

Points of Order 13 on Elliptic Curves

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1. Introduction

The main object of this note is to show that an elliptic curve defined over \mathbb{Q} cannot have a rational point of order 13. Equivalently, $X_1(13)$, the curve that classifies elliptic curves with a chosen point of order 13, has no non-cuspidal points rational over \mathbb{Q} . This has also been announced by Blass who uses a method somewhat different from ours [1]¹.

Our approach consists in applying a descent argument to J , the jacobian of $X_1(13)$, proving that J has precisely 19 rational points over \mathbb{Q} ².

The possibility that this could be done occurred to us when Ogg passed through our town and mentioned that he had discovered a point of order 19 on the 2-dimensional abelian variety J . It seemed (to us and to Swinnerton-Dyer) that if such an abelian variety J , which has bad reduction at only one prime, and has a sizeable number of endomorphisms, has a point of order 19, it is not entitled to have any other points.

We show this below by an argument that requires a minimum of calculation (by “pure thought”) and which may have parallels in the study of $X_1(n)$ for a few other higher values of n (e. g. see the forthcoming work of D. Kubert). Our first goal is to determine the structure of the Galois module V of 19-division points on J . To do this we use the action on V of a certain group Δ of automorphisms of $X_1(13)$. This group exists for $X_1(n)$, any n , and we begin by describing it as an abstract group on which Galois acts, which we call the twisted dihedral group.

2. The Twisted Dihedral Group

Let $\bar{\mathbb{Q}}$ be an algebraic closure of \mathbb{Q} and let $G = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$. Fix an integer n . Let K denote the cyclotomic extension of \mathbb{Q} obtained by

¹ Blass has communicated to us that he works directly on a hyperelliptic model of $X_1(13)$ of the form $y^2 = g(x)$, where $g(x)$ is a certain sixth degree polynomial which factors in a field of class number 1—not the field $\mathbb{Q}(\zeta_{13} + \zeta_{13}^{-1})$ which occurs below, however.

² Ogg [4] has checked that the L -series of J is non-zero at $s = 1$ and thus the above result is in accord with the general conjecture of Birch and Swinnerton-Dyer.

adjoining all n -th roots of 1 in $\overline{\mathbb{Q}}$. There is a canonical identification,

$$\text{Gal}(K/\mathbb{Q}) \xrightarrow{\cong} (\mathbb{Z}/n)^*.$$

Let Γ denote the group $(\mathbb{Z}/n)^*/(\pm 1)$. If m is an integer relatively prime to n , let γ_m denote its image in Γ . Also, if α is in G , or in $\text{Gal}(K/\mathbb{Q})$, let γ_α denote its image in Γ , making use of the canonical identification alluded to. Thus we have $\gamma_\alpha = \gamma_\beta$ if and only if α and β coincide on the maximal real subfield K^+ of K .

We shall now describe a specific group Δ , which is a dihedral extension of $\mathbb{Z}/2$ by Γ

$$0 \rightarrow \Gamma \rightarrow \Delta \rightarrow \mathbb{Z}/2 \rightarrow 0.$$

As ζ runs through all primitive n -th roots of 1, the symbols $\tau_\zeta = \tau_{\zeta^{-1}}$ will run through the elements of the non-trivial Γ -coset of Δ . Moreover, the following relations are imposed:

$$\begin{aligned} \gamma_m \tau_\zeta &= \tau_{\zeta^m} \\ \tau_\zeta \gamma_m \tau_\zeta^{-1} &= (\gamma_m)^{-1} \\ (\tau_\zeta)^2 &= 1. \end{aligned}$$

is a natural action of $\text{Gal}(K^+/\mathbb{Q})$ on Δ , given by the rules

$$\gamma_m^\alpha = \gamma_m, \quad \text{and} \quad (\tau_\zeta)^\alpha = \tau_{\zeta^\alpha} = \gamma_\alpha \tau_\zeta.$$

This group Δ , with its G -action, is called the *twisted dihedral group*.

Let $n \geq 4$. Let $X_1(n)$ denote the non-singular projective curve over \mathbb{Q} associated to the moduli problem:

Classify injections $x: \mathbb{Z}/n\mathbb{Z} \hookrightarrow E$ up to isomorphism, where E is an elliptic curve.

