1 proper subsets of  $\{1, 2, \ldots, n\}$  which is linearly ordered by inclusion. This is only possible if after a suitable renumbering of the vectors  $e_i$  and the sets A(i) we have

$$A(i) = \{1, 2, ..., i\}$$
 for  $1 \le i \le n-1$ .

By (5.5), we have

$$\Sigma_{j=1}^{i} (x_{o}, e_{j}) = \frac{1}{2}Q(e_{A(i)}), \quad \text{for } 1 \le i \le n-1.$$

Writing  $\mathbf{x}_0 = \Sigma_{\mathbf{j}=1}^{\mathbf{n}} \mathbf{x}_{\mathbf{j}} \mathbf{e}_{\mathbf{j}}$  in such a manner that  $\Sigma_{\mathbf{j}=1}^{\mathbf{n}} \mathbf{x}_{\mathbf{j}} = 0$ , we find  $(\mathbf{x}_0, \mathbf{e}_{\mathbf{j}}) = \mathbf{n} \mathbf{x}_{\mathbf{j}}$ . Also  $Q(\mathbf{e}_{\mathbf{A}}) = |\mathbf{A}| \cdot (\mathbf{n} - |\mathbf{A}|)$  so our system becomes  $\Sigma_{\mathbf{j}=1}^{\mathbf{i}} \mathbf{x}_{\mathbf{j}} = \frac{1}{2\mathbf{n}} \mathbf{i} (\mathbf{n} - \mathbf{i}), \quad 1 \leq \mathbf{i} \leq \mathbf{n} - 1,$   $\Sigma_{\mathbf{j}=1}^{\mathbf{n}} \mathbf{x}_{\mathbf{i}} = 0.$ 

Clearly, this implies

$$nx_{i} = \frac{1}{2}(n+1) - i,$$
  $1 \le i \le n,$   
 $x_{0} = \frac{1}{n} \sum_{i=1}^{n} ie_{n+1-i}.$ 

We renumbered the  $e_i$  at one point in the argument, so it follows that  $x_0$  is in the set (5.2). Since there is at least one  $x_0 \in F$  for which  $Q(x_0) = c$ , it follows by reasons of symmetry that conversely every element x of (5.2) satisfies  $x \in F$  and Q(x) = c. Finally, we have

$$c = \frac{1}{n^2} \sum_{1 \le i < j \le n} (i - j)^2 = \frac{n^2 - 1}{12}$$

This proves (5.1). □

<u>Proof</u> of (4.2). Let n be a prime number. The Q-vectorspace  $\mathbb{Q}(\zeta_n)$  is generated by the n elements  $\zeta_n^i$ ,  $1 \le i \le n$ , subject only to the relation  $\Sigma_{i=1}^n \zeta_n^i = 0$ . For rational numbers  $x_i$ ,  $1 \le i \le n$ , we have

$$\begin{split} & \mu_n(\Sigma_{i=1}^n \ x_i \ \zeta_n^i) = t_n(\ \Sigma_{i=1}^n \Sigma_{j=1}^n \ x_i x_j \ \zeta_n^{i-j}) = \\ & = (n-1) \ \Sigma_{i=1}^n \ x_i^2 - \ \Sigma_{i=1}^n \ \Sigma_{j=1}^n \ x_i x_j = \\ & = \ \Sigma_{1 \le i < j \le n} \ (x_i - x_j)^2. \end{split}$$

All this implies that V can be considered as  $\mathbb{R} \otimes_{\mathbb{Q}} \mathbb{Q}(\zeta_n)$ , by  $e_i = 1 \otimes \zeta_n^i$ , and that Q is the natural extension of  $\mu_n$  to V. We have  $L = \mathbb{Z} [\zeta_n]$ , so  $F_n = F \cap \mathbb{Q}(\zeta_n)$ . Applying (5.1) yields:

(5.9). Let n be prime. Then  $c_n = \frac{n^2 - 1}{12}$ , and the set of elements  $x \in F_n$  for which  $\mu_n(x) = c_n$  is given by

$$\{\frac{1}{n} \sum_{i=1}^{n} i \zeta_{n}^{\sigma(i)} \mid \sigma \text{ is a permutation of } \{1, 2, ..., n\}\}. \square$$

