

# Topics in ANT

Lecture 5

Today:  $K$  complete discretely valued field.

Study finite extensions  $L/K$

Will see that we can split up into

$$K \subset L_0 \subset L_1 \subset L$$

"unramified"      "tamely ram."      "wildly ram."

## §1. Unramified extensions

All come from extensions of residue field.

Prop:  $K$  complete, residue field  $k$ .  
 $L/K$  finite, residue field ext"  $\ell/k$  separable.

There is a unique unramified subextension

$$L / L_0 / K$$

with residue field  $\ell/k$ .

Pf: Write  $\ell = k(\bar{x})$ .

Choose monic polynomial  $f \in A_k[z]$  lifting the characteristic polynomial of  $\bar{x}$  over  $k$ .

Then

- $f \bmod m_x$  has simple root  $\bar{x}$
- Lifts to simple root  $x \in L$  by Hensel's lemma.
- Since  $f$  is irreducible over  $k$ , get  $L_0 := k(x)$  is subextension of degree

$$\begin{aligned} \deg(L_0/k) &= \deg(f) \\ &= \deg(\ell/k) \end{aligned}$$

- Its residue field is  $\ell/k$ , and

$$\begin{aligned} \deg(L_0/k) &= e \cdot f \\ &= e \cdot \deg(\ell/k) \\ &= e \cdot \deg(L_0/k) \end{aligned}$$

so  $L_0/k$  is unramified.

Any such subextension contains  $x$ , so  $L_0$  must be unique

□

Remark: The proof implies that construction of  $L_0/K$  is functorial in  $L/K$  (see notes) and the composition of two unramified extensions is again unramified.

Get maximal unramified extension  $K^{\text{unr}}/K$

$$K^{\text{unr}} := \bigcup_{\substack{F \subset K^{\text{sep}} \\ F/K \text{ unramified}}} F$$

Suppose  $K$  is non-archimedean, <sup>local field</sup> then  $k$  is finite.

$\Rightarrow$  For every  $n \geq 1$ , there is a unique ext<sup>n</sup>  $k_n/k$  of degree  $n$ .

It is Galois with group  $\mathbb{Z}/n\mathbb{Z}$ .

$\Rightarrow$  For every  $n \geq 1$ , there is unique unramified ext<sup>n</sup>  $K_n/K$  of degree  $n$ .

It is Galois with group  $\mathbb{Z}/n\mathbb{Z}$ .

In particular,  $K^{\text{unr}} := \bigcup_{n \geq 1} K_n$ , have

$$\text{Gal}(K^{\text{unr}}/K) \cong \text{Gal}(\bar{k}/k) \cong \hat{\mathbb{Z}} := \varprojlim \mathbb{Z}/n\mathbb{Z}$$

## § 2. Tame ramified extensions

$L/K$  fin ext. non-arch

Def: Say  $L/K$  is tame ramified if

$$p = \text{char}(k) \nmid e.$$

Theorem:  $K$  complete wrt discrete val.  
 $L/K$  totally and tame ramified.

Then there exists uniformiser  $\omega$  of  $K$  s.t.

$$L = K(\sqrt[e]{\omega}).$$

Proof: Pick uniformisers  $\pi_L \in L$   
 $\pi_K \in K$

then  $\pi_L^e = u \cdot \pi_K$ ,  $u \in A_L^\times$

Since  $\ell = k$ , we can find  $v \in A_K^\times$

$$\text{s.t. } u = v \pmod{m_L}.$$

and therefore

$$X^e - \left( \frac{v\pi_K}{\pi_L^e} \right) \in A_L[x]$$

has a simple root  $\alpha$  which reduces to 1 in  $\ell$ . (Here we used  $\text{char}(k) \neq e$ )

It follows that  $L = K(\pi_L)$

$$\left( \begin{array}{l} L \text{ is tot. ram., i.e.} \\ \deg(L/K) = e \\ \text{Also } K(\pi_L)/K \text{ has ram} \\ \text{index at least } e. \end{array} \right) = K(\alpha\pi_L) = K(\sqrt[e]{v \cdot \pi_K})$$

So theorem is proved, with

$$\omega = v \cdot \pi_K \quad \text{uniformiser in } K \quad \square$$

Examples: ① In exercise 2.15 we used

$$\begin{aligned} \mathbb{Q}_p^\times &\cong p^{\mathbb{Z}} \times \mathbb{Z}/(p-1)\mathbb{Z} \times (1+p\mathbb{Z}_p) \\ &\cong p^{\mathbb{Z}} \times \mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}_p \end{aligned}$$

and deduced that if  $p > 2$  we have precisely 3 quadratic extensions of  $\mathbb{Q}_p$

$$\mathbb{Q}_p^2 := \mathbb{Q}_p(\sqrt{\alpha}), \quad \mathbb{Q}_p(\sqrt{p}), \quad \mathbb{Q}_p(\sqrt{\alpha p})$$

where  $\mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2 = \{1, \alpha, p, \alpha p\}$ .