Then, as in [4], one has the following classical description of the complex-analytic Riemann surface of complex points of $X_1(n)$:

Consider the subgroup $\Gamma_1(n)$ of the full modular group $\text{PSL}(2, \mathbb{Z}) = \text{SL}(2, \mathbb{Z})/(\pm 1)$ consisting in those γ which can be represented by matrices satisfying the following congruence modulo n :

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{n}.$$

Let $Y_1(n)$ denote the quotient of the upper half-plane under the action of $\Gamma_1(n)$. Then $Y_1(n)$ is an open Riemann surface whose compactification is $X_1(n)$, and:

$$X_1(n) = Y_1(n) \cup \text{cusps}.$$

The dihedral group Δ acts in a natural way as a group of automorphisms on $X = X_1(n)$. This action has the following modular description:

If

$$x: \mathbb{Z}/n\mathbb{Z} \xrightarrow{\beta} E$$

is a "point" of $X_1(n)$, let $\gamma_m x$ denote the "point":

$$\gamma_m x: \mathbb{Z}/n\mathbb{Z} \xrightarrow{m\beta} E.$$

Let $\tau_\zeta x$ denote the "point":

$$\tau_\zeta x: \mathbb{Z}/n\mathbb{Z} \xrightarrow{i_\zeta} \mu_n \xrightarrow{\bar{\beta}} \bar{E}$$

where $\bar{E} = E/\text{image}(\beta)$, μ_n is the galois module of n -th roots of 1, and $\bar{\beta}$ is the inclusion given to us by self-duality of E ³, and finally i_ζ is the map sending 1 to ζ . The verification that this defines an action of Δ is left to the reader.

This action is "defined over \mathbb{Q} " in the sense that it enjoys the following galois-compatibility:

$$(\delta \cdot x)^\alpha = \delta^\alpha \cdot x^\alpha$$

for $\delta \in \Delta$, and x a point of X , rational over $\bar{\mathbb{Q}}$.

3. The Structure $X_1(13)$

From here on, fix $n=13$. Then Γ is cyclic of order 6, with γ_2 as generator. The reader is referred to [4] for the following description of $X_1(13) = X$:

X is of genus 2, and its jacobian $\text{Pic}^0 X = J$ is an abelian variety of dimension 2 over \mathbb{Q} with bad reduction only at the prime 13. The curve X has precisely 12 cusps, 6 of which are rational (over \mathbb{Q}) and the remaining 6 are rational over the maximal totally real subfield in $\mathbb{Q}(\zeta_{13})$. The group Γ operates cyclically on each of the sets of 6 cusps and Δ acts freely on the set of all cusps. If we imbed X in J by one of the 6 rational cusps to $0 \in J$, then these 6 rational cusps generate a subgroup $T \subset J$ of order 19. The group T is the entire torsion subgroup of the Mordell-Weil group of J , and $X \cap T$ consists in precisely the 6 rational cusps.

The abelian variety J is simple over \mathbb{Q} . Here is the easy way of seeing this: If not, there would be an exact sequence of abelian varieties over \mathbb{Q}

$$0 \rightarrow J_1 \rightarrow J \rightarrow J_2 \rightarrow 0$$

³ The eternal problem concerning which convention to take for the sign of the self-duality, (one may adopt the choice of alternating form $(,)$ defined by Weil [6] for example) and whether one wants image β to appear in the first or second entry of $(,)$ forces us to confess that there are two natural choices for β which differ by sign. Luckily this ambiguity will not plague us insofar as the two natural choices are isomorphic to each other (by multiplication by -1) and therefore they give rise to the same point on $X_1(n)$.

where J_i ($i=1, 2$) are elliptic curves over \mathbb{Q} with bad reduction only at 13. One of the J_i 's has a rational point of order 19 because J does. This is impossible, for the reduction of this elliptic curve at $p=2$ can have by the Riemann hypothesis at most 5 rational points over the field of two elements, and a point of order 19 cannot reduce to zero under reduction in characteristic two.

As we shall mention later on, J is not absolutely irreducible. Consider the characteristic polynomial of the generator $\gamma_2 \in \Gamma$ acting on J . Since J is simple over \mathbb{Q} , this polynomial is a power of an irreducible polynomial. Since the action of γ_2 on J is *precisely* of order 6 (that is, not of order 1, 2, 3) as can be seen by its action on the 6 rational cusps, the characteristic polynomial of γ_2 has no choice but to be:

$$(1 - x + x^2)^2$$

and consequently the action of Δ induces an action of the quotient ring

$$D = \mathbb{Z}[\Delta]/(1 - \gamma_2 + \gamma_2^2)$$

on J . Since D is an order in a simple algebra, this action is faithful. In the ring D , γ_2 generates a ring isomorphic to $\mathbb{Z}[\sqrt[3]{1}]$, with $\gamma_2 = -\sqrt[3]{1}$.⁴

Let V denote the galois module of 19-division points of J . Then V is a vector space of dimension 4 over the field with 19 elements. In the discussion to follow, all vector spaces will be over this field.