To prove (4.2), we need only check usability of  $c_n$ . So let  $x \in F_n$  satisfy  $\mu_n(x) = c_n$ . Then  $x = \frac{1}{n} \sum_{i=1}^n i \zeta_n^{\sigma(i)}$  for some permutation  $\sigma$  of  $\{1, 2, \ldots, n\}$ . Hence

$$x - \zeta_n^{\sigma(n)} = \frac{1}{n} \quad \Sigma_{i=0}^{n-1} \quad i\zeta_n^{\sigma(i)} = \frac{1}{n} \quad \Sigma_{i=1}^n \quad i\zeta_n^{\sigma(i-1)}$$

where  $\sigma(o)=\sigma(n)$ . By (5.9) it follows that  $\mu_n(x-\zeta_n^{\sigma(n)})=c_n$ . This proves that  $c_n$  is usable.  $\square$ 

This completes the proof of the theorem.

Remark. The result (5.1) can also be described as follows. Let T = IR / Z be a circle with circumference 1, and for  $t_1$ ,  $t_2 \in T$  let  $d(t_1, t_2)$  be the length of the shortest arc between  $t_1$  and  $t_2$ . For  $x = (x_i)_{i=1}^n \in T^n$  let

$$q(x) = \min \{\sum_{i=1}^{n} d(x_i, t)^2 \mid t \in T\}.$$

Then

$$\max \{q(x) \mid x \in T^n \} = \frac{n^2 - 1}{12n}$$
,

the maximum being attained at those n-tuples of points  $x_i \in T$  which divide T into n equal parts.

This follows from (5.1) and the identity

$$n \sum_{i=1}^{n} r_{i}^{2} = \sum_{1 \le i < j \le n} (r_{i} - r_{j})^{2} + (\sum_{i=1}^{n} r_{i})^{2},$$

for real numbers  $r_1, \ldots, r_n$ .

# §6. Remarks.

(6.1). Let n be a positive divisor of m such that every prime which divides m also divides n. Put  $d=\frac{m}{n}=\frac{\phi(m)}{\phi(n)}$ . Then  $\{1,\zeta_m,\ldots,\zeta_m^{d-1}\}$  is a  $\mathbb{Z}[\zeta_n]$ -basis for  $\mathbb{Z}[\zeta_m]$  and a straightforward computation (e.g. using (3.3)) shows

$$\mu_{m}(\ \Sigma_{i=0}^{d-1}\ x_{i}\ \zeta_{m}^{i})=d.\ \Sigma_{i=0}^{d-1}\ \mu_{n}(x_{i}), \quad \text{for } x_{i}\in \mathbb{Q}(\zeta_{n}),$$
 cf. [1, (3.16)]. All this implies  $c_{m}=d^{2}c_{n}$ , i.e.:

(6.2). If n and m have the same prime divisors, then the equality sign holds in (3.1). []

Since we know  $c_2 = \frac{1}{4}$  and  $c_{2p} = \frac{p^2 - 1}{12}$  for  $p \ge 3$  prime, it follows that  $c_2 t = 2^{2t - 4}, \quad \text{for } t \in \mathbb{Z}, \ t \ge 1,$   $c_2 t = \frac{1}{3} \cdot 2^{2t - 4}. \ p^{2u - 2} \cdot (p^2 - 1), \text{ for } t, \ u \in \mathbb{Z}, \ge 1, \ p \ge 3 \text{ prime.}$ 

In particular  $c_{16} = 16 > \phi(16) = 8$  and  $c_{24} = 10\frac{2}{3} > \phi(24) = 8$ , so our method does not apply to the cases 16 and 24.

I do not know the exact value of  $\mathbf{c}_{\mathrm{m}}$  if m has more than one odd prime divisor. But using different methods I can prove the following partial converse to our theorem:

# (6.3). Suppose $\phi(m) > 10$ or $m \in \{16,24\}$ . Then $c_m > \phi(m)$ .

Of course, (6.3) does not imply that the only values of m for which  $\mathbb{Z}[\zeta_m]$  is euclidean for the norm are given by the theorem. In fact, I know of no principal ideal domain  $\mathbb{Z}[\zeta_m]$  which is proved to be not euclidean for the norm.