② The extension  $\mathbb{Q}_p(\zeta_p) / \mathbb{Q}_p$  is totally and tamely ramified.

Can write

$$\mathbb{Q}_p(\zeta_p) = \mathbb{Q}_p(\sqrt[p-1]{-p}) \quad (\text{Check this!})$$

§ 3. Wildly ramified extensions

$L/K$  finite non-arch.

Def: Say  $L/K$  is wildly ramified if  $p = \text{char}(k) \mid e$

Recall that a polynomial  $f \in A_K[x]$  is Eisenstein if it is of form

$$f = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0$$

where  $a_i \in \mathfrak{m}_K$

$$a_0 \notin \mathfrak{m}_K^2.$$

We know that Eisenstein polynomials are irred.

Prop:  $K$  complete wrt discrete valuation

Then ①  $L/K$  totally ramified  
then  $L = K(\pi_L)$  for any unif.  $\pi_L \in L$   
and char poly of  $\pi_L$  is Eisenstein.

② Every root of an Eisenstein polynomial over  $K$  generates a totally ramified extension.

Pf: For ① note that  $K(\pi_L)/K$  is totally ramified of degree  $e = \deg(L/K)$ , so  $L = K(\pi_L)$ .

Every root of  $f = \text{char. polynomial } \pi_L$  in normal closure has same valuation as  $\pi_L$ ,

so by ultrametric inequality

$$f = \prod (x - \pi_L^{\sigma})$$

$$= x^e + a_{e-1}x^{e-1} + \dots + a_1x + a_0$$

must be contained in  $\mathfrak{m}_K$ .

The constant term

$$a_0 = (-1)^e \cdot \text{Nm}_{L/K}(\pi_L)$$

has valuation  $\varphi(\pi_L)^e = \varphi(\pi_K)$ .

Conversely, the valuation of the root  $\alpha$  of an Eisenstein polynomial of degree  $e$  is

$$\varphi(\text{Nm}_{K(\alpha)/K}(\alpha))^{1/e} = \varphi(a_0)^{1/e}$$

So  $K(\alpha)/K$  has ramification index  $e$ .  $\square$

#### §4. Krasner's Lemma

We saw there are precisely 3 extensions of  $\mathbb{Q}_p$  of degree 2, when  $p > 2$ .

$K$  finite extension of  $\mathbb{Q}_p$ .

Lemma: (Krasner)

Let  $\alpha \in \bar{K}$  with Galois conjugates

$$\alpha_1 = \alpha, \alpha_2, \dots, \alpha_n.$$

If an element  $\beta \in \bar{K}$  is such that

$$\varphi(\alpha - \beta) < \varphi(\alpha_i - \beta), \quad \forall i > 1.$$

then  $K(\alpha) \subseteq K(\beta)$ .

Pf: Exercise.

It follows from this lemma that two monic polynomials of the same degree have same splitting field over  $K$  if their coefficients are close enough.

Thm: There are only finitely many  $L/K$  of degree  $n$  inside  $\bar{\mathbb{Q}}_p$ .

Pf: True for unramified extensions (unique!)  
So may assume  $L/K$  is totally ramified.

$$L = K(\pi_L)$$

where  $\pi_L$  is a uniformiser, with char. polynomial

$$f = X^e + a_{e-1}X^{e-1} + \dots + a_1X + a_0$$

Then  $f$  is Eisenstein!

The coefficient vector  $(a_0, \dots, a_{e-1}) \in \mathbb{M}_K \times \dots \times \mathbb{M}_K$   <sup>$e$  times</sup>  
determines  $L/K$ . Moreover the equivalence  
classes of

$(a_0, \dots, a_{e-1}) \sim (b_0, \dots, b_{e-1})$  iff their assoc.  
extensions coincide

are open by Krasner's Lemma.

Since  $\mathbb{M}_K$ , and hence  $\mathbb{M}_K \times \dots \times \mathbb{M}_K$  is compact  
there are finitely many equivalence classes,  
and hence finitely many extensions  $L/K$ .  $\square$

Argument is topological! Not very constructive.

Suppose we want to know how many extensions of  $\mathbb{Q}_p$  of degree  $n$  there are.

Then

a) ask Alex Broot

b) read Krasner's papers

c) read about Serre's mass formula  
(notes).