The vector space V is canonically a G -module, and possesses a G -compatible action of Δ . Denote by $V(1) \subset V$ the subspace of dimension 1 given by the cyclic group $T \subset J$ of 19 rational points. Then G acts trivially on $V(1)$.

Let $19 = \pi \bar{\pi}$ denote a decomposition of 19 as a product of prime elements in the ring $\mathbb{Z}[\gamma_2] \approx \mathbb{Z}[\sqrt[3]{1}]$. Since π and $\bar{\pi}$ are relatively prime the space V decomposes accordingly into the direct sum of the kernels of π and $\bar{\pi}$:

$$V = V_\pi \oplus V_{\bar{\pi}}.$$

The subspaces V_π and $V_{\bar{\pi}}$ are easily seen to be stable under the actions of G and of Γ , but they are interchanged under any τ_ζ . Indeed, the map $\xi \rightarrow \tau_\zeta \xi \tau_\zeta^{-1}$ is a non-trivial automorphism of $\mathbb{Z}[\gamma_2]$ and consequently $\tau_\zeta V_\pi = V_{\bar{\pi}}$.

The subspace $V(1)$ is contained in one of the two subspaces V_π and $V_{\bar{\pi}}$ because it is stable under γ_2 . Interchanging π and $\bar{\pi}$ if necessary, we can assume

$$V(1) \subset V_\pi.$$

⁴ As Serre remarked, $D \otimes \mathbb{Q} \approx \mathbb{M}_2(\mathbb{Q})$, the algebra of 2×2 matrices over \mathbb{Q} . Consequently J is not irreducible over any field over which the action of Δ is rational.

We now define a subspace $V(\gamma) \subset V$ which is stable under the action of G and of Γ .

$$V(\gamma) = \{v \in V \mid v^\alpha = \gamma_\alpha v, \text{ for all } \alpha \in G\}.$$

Claim 1. For any ζ , τ_ζ interchanges the subspaces $V(1)$ and $V(\gamma)$.

One proves the above claim, in one direction, by the calculation

$$(\tau_\zeta v)^\alpha = (\tau_\zeta)^{\alpha v \alpha} = \gamma_\alpha \tau_\zeta v^\alpha = \gamma_\alpha (\tau_\zeta v)$$

if $v \in V(1)$, and in the other direction similarly.

Claim 2. The self-duality of V induces a (Cartier) duality between the Galois modules V_π and $V_{\bar{\pi}}$.

Since each of these spaces is of dimension 2 and their sum is V , it suffices to show that they are self orthogonal under the canonical pairing of V with itself to the Galois module of 19-th roots of unity (the “ e_{19} -pairing” of Weil). We denote this pairing simply by $(\ , \)$. We have

$$(\gamma_2 u, \gamma_2 v) = (u, v)$$

because γ_2 must induce the identity on the 2-dimensional cohomology of our curve X . On the other hand, V_π and $V_{\bar{\pi}}$ are eigenspaces for γ_2 , with eigenvalues the two primitive 6-th roots of unity in the field $\mathbb{Z}/19$. Since the square of a primitive sixth root of unity is not 1, our claim follows.

Corollary. Let $V(\chi)$ denote the Galois module of 19-th roots of unity. There is a short exact sequence of G -modules as follows:

$$0 \rightarrow V(\gamma) \rightarrow V_\pi \xrightarrow{b} V(\chi) \rightarrow 0.$$

Take the map b to be the Cartier dual of the inclusion $V(1) \hookrightarrow V_{\bar{\pi}}$. By Claim 1 we know that $V(\gamma)$ is a one-dimensional subspace of V_π . Hence our corollary will be proven if we can show that $V(\gamma)$ is in the kernel of b . For this it suffices to show that $V(\gamma)$ and $V(\chi)$ are not isomorphic. But they certainly are not, since $\text{Gal}(\mathbb{Q}(\sqrt[13]{1})^+/\mathbb{Q})$ acts faithfully on $V(\gamma)$ and $\text{Gal}(\mathbb{Q}(\sqrt[9]{1})/\mathbb{Q})$ acts faithfully on $V(\chi)$.

4. The Descent

We shall now use our analysis of the Galois structure of the 19-division points of J to prove

Theorem. There are precisely 19 rational points on J . There are no rational points on X other than its six rational cusps.