(6.4). The ring  $\mathbb{Z}[\zeta_{11} + \zeta_{11}^{-1}]$  is euclidean for the norm [4]. We show how this can be proved by our methods. Note that an element  $x = \sum_{i=1}^{11} x_i \zeta_{11}^i \in \mathbb{Q}(\zeta_{11})$ , with  $x_i \in \mathbb{Q}$  for  $1 \le i \le 11$ , belongs to  $\mathbb{Q}(\zeta_{11} + \zeta_{11}^{-1})$  if and only if  $x_i = x_{11-i}$  for  $1 \le i \le 10$ .

Let  $x \in \mathbb{Q}(\zeta_{11} + \zeta_{11}^{-1})$  be arbitrary. We have to exhibit an element  $y \in x + \mathbb{Z}[\zeta_{11} + \zeta_{11}^{-1}]$  for which  $|\mathbb{N}'(y)| < 1$ , where  $\mathbb{N}': \mathbb{Q}(\zeta_{11} + \zeta_{11}) \to \mathbb{Q}$  is the norm.

From our proof that  $\mathbb{Z}\left[\zeta_{11}\right]$  is euclidean it follows that there is an element  $y \in F_{11}$ ,  $y \in x + \mathbb{Z}\left[\zeta_{11}\right]$ , such that  $|\mathbb{N}(y)| < 1$ ; here  $\mathbb{N} = \mathbb{N}_{11} : \mathbb{Q}(\zeta_{11}) \to \mathbb{Q}$  is the norm. Write  $y = \Sigma_{i=1}^{11} y_i \zeta_{11}^i$  with  $y_i \in \mathbb{Q}$  for  $1 \le i \le 11$ . From  $y \in x + \mathbb{Z}\left[\zeta_{11}\right]$  we deduce  $y_i - y_{11-i} \in \mathbb{Z}$ , for  $1 \le i \le 10$ . Also  $y \in F_{11}$ , so  $|y_i - y_{11-i}| = \frac{1}{11}|t_{11}(y(\zeta_{11}^{-i} - \zeta_{11}^i))| \le \frac{10}{11}$  by § 3. Hence  $y_i = y_{11-i}$  for  $1 \le i \le 10$ , so  $y \in \mathbb{Q}(\zeta_{11} + \zeta_{11}^{-1})$ . This implies  $y - x \in \mathbb{Q}(\zeta_{11} + \zeta_{11}^{-1}) \cap \mathbb{Z}\left[\zeta_{11}\right] = \mathbb{Z}\left[\zeta_{11} + \zeta_{11}\right]$ , and since  $|\mathbb{N}'(y)| = |\mathbb{N}(y)|^{\frac{1}{2}} < 1$ , we find that y satisfies our requirements.

An immediate generalization of this argument yields: if  $n \leq 11$  is prime, then any integrally closed subring of  $\mathbb{Z}\left[\zeta_{n}\right]$  is euclidean for the norm. The ring  $\mathbb{Z}\left[\zeta_{9}+\zeta_{9}^{-1}\right]$  can be treated analogously.

However, no new results are obtained in this way: the case of quadratic rings is classical [5, ch. 14], and more precise information on the cubic rings  $\mathbb{Z}\left[\zeta_7+\zeta_7^{-1}\right]$  and  $\mathbb{Z}\left[\zeta_9+\zeta_9^{-1}\right]$  can be found in [2]. I don't know whether my method applies to the ring  $\mathbb{Z}\left[\zeta_{15}+\zeta_{15}^{-1}\right]$ , which was proved to be euclidean for the norm in [4]. Note that the integrally closed subrings  $\mathbb{Z}\left[\frac{1}{2}+\frac{1}{2}\sqrt{-15}\right]\subset\mathbb{Z}\left[\zeta_{15}\right]$  and  $\mathbb{Z}\left[\sqrt{-5}\right]\subset\mathbb{Z}\left[\zeta_{20}\right]$  are not euclidean, since they are not even principal ideal domains.