Corollary. There is no elliptic curve defined over \mathbb{Q} possessing a rational point of order 13.⁵

⁵ It is, however, extremely easy to find isogenies of order 13 of elliptic curves defined over \mathbb{Q} : they form a parametrizable family since $X_0(13)$ is of genus zero.

The theorem is proved by a π -descent (cf. [3]). Let $S = (\text{Spec } \mathbb{Z}) - (13)$ be the open subscheme of $\text{Spec } \mathbb{Z}$ obtained by removing the closed point 13. Let A be the abelian scheme over S with generic fiber J . We have a short exact sequence of group schemes over S

$$0 \rightarrow F \rightarrow A \xrightarrow{\pi} A \rightarrow 0$$

where $F = A_\pi$ is a finite flat group scheme of order 19^2 whose generic fiber corresponds to the Galois module $V = J_\pi$.

Proposition. *The map π induces a surjection on $A(S)$.*

The theorem follows from the proposition. Indeed, the group $A(S) \approx J(\mathbb{Q})$ is finitely generated (“Mordell-Weil Theorem”) and a finitely generated $\mathbb{Z}[\sqrt[3]{1}]$ -module on which π acts surjectively is finite. Thus the proposition implies $J(\mathbb{Q})$ is finite, hence of order 19 by the result quoted above. The assertion about X also follows from a result of Ogg mentioned above, namely, that $X \cap T$ consists of precisely the six rational cusps.

Let $P = \text{Spec } \mathbb{Q}_{13}$. Then P is an S -scheme, and we have a commutative diagram with exact rows

$$\begin{array}{ccccc} A(S) & \xrightarrow{\pi} & A(S) & \longrightarrow & H^1(S, F) \\ \downarrow & & \downarrow & & \downarrow \rho \\ A(P) & \xrightarrow{\pi} & A(P) & \longrightarrow & H^1(P, F) \end{array}$$

where cohomology means f. p. p. f. cohomology. From this diagram it is clear that to prove the proposition it suffices to prove two things:

- (i) π acts surjectively on $A(P)$.
- (ii) ρ is injective.

Proof of (i). Let \mathcal{A} denote the Néron model of J over \mathbb{Z}_{13} , and let N be the kernel of the reduction map $\mathcal{A}(\mathbb{Z}_{13}) \rightarrow \mathcal{A}(\mathbb{Z}/13)$. Then N is a pro-13-group on which 19, and hence π , must act bijectively. Since N is of finite index in $\mathcal{A}(\mathbb{Z}_{13}) = A(P)$, and since an endomorphism of a finite group is surjective if and only if it is injective, we are reduced to showing that π acts injectively on $A(P) = J(\mathbb{Q}_{13})$, i.e. that $(V_\pi)^D = 0$, where D is a 13-decomposition subgroup of G . By the corollary in § 3 it suffices to note that both $V(\chi)^D = 0$ and $V(\gamma)^D = 0$, i.e. that 13 does not split completely either in the field $\mathbb{Q}(\sqrt[3]{1})$ (because $13 \not\equiv 1 \pmod{19}$) or in the maximal real subfield of $\mathbb{Q}(\sqrt[3]{1})$ (because 13 ramifies).

Proof of (ii). Let $T = \text{Spec } \mathbb{Z}[\sqrt[3]{1}, 13^{-1}]$ be the normalization of S in $\mathbb{Q}(\sqrt[3]{1})$. Note that $T \rightarrow S$ is étale.

Lemma. *There is a short exact sequence of S -group schemes*

$$0 \rightarrow E \rightarrow F \rightarrow \mu_{19} \rightarrow 0$$

where E is a finite étale group scheme over S whose restriction to T is isomorphic to $\mathbb{Z}/19$.

Let E be the Zariski closure in A of $V(\gamma)$, regarded as a finite subgroup of J . Then E is a finite flat closed subgroup of F , and the quotient F/E has generic fiber corresponding to the Galois module $V(\chi)$. Thus F/E and μ_{19} have isomorphic generic fibers, and so do $E|T$ and $\mathbb{Z}/19$. The lemma now follows from the fact that a finite flat group scheme of prime order p over U is determined by its generic fiber, if U is an open subset of the spectrum of the ring of integers in a number field such that each point of U above p has absolute ramification index $< p-1$. This fact is a corollary of Theorem 3 of [5]; the key point is already the purely local theorem of [5], which shows that with the ramification so limited, there is only one group scheme over each local ring which is compatible with a given group scheme over its field of fractions.