with the other automorphisms of  $\mathbb{Q}(\zeta_m)$ . This is not essential for our method. If K is any finite field extension of  $\mathbb{Q}$ , then the Gauss measure  $\mu_K: K \to \mathbb{R}_{\geq 0}$  may be defined by  $\mu_K(x) = \Sigma_{\sigma} \left| \sigma(x) \right|^2$ , the sum ranging over the [K: $\mathbb{Q}$ ] field homomorphisms  $\sigma: K \to \mathbb{C}$ . Then the main results of §§ 1-3 carry over to the general case. Some care is required in stating (1.1)(a), since  $\mu_K$  may assume non-rational values. The fundamental domain  $F_K$  and the smallest bound  $c_K$  for  $F_K$  are defined in the obvious way, so that  $F_{\mathbb{Q}(\zeta_m)} = F_m$  and  $c_{\mathbb{Q}(\zeta_m)} = c_m$ . But  $c_K$  need not be rational, and there is not necessarily an element  $x \in F_K$  for

(6.5). Throughout this note we have used that complex conjugation commutes

(6.6) If [K : Q] is a usable bound for  $F_K$ , then  $R_K$  is euclidean for the norm. [

which  $\mu_K(x) = c_K$ . Writing  $R_K$  for the ring of algebraic integers in K, we

The generalization of (3.1) reads:

can generalize (2.4) as follows.

(6.7) Let K be a finite field extension of Q, and let  $m \in \mathbb{Z}$ ,  $m \ge 1$ . Let L be a field extension of the form  $L = K(\alpha)$ , where  $\alpha^m = a \in R_K$ . Suppose there is a real number r > 0 such that  $|\sigma(a)|^2 = r$  for all field homomorphisms  $\sigma : K \to C$ . Then

(6.8) 
$$c_{L} \leq \frac{d^{2}}{m} c_{K} \sum_{i=0}^{m-1} r^{i/m},$$

where d = [L : K]. Moreover, if  $c_K$  is a usable bound for  $F_K$ , then the right hand side of (6.8) is a usable bound for  $F_L$ . Finally, if  $\{\alpha^i \mid 0 \le i \le m-1\}$  is an  $R_K$ -basis for  $R_L$  (so that in particular d = m), then the equality sign holds in (6.8).

The <u>proof</u> of (6.7) is analogous to the proof of (3.1).

The validity of (6.6) and (6.7) is not affected if the concept of a "usable bound" (end § 1) is weakened as follows: a bound c for  $F_K$  is usable if for every  $x \in F_K$  for which  $\mu_K(x) = c$  there exists a <u>unit</u>  $u \in R_K$  such that  $\mu_K(x+u) = c$ . Only the proof of (2.3) needs a small modification.

#### References.

- 1. J.W.S. Cassels, On a conjecture of R.M. Robinson about sums of roots of unity, J. Reine Angew.Math. 238(1969)112-131.
- 2. H. Davenport, On the product of three non-homogeneous linear forms, Proc. Cambridge Philos.Soc. 43 (1947)137-152.
- 3. C.F. Gauss, Werke, Zweiter Band, Göttingen 1876.
- 4. H.J. Godwin, On Euclid's algorithm in some quartic and quintic fields, J. London Math.Soc. 40(1965)699-704.
- 5. G.H. Hardy and E.M. Wright, An introduction to the theory of numbers, Oxford 1938, 1945, 1954, 1960.
- 6. R.B. Lakein, Euclid's algorithm in complex quartic fields, Acta Arith. 20 (1972) 393-400.
- 7. E. Landau, Vorlesungen über Zahlentheorie, Band 3, Leipzig 1927.
- 8. J.M. Masley, On the class number of cyclotomic fields, thesis, Princeton University 1972.
- 9. J.M. Masley, On cyclotomic fields Euclidean for the norm map, Notices Amer.Math.Soc. 19 (1972) p.A-813 (abstract 700-A3).
- 10. J. Ouspensky, Note sur les nombres entiers dépendant d'une racine cinquième de l'unité, Math.Ann.66(1909)109-112.
- 11. P.J. Weinberger, On Euclidean rings of algebraic integers, Proc.Symp. Pure
  Math. 24, Analytic Number Theory, Amer. Math.Soc. 1973,
  321-332.