Now consider the exact commutative diagram

$$\begin{array}{ccccc} H^1(S, E) & \longrightarrow & H^1(S, F) & \longrightarrow & H^1(S, \mu_{19}) \\ & & \downarrow \rho & & \downarrow \rho' \\ & & H^1(P, F) & \longrightarrow & H^1(P, \mu_{19}). \end{array}$$

It shows that to prove (ii), i.e. ρ injective, it suffices to prove two things:

- (ii a) ρ' is injective.
- (ii b) $H^1(S, E) = 0$.

To prove (ii a) we use the exact sequence

$$0 \rightarrow \mu_{19} \rightarrow \mathbb{G}_m \xrightarrow{19} \mathbb{G}_m \rightarrow 0.$$

Since $H^1(S, \mathbb{G}_m) = \text{Pic } S = 0$ and similarly $H^1(P, \mathbb{G}_m) = 0$, we are reduced to showing

$$\mathbb{G}_m(S)/19\mathbb{G}_m(S) \rightarrow \mathbb{G}_m(\mathbb{Q}_{13})/19\mathbb{G}_m(\mathbb{Q}_{13})$$

is injective. This is true, because $\mathbb{G}_m(S) = (\pm 13^n)_{n \in \mathbb{Z}}$, and 13 is not a 19-th power in \mathbb{Q}_{13} .

To prove (ii b) we note that

$$H^1(S, E) = H^1(T, E)^{\text{Gal}(T/S)}$$

because T/S is Galois of degree 12 prime to 19. Hence it suffices to show $H^1(T, E) = H^1(T, \mathbb{Z}/19) = 0$. This amounts to the fact that T has no

connected étale Galois covering of degree 19, i.e. that the field $K = \mathbb{Q}(\sqrt[19]{1})$ has no abelian extension of degree 19 unramified outside the prime λ above 13. This is true by class field theory, because the class number of K is prime to 19 (in fact it is 1; cf. [2]), and the group of λ -adic units is divisible by 19 (because it has a subgroup of index $13 - 1 = 12$ which is a pro-13-group).

Remarks. 1. It is of interest to list the main ingredients (apart from our analysis of 19-division points) which make the argument work:

- (a) 13 and 19 are distinct primes.
- (b) $13 \not\equiv 1 \pmod{19}$.
- (c) the class number of $\mathbb{Q}(\zeta_{13})$ is prime to 19.

2. We performed our descent over the base $S = \text{Spec } \mathbb{Z} - (13)$ in order to deal solely with *finite* flat group schemes. We might have worked directly over $\text{Spec } \mathbb{Z}$, in which case we would have been dealing with *quasi*-finite group schemes, but we could have avoided any special appeal to \mathbb{Q}_{13} . Such an argument yields easily the following extra bit of information: multiplication by π induces an injection on the Shafarevitch group of J over \mathbb{Q} .

5. An Afterthought

When you study $X_1(n)$, you find yourself quite naturally led to certain twisted forms of the curve $X_1(n)$, which become isomorphic to $X_1(n)$ over K^+ . These can easily be defined explicitly, or by the following succinct modular description:

Let η be any integer mod $\varphi(n)/2$. Set $X^\eta = X_1^\eta(n)$ to be the complete curve over \mathbb{Q} which is obtained from considering the following moduli problem.

Classify pairs

$$x: \mu_n^{\otimes \eta} \xrightarrow{c} E \quad \text{where } \mu_n^{\otimes \eta} = \mu_n \otimes \dots \otimes \mu_n.$$

We then have operators: $\tau: X^\eta \rightarrow X^{1-\eta}$, by setting τx to be

$$\tau x: \mu_n^{\otimes (1-\eta)} \xrightarrow{c} \bar{E}$$

where $\bar{E} = E/\text{image}(\beta)$, as before.

Now specialize again to the case $n=13$. Over the field $\mathbb{Q}(\sqrt{13})$, the isomorphism class of X^η depends only on $\eta \pmod{3}$ and so specializing to $\eta=2$ we have an involution:

$$\omega = \text{"}\tau\text{"}: X^2 \rightarrow X^2$$

defined over $\mathbb{Q}(\sqrt{13})$. This involution determines an involution of the Jacobian $\omega: J^2 \rightarrow J^2$. It is not too hard to see that the $+1$ and -1 eigen-

spaces of this involution ω are elliptic curves in J^2 which are conjugate over \mathbb{Q} , and isogenous. This indicates that our abelian variety of dimension 2 is actually, up to isogeny, a product of two elliptic curves over K^+ .

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